

# Principles of Programming Languages

<http://www.di.unipi.it/~andrea/Didattica/PLP-15/>

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## ***Lesson 9***

- Bottom-Up Parsing
- Shift-Reduce
- LR(0) automata and SLR parsing
- LR(1), LALR parsing

# Shift-Reduce Parsing

Grammar:

$S \rightarrow a A B e$

$A \rightarrow A b c \mid b$

$B \rightarrow d$

Reducing a sentence:

$a \underline{b} b c d e$

$a \underline{A} b c d e$

$a A \underline{d} e$

$\underline{a A B e}$

$S$

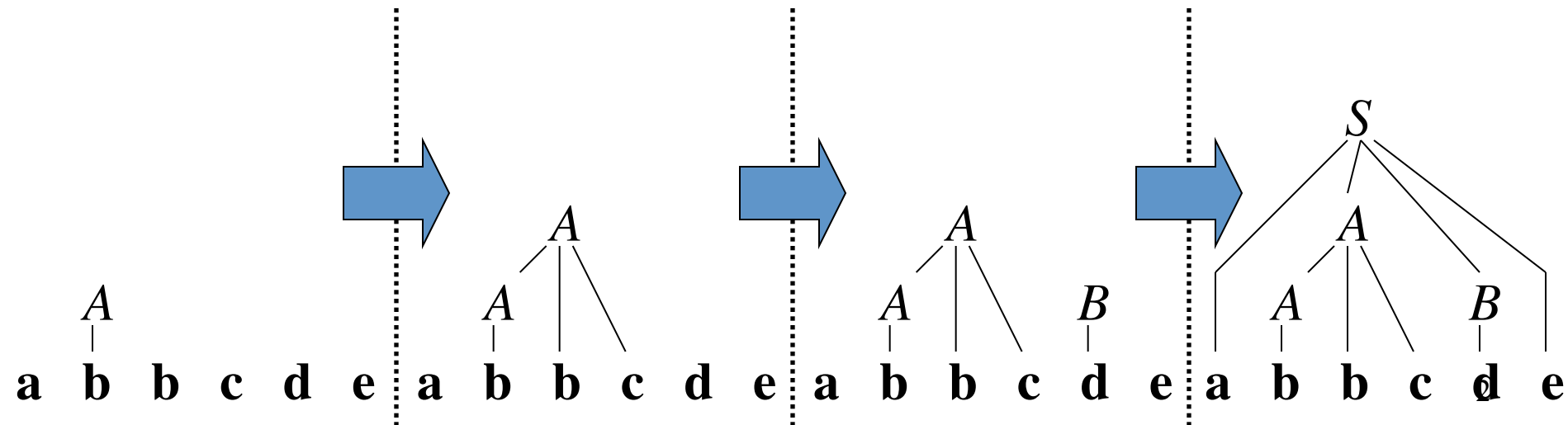
Shift-reduce corresponds to a rightmost derivation:

$S \Rightarrow_{rm} a A B e$

$\Rightarrow_{rm} a A d e$

$\Rightarrow_{rm} a A b c d e$

$\Rightarrow_{rm} a b b c d e$



# Handles

- A *handle* in a *right-sentential form*  $\alpha$  is a production  $A \rightarrow \beta$  and a position of  $\beta$  in  $\alpha$  such that replacing  $\beta$  with  $A$  we get the previous right-sentential form in the rightmost derivation
- Note: if grammar is unambiguous, the handle is unique

Grammar:

$S \rightarrow a A B e$

$A \rightarrow A b c \mid b$

$B \rightarrow d$

a b b c d e

a A b c d e

a A d e

a A B e

S

*Handle*

a b b c d e

a A b c d e

a A A e

... ?

NOT a handle, because  
further reductions will fail  
(result is not a right-sentential form<sub>3</sub>)

# Stack Implementation of Shift-Reduce Parsing

- Stack+input always is a right-sentential form
- Each step either shifts next char, or reduces handle on top of stack

Grammar:

$E \rightarrow E + E$

$E \rightarrow E * E$

$E \rightarrow ( E )$

$E \rightarrow \text{id}$

Found handles  
to reduce

Stack	Input	Action
\$	<b>id+id*id\$</b>	shift
<u>\$id</u>	<b>+id*id\$</b>	reduce $E \rightarrow \text{id}$
\$E	<b>+id*id\$</b>	shift
\$E+	<b>id*id\$</b>	shift
<u>\$E+id</u>	<b>*id\$</b>	reduce $E \rightarrow \text{id}$
\$E+E	<b>*id\$</b>	shift (or reduce?)
\$E+E*	<b>id\$</b>	shift
<u>\$E+E*id</u>	\$	reduce $E \rightarrow \text{id}$
<u>\$E+E*E</u>	\$	reduce $E \rightarrow E * E$
<u>\$E+E</u>	\$	reduce $E \rightarrow E + E$
\$E	\$	accept

How to  
resolve  
conflicts?

# Conflicts

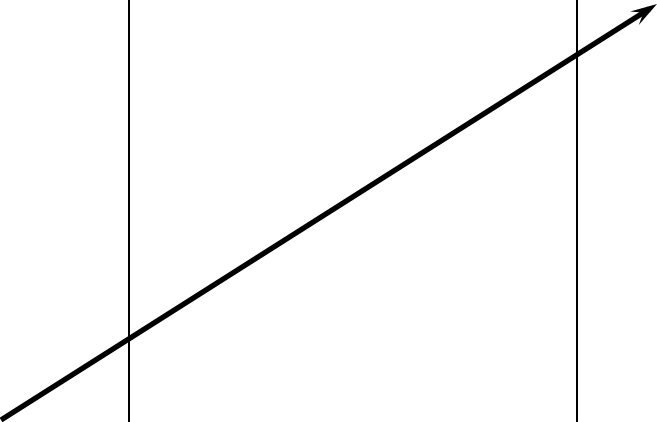
- *Shift-reduce* and *reduce-reduce* conflicts are caused by
  - The limitations of the LR parsing method (even when the grammar is unambiguous)
  - Ambiguity of the grammar

# Shift-Reduce Parsing: Shift-Reduce Conflicts

Ambiguous grammar:  
 $S \rightarrow \text{if } E \text{ then } S$   
|  $\text{if } E \text{ then } S \text{ else } S$   
|  $\text{other}$

Resolve in favor  
of shift, so **else**  
matches closest **if**

Stack	Input	Action
\$... \$... <u>if E then S</u>	...\$ <b>else...\$</b>	... shift or reduce?



# Shift-Reduce Parsing: Reduce-Reduce Conflicts

Grammar:

$C \rightarrow A B$

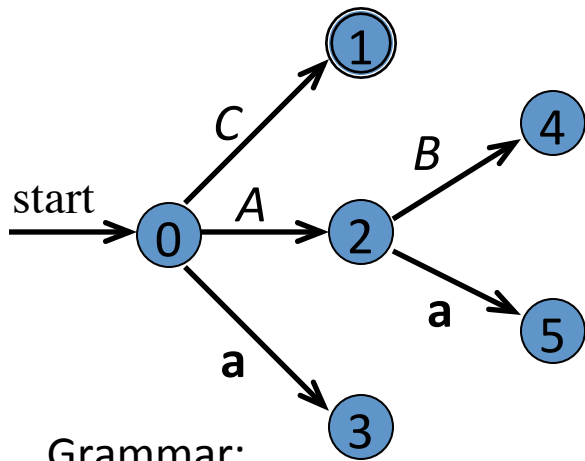
$A \rightarrow a$

$B \rightarrow a$

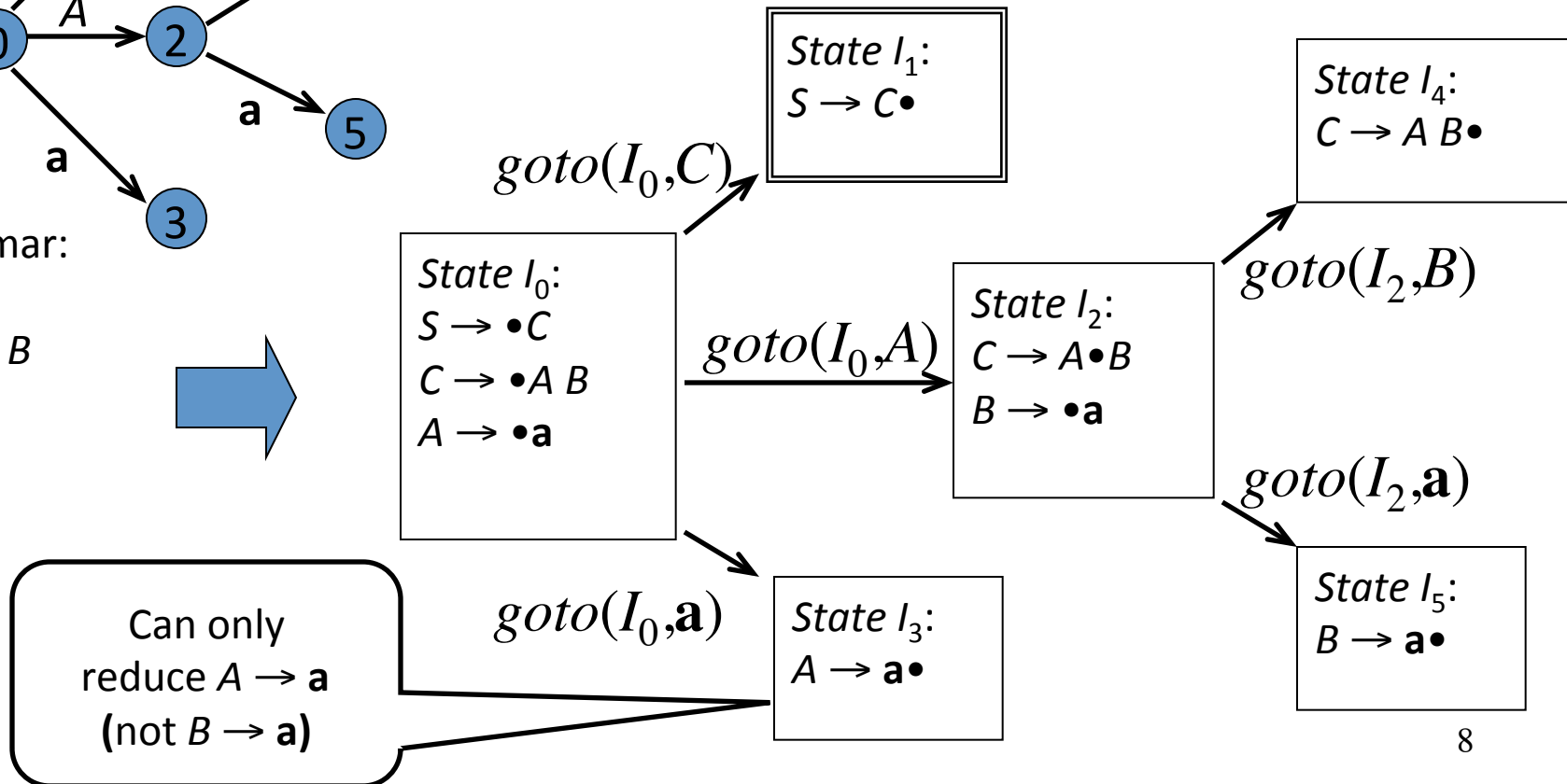
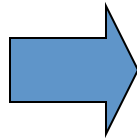
Resolve in favor  
of reducing  $A \rightarrow a$ ,  
otherwise we're stuck!

Stack	Input	Action
\$	aa\$	shift
\$ <u>a</u>	a\$	reduce $A \rightarrow a$ <u>or</u> $B \rightarrow a$ ?

# LR( $k$ ) Parsers: Use a DFA for Shift/Reduce Decisions



Grammar:  
 $S \rightarrow C$   
 $C \rightarrow AB$   
 $A \rightarrow a$   
 $B \rightarrow a$





# DFA for Shift/Reduce Decisions

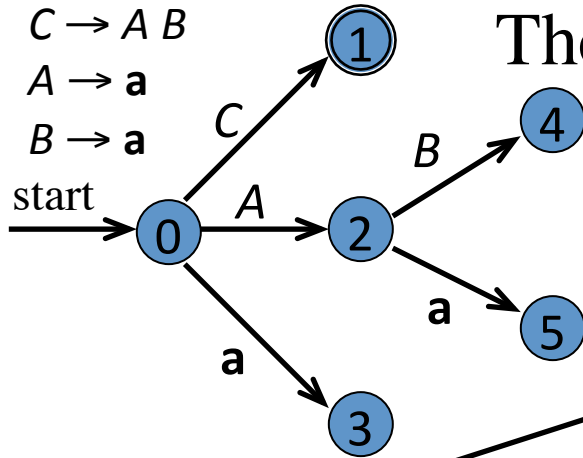
Grammar:

$S \rightarrow C$

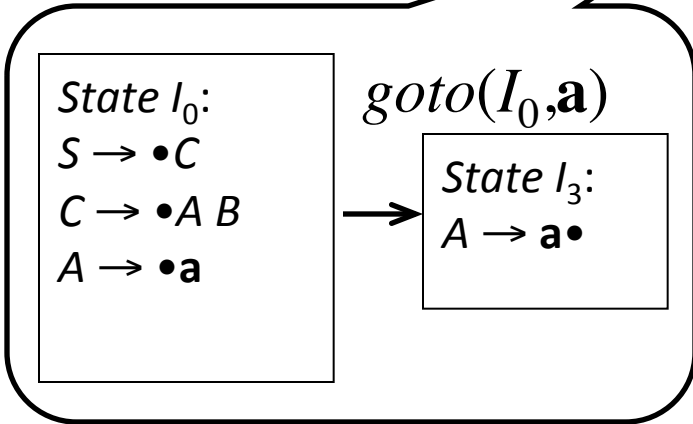
$C \rightarrow A B$

$A \rightarrow a$

$B \rightarrow a$



The states of the DFA are used to determine if a handle is on top of the stack



Stack	Input	Action
\$ 0	aa\$	start in state 0
\$ <u>0</u>	aa\$	shift (and goto state 3)
\$ 0 a 3	a\$	reduce $A \rightarrow a$ (goto 2)
\$ 0 A 2	a\$	shift (goto 5)
\$ 0 A 2 a 5	\$	reduce $B \rightarrow a$ (goto 4)
\$ 0 A 2 B 4	\$	reduce $C \rightarrow AB$ (goto 1)
\$ 0 C 1	\$	accept ( $S \rightarrow C$ )

# DFA for Shift/Reduce Decisions

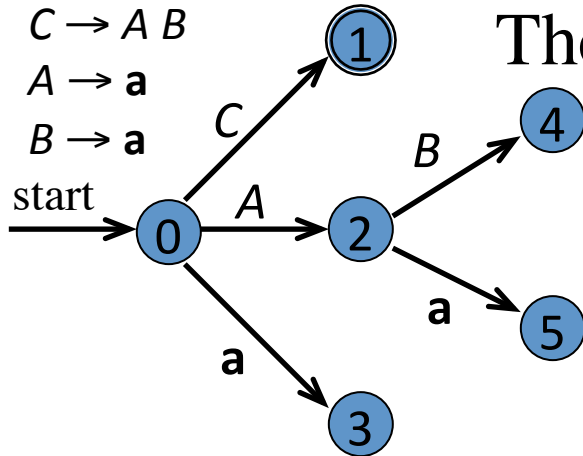
Grammar:

$S \rightarrow C$

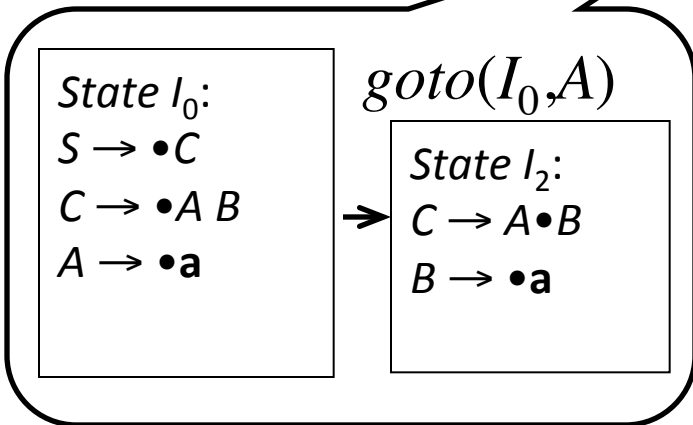
$C \rightarrow A B$

$A \rightarrow a$

$B \rightarrow a$



The states of the DFA are used to determine if a handle is on top of the stack



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\$ 0 A 2	a\$	shift (goto 5)
\$ 0 A 2 a 5	\$	reduce $B \rightarrow a$ (goto 4)
\$ 0 A 2 B 4	\$	reduce $C \rightarrow AB$ (goto 1)
\$ 0 C 1	\$	accept ( $S \rightarrow C$ )

# DFA for Shift/Reduce Decisions

Grammar:

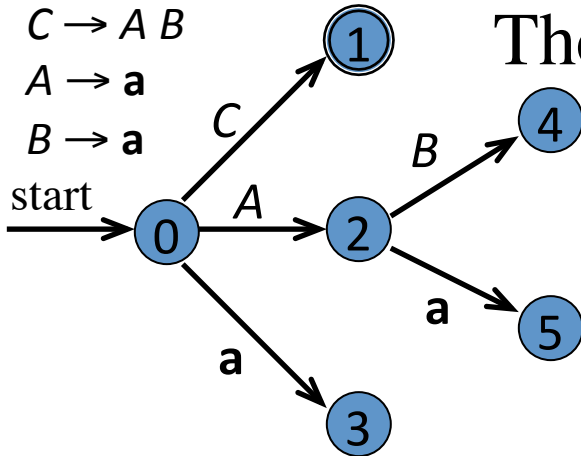
$S \rightarrow C$

$C \rightarrow A B$

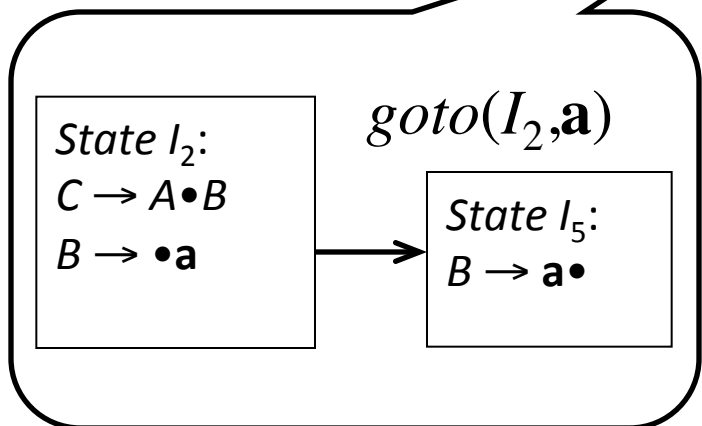
$A \rightarrow a$

$B \rightarrow a$

The states of the DFA are used to determine if a handle is on top of the stack



Stack	Input	Action
\$ 0	aa\$	start in state 0
\$ 0	aa\$	shift (and goto state 3)
\$ 0 a 3	a\$	reduce $A \rightarrow a$ (goto 2)
\$ 0 A <u>2</u>	<u>a</u> \$	shift (goto 5)
\$ 0 A 2 a 5	\$	reduce $B \rightarrow a$ (goto 4)
\$ 0 A 2 B 4	\$	reduce $C \rightarrow AB$ (goto 1)
\$ 0 C 1	\$	accept ( $S \rightarrow C$ )



# DFA for Shift/Reduce Decisions

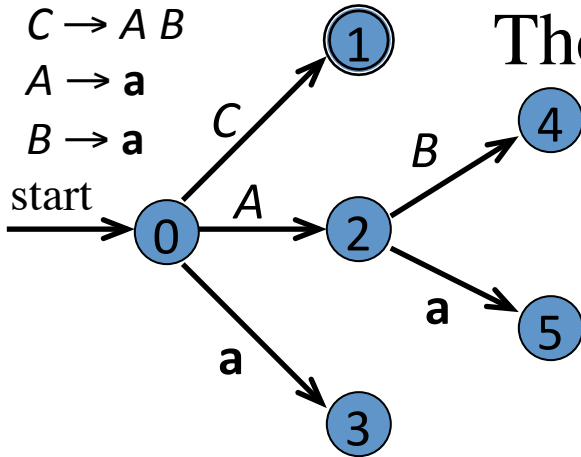
Grammar:

$S \rightarrow C$

$C \rightarrow A B$

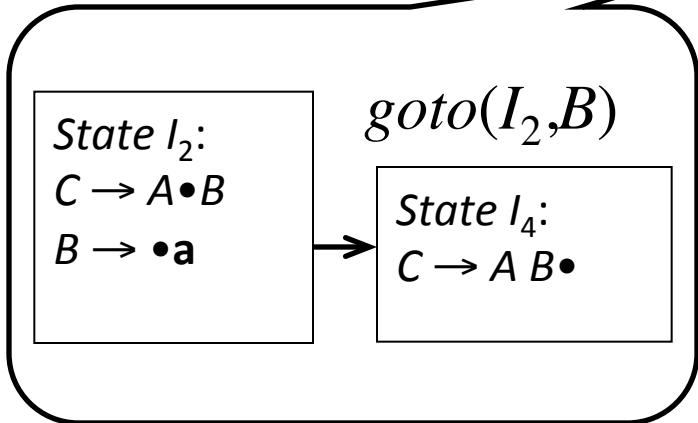
$A \rightarrow a$

$B \rightarrow a$



The states of the DFA are used to determine if a handle is on top of the stack

Stack	Input	Action
\$ 0	aa\$	start in state 0
\$ 0	aa\$	shift (and goto state 3)
\$ 0 a 3	a\$	reduce $A \rightarrow a$ (goto 2)
\$ 0 A 2	a\$	shift (goto 5)
\$ 0 A <u>2</u> a 5	\$	reduce $B \rightarrow a$ (goto 4)
\$ 0 A 2 B 4	\$	reduce $C \rightarrow AB$ (goto 1)
\$ 0 C 1	\$	accept ( $S \rightarrow C$ )



# DFA for Shift/Reduce Decisions

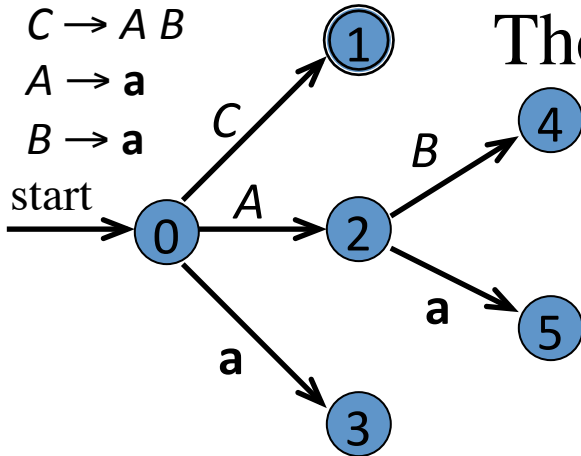
Grammar:

$S \rightarrow C$

$C \rightarrow A B$

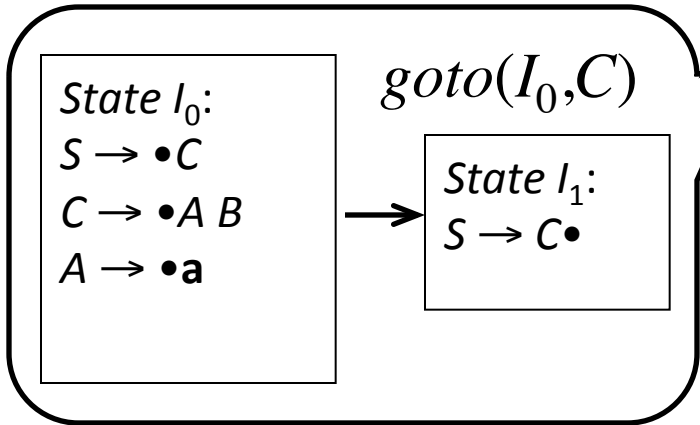
$A \rightarrow a$

$B \rightarrow a$



The states of the DFA are used to determine if a handle is on top of the stack

Stack	Input	Action
\$ 0	aa\$	start in state 0
\$ 0	aa\$	shift (and goto state 3)
\$ 0 a 3	a\$	reduce $A \rightarrow a$ (goto 2)
\$ 0 A 2	a\$	shift (goto 5)
\$ 0 A 2 a 5	\$	reduce $B \rightarrow a$ (goto 4)
\$ 0 <u>A</u> 2 <u>B</u> 4	\$	reduce $C \rightarrow AB$ (goto 1)
\$ 0 C 1	\$	accept ( $S \rightarrow C$ )



# DFA for Shift/Reduce Decisions

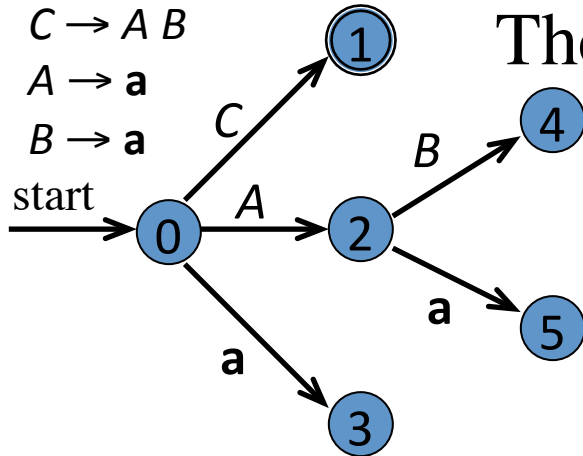
Grammar:

$S \rightarrow C$

$C \rightarrow A B$

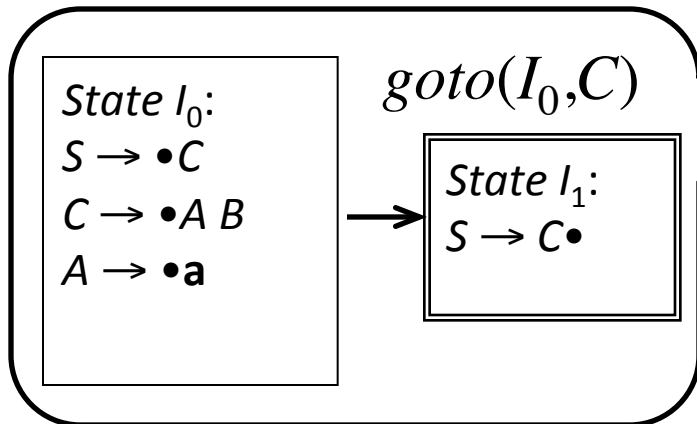
$A \rightarrow a$

$B \rightarrow a$

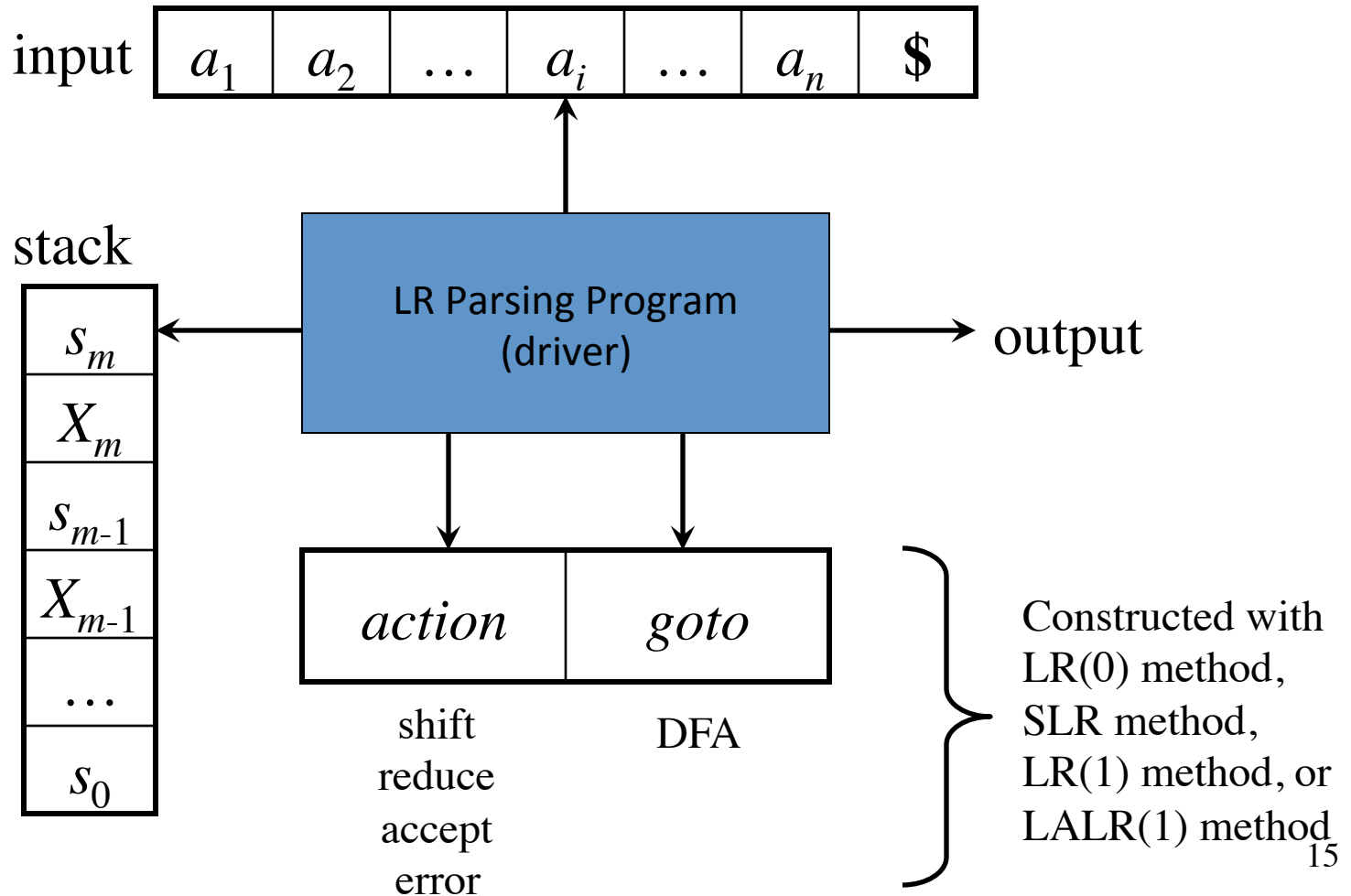


The states of the DFA are used to determine if a handle is on top of the stack

Stack	Input	Action
\$ 0	aa\$	start in state 0
\$ 0	aa\$	shift (and goto state 3)
\$ 0 a 3	a\$	reduce $A \rightarrow a$ (goto 2)
\$ 0 A 2	a\$	shift (goto 5)
\$ 0 A 2 a 5	\$	reduce $B \rightarrow a$ (goto 4)
\$ 0 A 2 B 4	\$	reduce $C \rightarrow AB$ (goto 1)
\$ 0 C 1	<u>\$</u>	accept ( $S \rightarrow C$ )



# Model of an LR Parser



# LR Parsing (Driver)

Configuration (= LR parser state):

$$\underbrace{(s_0 X_1 s_1 X_2 s_2 \dots X_m s_m)}_{\text{stack}}, \quad \underbrace{a_i a_{i+1} \dots a_n \$}_{\text{input}}$$

Dropping the states, it is a right-sentential form

**If**  $action[s_m, a_i] = \text{shift } s$  **then** push  $a_i$ , push  $s$ , and advance input:

$$(s_0 X_1 s_1 X_2 s_2 \dots X_m s_m a_i s, a_{i+1} \dots a_n \$)$$

**If**  $action[s_m, a_i] = \text{reduce } A \rightarrow \beta$  and  $goto[s_{m-r}, A] = s$  with  $r=|\beta|$  **then** pop  $2r$  symbols, push  $A$ , and push  $s$ :

$$(s_0 X_1 s_1 X_2 s_2 \dots X_{m-r} s_{m-r} A s, a_i a_{i+1} \dots a_n \$)$$

**If**  $action[s_m, a_i] = \text{accept}$  **then** stop

**If**  $action[s_m, a_i] = \text{error}$  **then** attempt recovery



# From LR(0) Automaton to LR(0) Parsing Table

State  $I_0$ :  
 $C' \rightarrow \bullet C$   
 $C \rightarrow \bullet A B$   
 $A \rightarrow \bullet a$

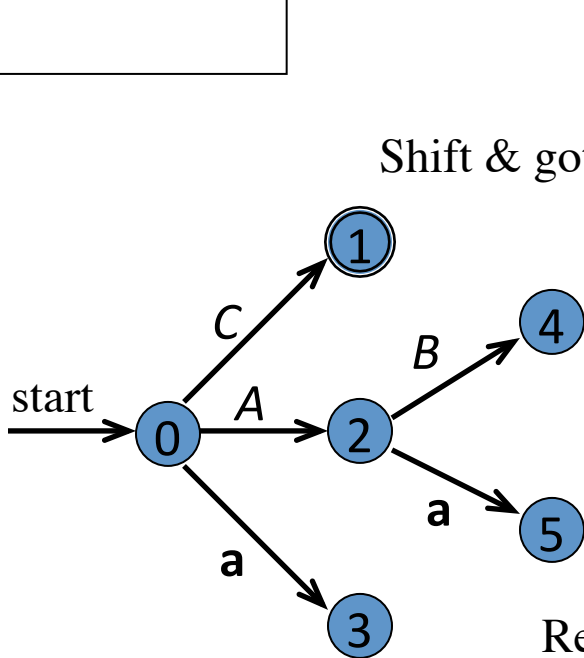
State  $I_1$ :  
 $C' \rightarrow C \bullet$

State  $I_2$ :  
 $C \rightarrow A \bullet B$   
 $B \rightarrow \bullet a$

State  $I_3$ :  
 $A \rightarrow a \bullet$

State  $I_4$ :  
 $C \rightarrow A B \bullet$

State  $I_5$ :  
 $B \rightarrow a \bullet$



Shift & goto 3

state

state	action		goto		
	a	\$	C	A	B
0	s3		1	2	
1		acc			
2	s5				4
3	r3	r3			
4	r2	r2			
5	r4	r4			

Reduce by production #2

Grammar:  
 1.  $C' \rightarrow C$   
 2.  $C \rightarrow A B$   
 3.  $A \rightarrow a$   
 4.  $B \rightarrow a$

- Reduce actions are independent of the input symbol

# Another Example LR Parse Table, constructed with the SLR algorithm

Grammar:

1.  $E \rightarrow E + T$

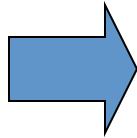
2.  $E \rightarrow T$

3.  $T \rightarrow T * F$

4.  $T \rightarrow F$

5.  $F \rightarrow ( E )$

6.  $F \rightarrow \text{id}$



<i>state</i>	<i>action</i>						<i>goto</i>		
	<b>id</b>	<b>+</b>	<b>*</b>	<b>(</b>	<b>)</b>	<b>\$</b>	<i>E</i>	<i>T</i>	<i>F</i>
0	s5			s4			1	2	3
1		s6				acc			
2		r2	s7		r2	r2			
3		r4	r4		r4	r4			
4	s5			s4			8	2	3
5		r6	r6		r6	r6			
6	s5			s4				9	3
7	s5			s4					10
8		s6			s11				
9		r1	s7		r1	r1			
10		r3	r3		r3	r3			
11		r5	r5		r5	r5			

Shift & goto 5

Reduce by  
production #1

# Example LR Shift-Reduce Parsing

Grammar:

1.  $E \rightarrow E + T$
2.  $E \rightarrow T$
3.  $T \rightarrow T * F$
4.  $T \rightarrow F$
5.  $F \rightarrow ( E )$
6.  $F \rightarrow \text{id}$

Stack	Input	Action
\$ 0	<b>id*id+id\$</b>	shift 5
\$ 0 <b>id</b> 5	<b>*id+id\$</b>	reduce 6 goto 3
\$ 0 <b>F</b> 3	<b>*id+id\$</b>	reduce 4 goto 2
\$ 0 <b>T</b> 2	<b>*id+id\$</b>	shift 7
\$ 0 <b>T</b> 2 * 7	<b>id+id\$</b>	shift 5
\$ 0 <b>T</b> 2 * 7 <b>id</b> 5	<b>+id\$</b>	reduce 6 goto 10
\$ 0 <b>T</b> 2 * 7 <b>F</b> 10	<b>+id\$</b>	reduce 3 goto 2
\$ 0 <b>T</b> 2	<b>+id\$</b>	reduce 2 goto 1
\$ 0 <b>E</b> 1	<b>+id\$</b>	shift 6
\$ 0 <b>E</b> 1 + 6	<b>id\$</b>	shift 5
\$ 0 <b>E</b> 1 + 6 <b>id</b> 5	<b>\$</b>	reduce 6 goto 3
\$ 0 <b>E</b> 1 + 6 <b>F</b> 3	<b>\$</b>	reduce 4 goto 9
\$ 0 <b>E</b> 1 + 6 <b>T</b> 9	<b>\$</b>	reduce 1 goto 1
\$ 0 <b>E</b> 1	<b>\$</b>	accept

# Towards the LR(0) automaton:

## LR(0) Items of a Grammar

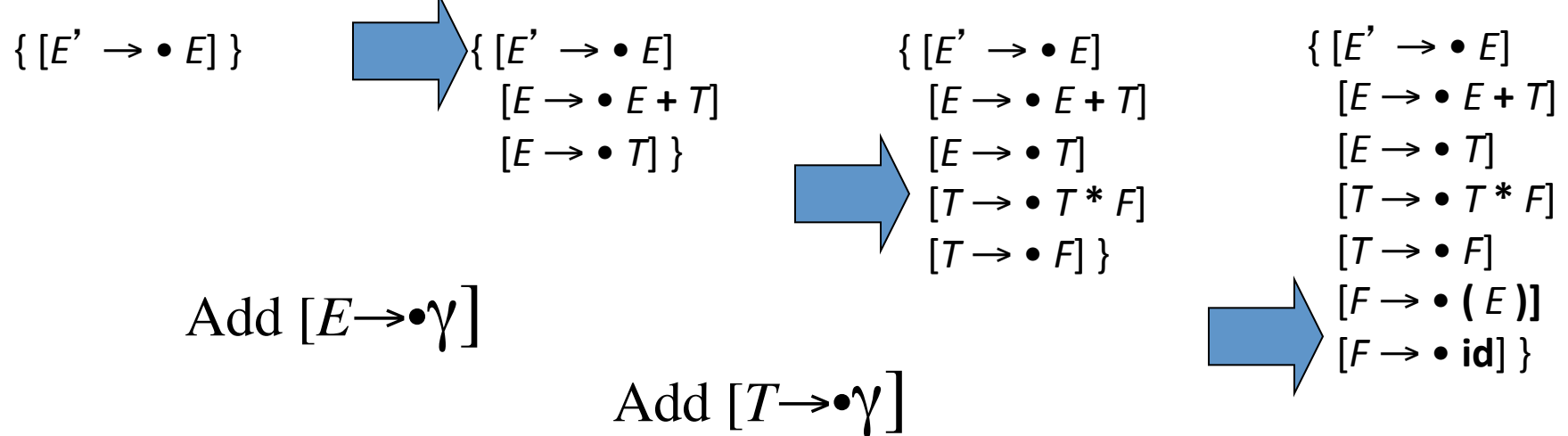
- An *LR(0) item* of a grammar  $G$  is a production of  $G$  with a  $\bullet$  at some position of the right-hand side
- Intuition: position reached while parsing
- Thus, a production  
 $A \rightarrow X Y Z$   
has four items:  
[ $A \rightarrow \bullet X Y Z$ ]  
[ $A \rightarrow X \bullet Y Z$ ]  
[ $A \rightarrow X Y \bullet Z$ ]  
[ $A \rightarrow X Y Z \bullet$ ]
- Note that production  $A \rightarrow \varepsilon$  has one item [ $A \rightarrow \bullet$ ]

# The Closure Operation for LR(0) Items

1. Start with  $\text{closure}(I) = I$
2. If  $[A \rightarrow \alpha \bullet B \beta] \in \text{closure}(I)$  then for each production  $B \rightarrow \gamma$  in the grammar, add the item  $[B \rightarrow \bullet \gamma]$  to  $I$  if not already in  $I$
3. Repeat 2 until no new items can be added

# The Closure Operation (Example)

$\text{closure}(\{[E' \rightarrow \bullet E]\}) =$



Grammar:

$E \rightarrow E + T \mid T$

$T \rightarrow T * F \mid F$

$F \rightarrow ( E )$

$F \rightarrow \text{id}$

# The Goto Operation for LR(0) Items

1. For each item  $[A \rightarrow \alpha \bullet X \beta] \in I$ , add the set of items  $\text{closure}(\{[A \rightarrow \alpha X \bullet \beta]\})$  to  $\text{goto}(I, X)$  if not already there
2. Repeat step 1 until no more items can be added to  $\text{goto}(I, X)$
3. Intuitively,  $\text{goto}(I, X)$  is the set of items that are valid for the **viable prefix**  $\gamma X$  when  $I$  is the set of items that are valid for  $\gamma$

# The Goto Operation (Example 1)

Suppose  $I =$

$$\{ [E' \rightarrow \bullet E]$$
$$[E \rightarrow \bullet E + T]$$
$$[E \rightarrow \bullet T]$$
$$[T \rightarrow \bullet T * F]$$
$$[T \rightarrow \bullet F]$$
$$[F \rightarrow \bullet ( E )]$$
$$[F \rightarrow \bullet \mathbf{id}] \}$$

Then  $goto(I, E)$

$$= closure(\{ [E' \rightarrow E \bullet, E \rightarrow E \bullet + T] \})$$
$$= \{ [E' \rightarrow E \bullet]$$
$$[E \rightarrow E \bullet + T] \}$$

Grammar:

$$E \rightarrow E + T \mid T$$
$$T \rightarrow T * F \mid F$$
$$F \rightarrow ( E )$$
$$F \rightarrow \mathbf{id}$$



# The Goto Operation (Example 2)

Suppose  $I = \{ [E' \rightarrow E \bullet], [E \rightarrow E \bullet + T] \}$

Then  $goto(I, +) = closure(\{ [E \rightarrow E + \bullet T] \}) = \{ [E \rightarrow E + \bullet T]$   
 $[T \rightarrow \bullet T * F]$   
 $[T \rightarrow \bullet F]$   
 $[F \rightarrow \bullet ( E )]$   
 $[F \rightarrow \bullet \mathbf{id}] \}$

Grammar:

$E \rightarrow E + T \mid T$

$T \rightarrow T * F \mid F$

$F \rightarrow ( E )$

$F \rightarrow \mathbf{id}$

# Constructing the set of LR(0) Items of a Grammar

1. The grammar is augmented with a new start symbol  $S'$  and production  $S' \rightarrow S$
2. Initially, set  $C = \text{closure}(\{[S' \rightarrow \bullet S]\})$   
(this is the start state of the DFA)
3. For each set of items  $I \in C$  and each grammar symbol  $X \in (N \cup T)$  such that  $\text{goto}(I, X) \notin C$  and  $\text{goto}(I, X) \neq \emptyset$ , add the set of items  $\text{goto}(I, X)$  to  $C$
4. Repeat 3 until no more sets can be added to  $C$

# Example Grammar and LR(0) Items

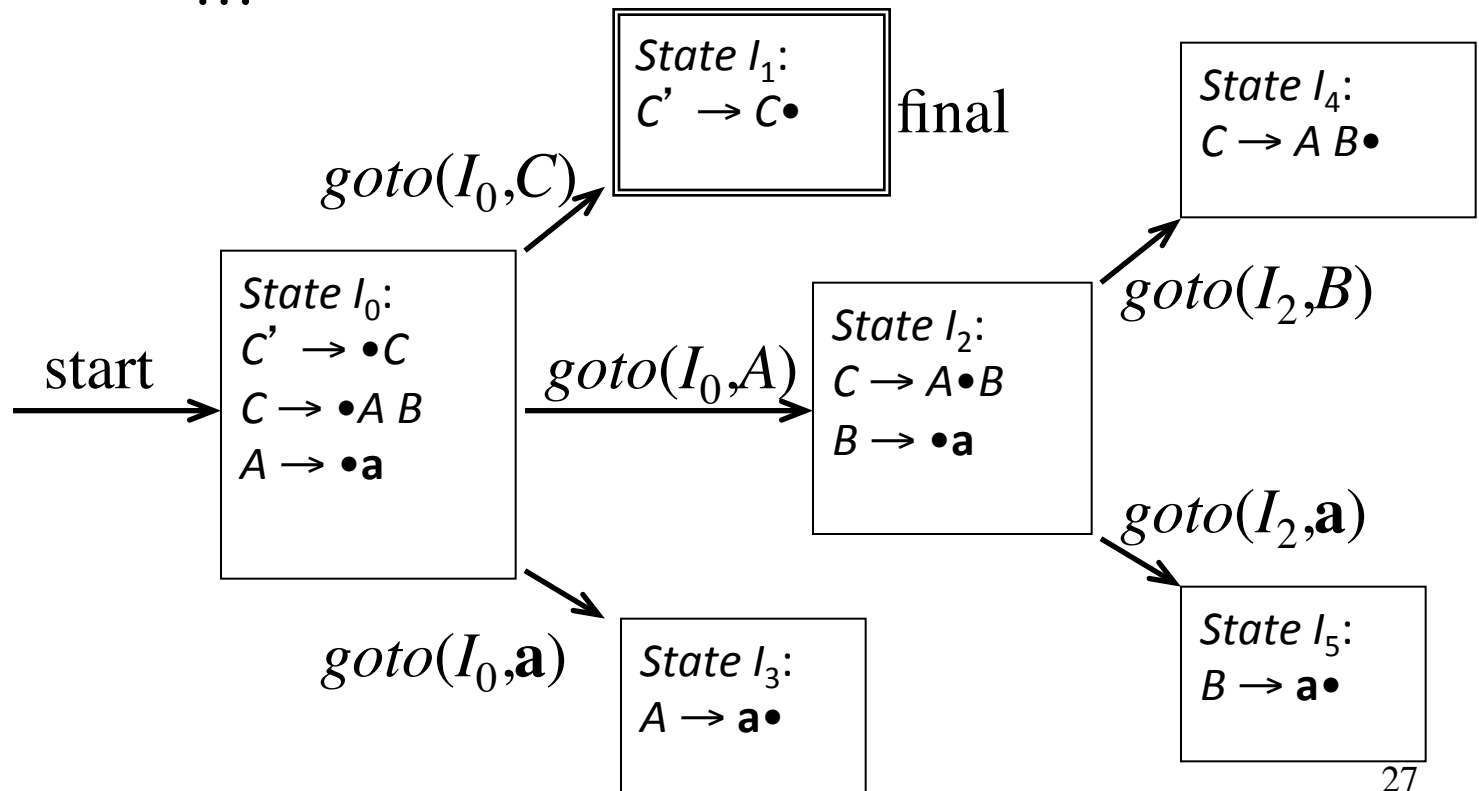
Augmented  
grammar:

1.  $C' \rightarrow C$
2.  $C \rightarrow A B$
3.  $A \rightarrow a$
4.  $B \rightarrow a$

$$I_0 = \text{closure}(\{[C' \rightarrow \bullet C]\})$$

$$I_1 = \text{goto}(I_0, C) = \text{closure}(\{[C' \rightarrow C \bullet]\})$$

...

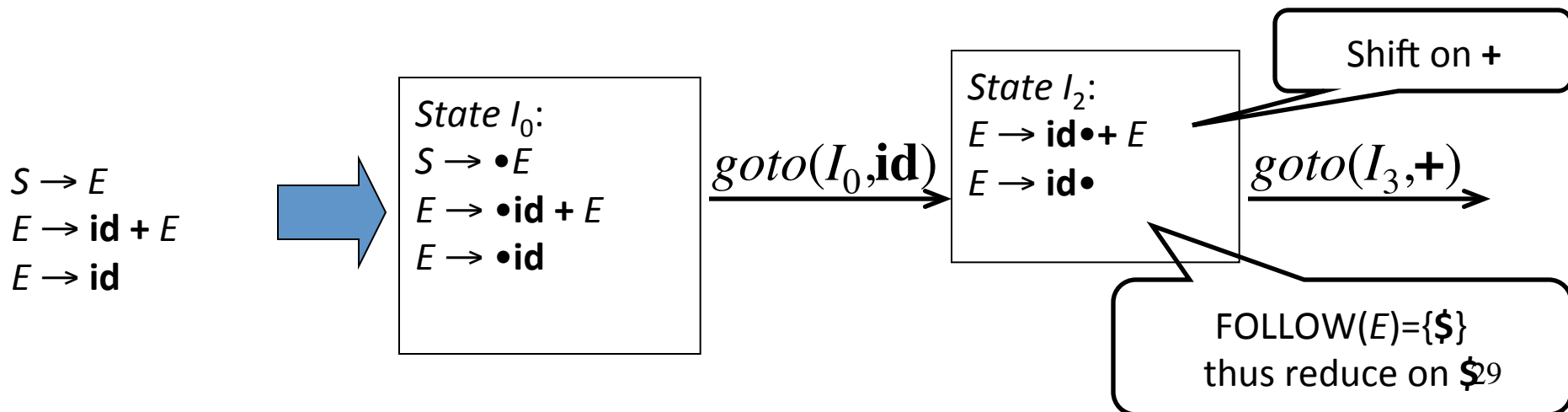


# SLR Parsing

- An LR(0) state is a set of LR(0) items
- An LR(0) item is a production with a • (dot) in the right-hand side
- Build the LR(0) DFA by
  - *Closure operation* to construct LR(0) items
  - *Goto operation* to determine transitions
- Construct the SLR parsing table from the DFA. Use lookahead to solve certain conflicts.
- LR parser program uses the SLR parsing table to determine shift/reduce operations

# SLR Grammars

- SLR (Simple LR): SLR is a simple extension of LR(0) shift-reduce parsing
- SLR eliminates some conflicts by populating the parsing table with reductions  $A \rightarrow \alpha$  on symbols in  $\text{FOLLOW}(A)$



# Constructing SLR Parsing Tables

1. Augment the grammar with  $S' \rightarrow S$
2. Construct the set  $C = \{I_0, I_1, \dots, I_n\}$  of *LR(0) items*
3. If  $[A \rightarrow \alpha \bullet a \beta] \in I_i$  and  $goto(I_i, a) = I_j$  then set  $action[i, a] = \text{shift } j$
4. If  $[A \rightarrow \alpha \bullet] \in I_i$  then set  $action[i, a] = \text{reduce } A \rightarrow \alpha$  for all  $a \in FOLLOW(A)$  (apply only if  $A \neq S'$ )
5. If  $[S' \rightarrow S \bullet]$  is in  $I_i$  then set  $action[i, \$] = \text{accept}$
6. If  $goto(I_i, A) = I_j$  then set  $goto[i, A] = j$
7. Repeat 3-6 until no more entries added
8. The initial state  $i$  is the  $I_i$  holding item  $[S' \rightarrow \bullet S]$

# SLR Parsing Table

- Reductions do not fill entire rows
- Otherwise the same as LR(0)

1.  $S \rightarrow E$
2.  $E \rightarrow id + E$
3.  $E \rightarrow id$

	id	+	\$	$E$
0	s2			1
1			acc	
2		s3	r3	
3	s2			4
4			r2	

Shift on +

FOLLOW( $E$ )={ $\$$ }  
thus reduce on  $\$$

# Example SLR Parsing Table

State  $I_0$ :  
 $C' \rightarrow \bullet C$   
 $C \rightarrow \bullet A B$   
 $A \rightarrow \bullet a$

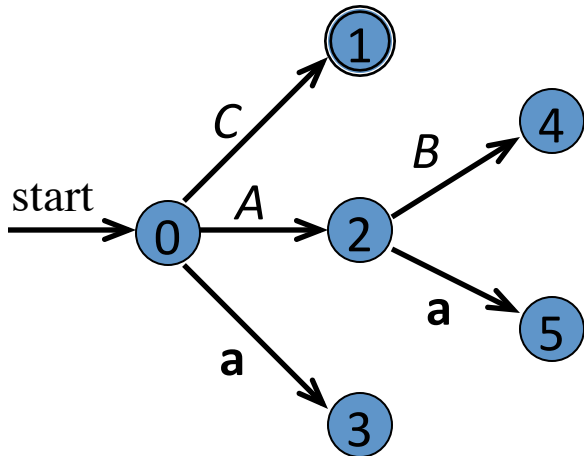
State  $I_1$ :  
 $C' \rightarrow C \bullet$

State  $I_2$ :  
 $C \rightarrow A \bullet B$   
 $B \rightarrow \bullet a$

State  $I_3$ :  
 $A \rightarrow a \bullet$

State  $I_4$ :  
 $C \rightarrow A B \bullet$

State  $I_5$ :  
 $B \rightarrow a \bullet$



state

state	action		goto		
	a	\$	C	A	B
0	s3		1	2	
1		acc			
2	s5				4
3	r3				
4		r2			
5		r4			

Grammar:  
 1.  $C' \rightarrow C$   
 2.  $C \rightarrow A B$   
 3.  $A \rightarrow a$   
 4.  $B \rightarrow a$

FOLLOW(A) = {a}

FOLLOW(C) = {\$}

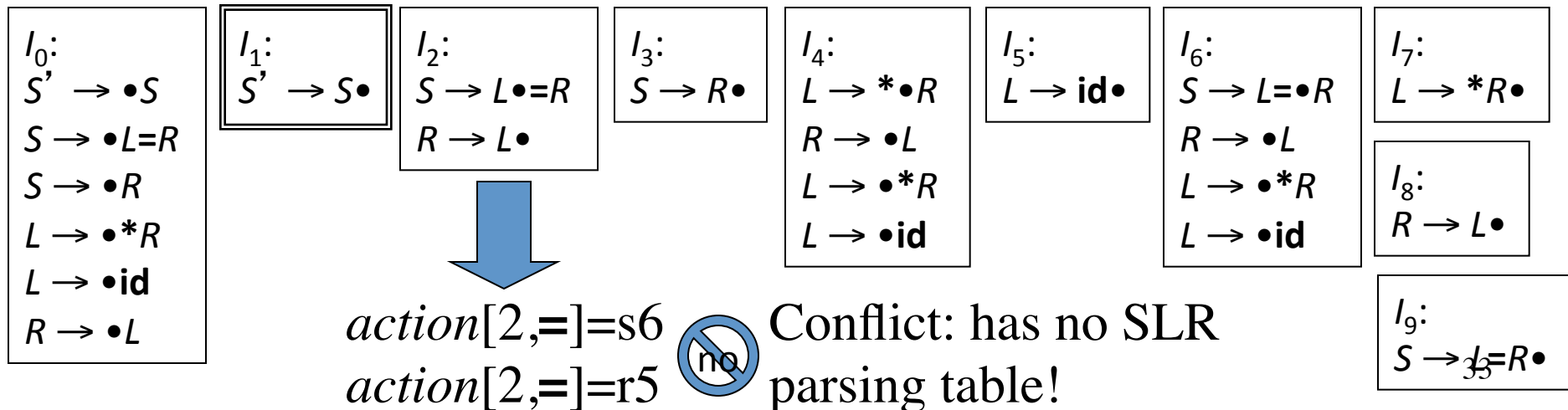
FOLLOW(B) = {\$}



# SLR, Ambiguity, and Conflicts

- SLR grammars are unambiguous
- But **not** every unambiguous grammar is SLR
- Consider for example the unambiguous grammar

1.  $S \rightarrow L = R$
2.  $S \rightarrow R$
3.  $L \rightarrow * R$
4.  $L \rightarrow \mathbf{id}$
5.  $R \rightarrow L$



# LR(1) Grammars

- SLR too simple
- LR(1) parsing uses lookahead to avoid unnecessary conflicts in parsing table
- LR(1) item = LR(0) item + lookahead

LR(0) item:  
 $[A \rightarrow \alpha \bullet \beta]$

LR(1) item:  
 $[A \rightarrow \alpha \bullet \beta, a]$

# SLR Versus LR(1)

- Split the SLR states by adding LR(1) lookahead

- Unambiguous grammar

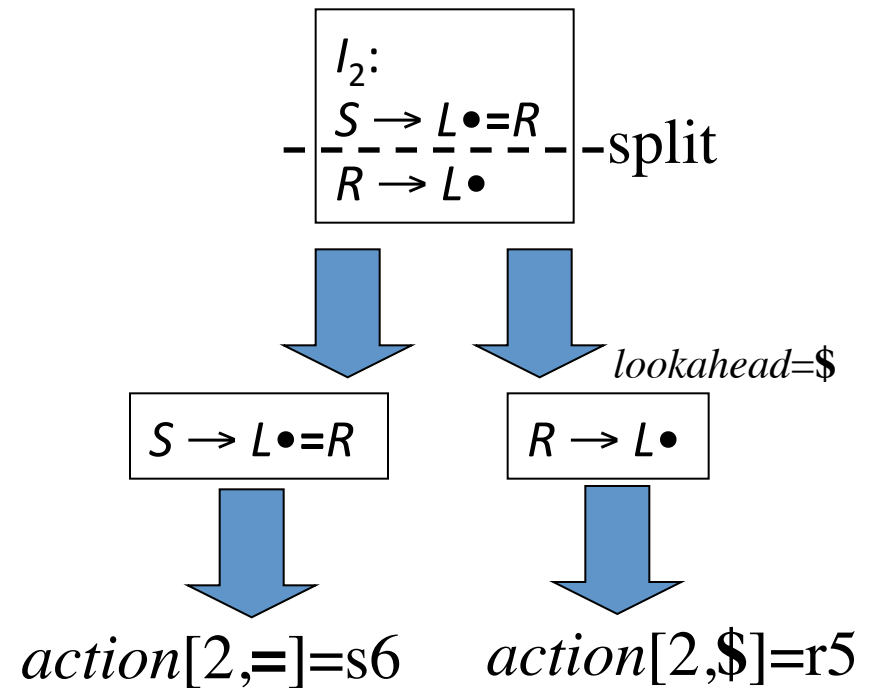
1.  $S \rightarrow L = R$

2.  $S \rightarrow R$

3.  $L \rightarrow * R$

4.  $L \rightarrow \mathbf{id}$

5.  $R \rightarrow L$



Should not reduce on =, because no right-sentential form begins with  $R=$  35

# LR(1) Items

- An *LR(1) item*  
 $[A \rightarrow \alpha \bullet \beta, a]$   
contains a *lookahead* terminal  $a$ , meaning  $\alpha$  already on top of the stack, expect to parse  $\beta a$
- For items of the form  
 $[A \rightarrow \alpha \bullet, a]$   
the lookahead  $a$  is used to reduce  $A \rightarrow \alpha$  only if the next symbol of the input is  $a$ . Clearly  $a$  is in  $\text{FOLLOW}(A)$ , but not all of them must appear as lookahead.
- For items of the form  
 $[A \rightarrow \alpha \bullet \beta, a]$   
with  $\beta \neq \epsilon$  the lookahead has no effect

# The Closure Operation for LR(1) Items

1. Start with  $\text{closure}(I) = I$
2. If  $[A \rightarrow \alpha \bullet B \beta, a] \in \text{closure}(I)$  then for each production  $B \rightarrow \gamma$  in the grammar and each terminal  $b \in \text{FIRST}(\beta a)$ , add the item  $[B \rightarrow \bullet \gamma, b]$  to  $I$  if not already in  $I$
3. Repeat 2 until no new items can be added

# The Goto Operation for LR(1) Items

1. For each item  $[A \rightarrow \alpha \bullet X \beta, a] \in I$ , add the set of items  $\text{closure}(\{[A \rightarrow \alpha X \bullet \beta, a]\})$  to  $\text{goto}(I, X)$  if not already there
2. Repeat step 1 until no more items can be added to  $\text{goto}(I, X)$

# Constructing the set of LR(1) Items of a Grammar

1. Augment the grammar with a new start symbol  $S'$  and production  $S' \rightarrow S$
2. Initially, set  $C = \text{closure}(\{[S' \rightarrow \bullet S, \$]\})$   
(this is the start state of the DFA)
3. For each set of items  $I \in C$  and each grammar symbol  $X \in (N \cup T)$  such that  $\text{goto}(I, X) \notin C$  and  $\text{goto}(I, X) \neq \emptyset$ , add the set of items  $\text{goto}(I, X)$  to  $C$
4. Repeat 3 until no more sets can be added to  $C$

# Example Grammar and LR(1) Items

- Unambiguous LR(1) grammar:

$$S \rightarrow L = R$$

$$S \rightarrow R$$

$$L \rightarrow * R$$

$$L \rightarrow \mathbf{id}$$

$$R \rightarrow L$$

- Augment with  $S' \rightarrow S$
- LR(1) items (next slide)



$I_0: [S' \rightarrow \bullet S, \quad \$] \text{ goto}(I_0, S)=I_1$   
 $[S \rightarrow \bullet L=R, \quad \$] \text{ goto}(I_0, L)=I_2$   
 $[S \rightarrow \bullet R, \quad \$] \text{ goto}(I_0, R)=I_3$   
 $[L \rightarrow \bullet *R, \quad =/\$] \text{ goto}(I_0, *)=I_4$   
 $[L \rightarrow \bullet \text{id}, \quad =/\$] \text{ goto}(I_0, \text{id})=I_5$   
 $[R \rightarrow \bullet L, \quad \$] \text{ goto}(I_0, L)=I_2$

$I_1: [S' \rightarrow S\bullet, \quad \$]$

$I_2: [S \rightarrow L\bullet=R, \quad \$] \text{ goto}(I_0, =)=I_6$   
 $[R \rightarrow L\bullet, \quad \$]$

$I_3: [S \rightarrow R\bullet, \quad \$]$

$I_4: [L \rightarrow *\bullet R, \quad =/\$] \text{ goto}(I_4, R)=I_7$   
 $[R \rightarrow \bullet L, \quad =/\$] \text{ goto}(I_4, L)=I_8$   
 $[L \rightarrow \bullet *R, \quad =/\$] \text{ goto}(I_4, *)=I_4$   
 $[L \rightarrow \bullet \text{id}, \quad =/\$] \text{ goto}(I_4, \text{id})=I_5$

$I_5: [L \rightarrow \text{id}\bullet, \quad =/\$]$

$I_6: [S \rightarrow L=\bullet R, \quad \$] \text{ goto}(I_6, R)=I_9$   
 $[R \rightarrow \bullet L, \quad \$] \text{ goto}(I_6, L)=I_{10}$   
 $[L \rightarrow \bullet *R, \quad \$] \text{ goto}(I_6, *)=I_{11}$   
 $[L \rightarrow \bullet \text{id}, \quad \$] \text{ goto}(I_6, \text{id})=I_{12}$

$I_7: [L \rightarrow *R\bullet, \quad =/\$]$

$I_8: [R \rightarrow L\bullet, \quad =/\$]$

$I_9: [S \rightarrow L=R\bullet, \quad \$]$

$I_{10}: [R \rightarrow L\bullet, \quad \$]$

$I_{11}: [L \rightarrow *\bullet R, \quad \$] \text{ goto}(I_{11}, R)=I_{13}$   
 $[R \rightarrow \bullet L, \quad \$] \text{ goto}(I_{11}, L)=I_{10}$   
 $[L \rightarrow \bullet *R, \quad \$] \text{ goto}(I_{11}, *)=I_{11}$   
 $[L \rightarrow \bullet \text{id}, \quad \$] \text{ goto}(I_{11}, \text{id})=I_{12}$

$I_{12}: [L \rightarrow \text{id}\bullet, \quad \$]$

$I_{13}: [L \rightarrow *R\bullet, \quad \$]$



Shorthand  
for two items  
in the same set

# Constructing Canonical LR(1) Parsing Tables

1. Augment the grammar with  $S' \rightarrow S$
2. Construct the set  $C = \{I_0, I_1, \dots, I_n\}$  of LR(1) items
3. If  $[A \rightarrow \alpha \bullet a \beta, b] \in I_i$  and  $goto(I_i, a) = I_j$  then set  $action[i, a] = \text{shift } j$
4. If  $[A \rightarrow \alpha \bullet, a] \in I_i$  then set  $action[i, a] = \text{reduce } A \rightarrow \alpha$  (apply only if  $A \neq S'$ )
5. If  $[S' \rightarrow S \bullet, \$]$  is in  $I_i$  then set  $action[i, \$] = \text{accept}$
6. If  $goto(I_i, A) = I_j$  then set  $goto[i, A] = j$
7. Repeat 3-6 until no more entries added
8. The initial state  $i$  is the  $I_i$  holding item  $[S' \rightarrow \bullet S, \$]$

# Example LR(1) Parsing Table

Grammar:

1.  $S' \rightarrow S$
2.  $S \rightarrow L = R$
3.  $S \rightarrow R$
4.  $L \rightarrow * R$
5.  $L \rightarrow \mathbf{id}$
6.  $R \rightarrow L$

	id	*	=	\$	<i>S</i>	<i>L</i>	<i>R</i>
0	s5	s4			1	2	3
1				acc			
2			s6	r6			
3				r3			
4	s5	s4				8	7
5			r5	r5			
6	s12	s11				10	9
7			r4	r4			
8			r6	r6			
9				r2			
10				r6			
11	s12	s11				10	13
12				r5			
13				r4			

# LALR Parsing

- LR(1) parsing tables have many states
- LALR parsing (Look-Ahead LR) merges two or more LR(1) state into one state to reduce table size
- Less powerful than LR(1)
  - Will not introduce shift-reduce conflicts, because shifts do not use lookaheads
  - May introduce reduce-reduce conflicts, but seldom do so for grammars of programming languages

# Constructing LALR Parsing Tables

1. Construct sets of LR(1) items
2. Combine LR(1) sets with sets of items that share the same first part

$$\begin{array}{l} I_4: [L \rightarrow * \bullet R, \quad =/\$] \\ \quad [R \rightarrow \bullet L, \quad =/\$] \\ \quad [L \rightarrow \bullet * R, \quad =/\$] \\ \quad [L \rightarrow \bullet \text{id}, \quad =/\$] \\ \\ I_{11}: [L \rightarrow * \bullet R, \quad \$] \\ \quad [R \rightarrow \bullet L, \quad \$] \\ \quad [L \rightarrow \bullet * R, \quad \$] \\ \quad [L \rightarrow \bullet \text{id}, \quad \$] \end{array} \left. \vphantom{\begin{array}{l} I_4 \\ I_{11} \end{array}} \right\} \begin{array}{l} [L \rightarrow * \bullet R, \quad =/\$] \\ [R \rightarrow \bullet L, \quad =/\$] \\ [L \rightarrow \bullet * R, \quad =/\$] \\ [L \rightarrow \bullet \text{id}, \quad =/\$] \end{array}$$

# Example Grammar and LALR Parsing Table

- Unambiguous LR(1) grammar:

$$S \rightarrow L = R$$

$$| R$$

$$L \rightarrow * R$$

$$| \mathbf{id}$$

$$R \rightarrow L$$

- Augment with  $S' \rightarrow S$
- LALR items (next slide)

$I_0: [S' \rightarrow \bullet S, \quad \$] \text{ goto}(I_0, S)=I_1$   
 $[S \rightarrow \bullet L=R, \quad \$] \text{ goto}(I_0, L)=I_2$   
 $[S \rightarrow \bullet R, \quad \$] \text{ goto}(I_0, R)=I_3$   
 $[L \rightarrow \bullet *R, \quad =/\$] \text{ goto}(I_0, *)=I_4$   
 $[L \rightarrow \bullet \text{id}, \quad =/\$] \text{ goto}(I_0, \text{id})=I_5$   
 $[R \rightarrow \bullet L, \quad \$] \text{ goto}(I_0, L)=I_2$

$I_1: [S' \rightarrow S\bullet, \quad \$]$

$I_2: [S \rightarrow L\bullet=R, \quad \$] \text{ goto}(I_0, =)=I_6$   
 $[R \rightarrow L\bullet, \quad \$]$

$I_3: [S \rightarrow R\bullet, \quad \$]$

$I_4: [L \rightarrow *\bullet R, \quad =/\$] \text{ goto}(I_4, R)=I_7$   
 $[R \rightarrow \bullet L, \quad =/\$] \text{ goto}(I_4, L)=I_9$   
 $[L \rightarrow \bullet *R, \quad =/\$] \text{ goto}(I_4, *)=I_4$   
 $[L \rightarrow \bullet \text{id}, \quad =/\$] \text{ goto}(I_4, \text{id})=I_5$

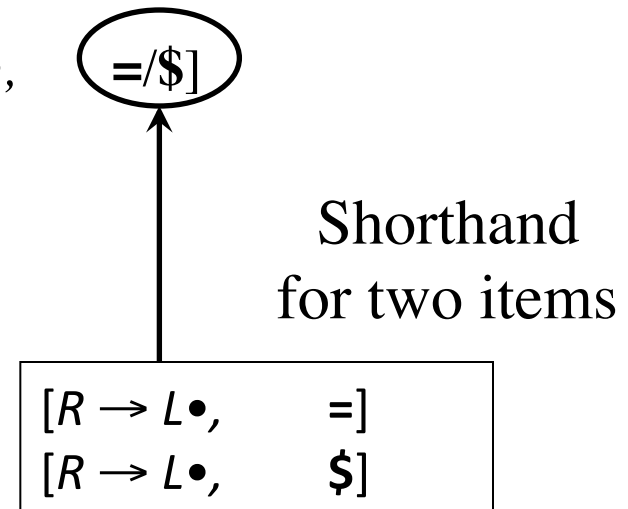
$I_5: [L \rightarrow \text{id}\bullet, \quad =/\$]$

$I_6: [S \rightarrow L=\bullet R, \quad \$] \text{ goto}(I_6, R)=I_8$   
 $[R \rightarrow \bullet L, \quad \$] \text{ goto}(I_6, L)=I_9$   
 $[L \rightarrow \bullet *R, \quad \$] \text{ goto}(I_6, *)=I_4$   
 $[L \rightarrow \bullet \text{id}, \quad \$] \text{ goto}(I_6, \text{id})=I_5$

$I_7: [L \rightarrow *R\bullet, \quad =/\$]$

$I_8: [S \rightarrow L=R\bullet, \quad \$]$

$I_9: [R \rightarrow L\bullet, \quad =/\$]$



# Example LALR Parsing Table

Grammar:

1.  $S' \rightarrow S$
2.  $S \rightarrow L = R$
3.  $S \rightarrow R$
4.  $L \rightarrow * R$
5.  $L \rightarrow \mathbf{id}$
6.  $R \rightarrow L$

	<b>id</b>	<b>*</b>	<b>=</b>	<b>\$</b>	<i>S</i>	<i>L</i>	<i>R</i>
0	s5	s4			1	2	3
1				acc			
2			s6	r6			
3				r3			
4	s5	s4				9	7
5			r5	r5			
6	s5	s4				9	8
7			r4	r4			
8				r2			
9			r6	r6			



# LL, SLR, LR, LALR Summary

- LL parse tables
  - Nonterminals  $\times$  terminals  $\rightarrow$  productions
  - Computed using FIRST/FOLLOW
- LR parsing tables computed using closure/goto
  - LR states  $\times$  terminals  $\rightarrow$  shift/reduce actions
  - LR states  $\times$  nonterminals  $\rightarrow$  goto state transitions
- A grammar is
  - LL(1) if its LL(1) parse table has no conflicts
  - SLR if its SLR parse table has no conflicts
  - LALR if its LALR parse table has no conflicts
  - LR(1) if its LR(1) parse table has no conflicts

# LL, SLR, LR, LALR Grammars

