

# Principles of Programming Languages

<http://www.di.unipi.it/~andrea/Didattica/PLP-15/>

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## ***Lesson 15***

- More on Denotational semantics
- Not 1-1 bindings: Aliases and overloading
- Shallow and deep binding

# Semantic Domains in Denotational Semantics

- Semantic domains must allow to model partiality and potentially infinite computations
- They must provide solutions to two kinds of **recursive definitions**
  - Definition of interpretation functions on iterative constructs, like “**while** Exp **do** Com”  
 $C\{\mathbf{while} \ e \ \mathbf{do} \ c\}s =$   
    if  $E\{e\}s = \text{false}$  then  $s$  else  $C\{\mathbf{while} \ e \ \mathbf{do} \ c\} (C\{c\}s)$
  - Recursive definitions of domains

For example, if we add parameterless procedures as expressible values in LOOP, we get the recursive domain equations shown below

$P = S \rightarrow S$	procedures
$E = N + P$	expressible values
$S = \text{Var} \rightarrow E$	states

# Kleene fixed-point theorem

- Complete Partial Orders satisfy all the listed requirements
  - A *complete partial order (CPO)* is a partial order with a least element and such that every increasing chain has a supremum
- An element  $x \in D$  is a *fixed point* of a function  $F: D \rightarrow D$  if  $F(x) = x$
- Theorem: *Every continuous function  $F$  over a complete partial order (CPO) has a least fixed-point, which is the supremum of chain*

$$F(\perp) \leq F(F(\perp)) \leq \dots \leq F^n(\perp) \leq \dots$$

- This can be exploited to solve recursive domain equations and recursive definitions of interpretation functions
- In the following: **Domain = CPO**

# Defining CPO's

- Several operators can help defining domains
  - Given a set  $X$ , its *lifting*  $X_{\perp}$  is  $X \cup \{\perp\}$ , where  $\perp < y$  for all  $y$  in  $X$  (simply add  $\perp$  to  $X$  as least element)
    - we obtain primitive domains (**Bool** $_{\perp}$ , **Nat** $_{\perp}$ , **Ide** $_{\perp}$ , **Loc** $_{\perp}$ , ...)
  - Domains are closed under the following operations
    1.  $D_1 \times D_2$  product (pairs)
    2.  $D_1 + D_2$  sum (disjoint union)
    3.  $D_1 \rightarrow D_2$  **continuous** functions
    4.  $D^n = D \times D \times \dots \times D$  lists of length  $n$
    5.  $D^* = D^0 + D^1 + \dots + D^k + \dots$  finite lists

# Notation


- Product:  $A \times B = \{(a,b) \mid a \in A, b \in B\}$   
*Projections:*  $\pi_1((a,b)) = a$        $\pi_2((a,b)) = b$
  - Sum (Union):  $A + B = \{(a,0) \mid a \in A\} \cup \{(b,1) \mid a \in B\}$   
*Injections:*  $\text{in}_1(a) = (a,0)$        $\text{in}_2(b) = (b,1)$   
*Case analysis:*  $((x,y): A+B \text{ as } \mathbf{A}) = (y=0 \rightarrow x, \text{error})$
- Note:** we do not describe error handling and propagation
- Function space:  $A \rightarrow B = \{f \mid f: A \rightarrow B \text{ is a continuous function}\}$   
*Application:* if  $f: A \rightarrow B$  and  $a \in A$ , then  $f(a) \in B$   
Often brackets are omitted:  $\mathbf{f(a)}$  becomes  $\mathbf{f a}$
  - For defining functions we use as metalanguage the  ***$\lambda$ -notation***

# $\lambda$ -notation

$\lambda$ -terms:  $t ::= x \mid \lambda x.t \mid t t \mid t \mapsto t, t \mid (t)$

- $x$  *variable*
- $\lambda x.t$  *abstraction*, defines an anonymous function
- $t t'$  *application* of function  $t$  to argument  $t'$
- $t \mapsto t_0, t_1$  *conditional*  
 $= t_0$  if  $t = true$   
 $= t_1$  if  $t = false$
- [ $\lambda$ -abstraction] *function definition*  
$$\frac{x : A, t(x) : B}{\lambda x.t : A \rightarrow B}$$
- [ $\beta$ -conversion] *function application*  
$$(\lambda x.t) t' = t [t'/x]$$

# Introducing semantic domains

- Syntactic and semantic domains depend on the language
  - Often, main syntactic domains: **Exp**, **Com**, **Decl** for Expressions, Commands, Declarations
  - Representation of memory as domain  $S: \text{Var} \rightarrow \mathbb{N}$  too simplistic. Needs to model:
    - same variable declared in multiple scopes
    - aliases
    - different effects of assignment (copy of value/reference)
    - pointers/references, ...
  - Typical solution: indirect binding of variable names to values.
    - **Ide** (identifiers): syntactic domain for program variables, formal parameters, ...
    - **Loc** (locations): semantic domain for storage variables, references, pointers, ...
    - **Env** = **Ide**  $\rightarrow$  **Dval** (environments)
    - **Store** = **Loc**  $\rightarrow$  **Sval** (stores)
- Example:** “variable x contains 5”
- |   |   |          |                 |               |
|---|---|----------|-----------------|---------------|
| x |  | r(x) = l | r: <b>Env</b>   | x: <b>Ide</b> |
|   |   | s(l) = 5 | s: <b>Store</b> | l: <b>Loc</b> |

# Environments, Stores and Values

$\text{Env} = \text{Ide} \rightarrow \text{Dval}$ (environments)
$\text{Store} = \text{Loc} \rightarrow \text{Sval}$ (stores)

- Several domains for values:
  - **Sval** (storable values) values that can be stored in memory
    - Includes at least **N**, **Bool**, ...
  - **Dval** (denotable values) values that can be bound to variables
    - Includes **Loc**
  - **Eval** (expressible values) results of evaluation of expressions
    - Typically includes **Sval**
- Domains of values can differ greatly among languages
- Eg: in Pascal **Sval** = Num + Bool and **Dval** = Loc + Arrays + Proc + Label + ...
- Stores are equipped with primitive operations
  - $\text{content} : \text{Loc} \rightarrow \text{Store} \rightarrow \text{Sval}$   
 $\text{content}(l)(s) = s(l)$                        $\text{content } l \ s = s \ l$   
 $\text{content} = \lambda l. \lambda s. s \ l$
  - $\text{update} : (\text{Loc} \times \text{Sval}) \rightarrow \text{Store} \rightarrow \text{Store}$   
 $\text{update} = \lambda x. \lambda s. \lambda l. (l = \pi_0(x) \mapsto \pi_1(x), s \ l)$   
 $\text{update } (l', v) \ s \ l = (l = l' \mapsto v, s \ l)$



# Semantic interpretation functions

- The semantic interpretation functions are

$D: \mathbf{Decl} \rightarrow \text{Env} \rightarrow \text{Store} \rightarrow (\text{Env} \times \text{Store})$

- A declaration can modify both the env. and the store

$C: \mathbf{Cmd} \rightarrow \text{Env} \rightarrow \text{Store} \rightarrow \text{Store}$

- Assumes that commands cannot change the env.

$E: \mathbf{Exp} \rightarrow \text{Env} \rightarrow \text{Store} \rightarrow \text{Eval}$

- Assumes absence of side effects

OR

$E: \mathbf{Exp} \rightarrow \text{Env} \rightarrow \text{Store} \rightarrow (\text{Eval} \times \text{Store})$

- Allows for side effects during expr. evaluation

# Example: Declaration of variables and assignment

## Syntax

Decl ::= **var** Ide = Exp |

Exp ::= ...

Com ::= Exp := Exp | ...

## Semantics: assignment with side effects

$C \{e1 := e2\} r s = \text{update}(x \text{ as } \text{Loc}, v \text{ as } \text{Sval}) s2$

where  $(x, s1) = E\{e1\} r s$

and  $(v, s2) = E\{e2\} r s1$

*Evaluates first e1 then e2: store changes are propagated*

## Semantics: declaration

$D\{\text{var } x = e\} r s = (r[l/x], s[n/l])$

where  $l = \text{newloc}(s)$

and  $n = E\{e\} r s$

*Allocates a new location*

*bound to x and containing n*

## Semantics: assignment

$C \{e1 := e2\} r s = \text{update}(x, v) s$

where  $x = E\{e1\} r s$  **as** Loc

and  $v = E\{e2\} r s$  **as** Sval

*No side-effects, no coercion*

## Semantic interpretation functions

$D: \text{Decl} \rightarrow \text{Env} \rightarrow \text{Store} \rightarrow (\text{Env} \times \text{Store})$

$C: \text{Cmd} \rightarrow \text{Env} \rightarrow \text{Store} \rightarrow \text{Store}$

$E: \text{Exp} \rightarrow \text{Env} \rightarrow \text{Store} \rightarrow \text{Eval}$  *no side eff*

$E: \text{Exp} \rightarrow \text{Env} \rightarrow \text{Store} \rightarrow (\text{Eval} \times \text{Store})$

$\text{Env} = \text{Ide} \rightarrow \text{Dval}$

$\text{Store} = \text{Loc} \rightarrow \text{Sval}$

**Dval = ... + Loc + ...**

**Eval = ... + Loc + Sval + ...**

# Example: Parameterless procedures and blocks with static and dynamic scoping

## Syntax

Decl ::= ... | **proc** Ide {Com}

Com ::= ... | **call** Ide  
| {Decl; Com}

## Semantics: blocks

$C\{ \{d; c\} \} r s = C\{c\} r' s'$   
where  $(r', s') = D\{d\} r s$

## Semantics: parameterless, dynamic scoping

$D\{\mathbf{proc} p\{c\}\} r s = (r[k/p], s)$   
where  $k = \lambda r'. \lambda s'. C\{c\} r' s'$   
 $C\{\mathbf{call} p\} r s = (r(p) \text{ as } \mathbf{Proc}) r s$

## Semantic domains

Declarations Decl

$D: \text{Decl} \rightarrow \text{Env} \rightarrow \text{Store} \rightarrow (\text{Env} \times \text{Store})$

## Dynamic scoping

**Proc** =  $\text{Env} \rightarrow \text{Store} \rightarrow \text{Store}$

## Static scoping

**Proc** =  $\text{Store} \rightarrow \text{Store}$

**Dval** = ... + **Proc**

## Semantics: no parameter static scoping

$D\{\mathbf{proc} p\{c\}\} r s = (r', s)$  **no recursion!**

where  $r' = r[\lambda s'. C\{c\} r s' / p]$

$C\{\mathbf{call} p\} r s = (r(p) \text{ as } \mathbf{Proc}) s$

$D\{\mathbf{proc} p\{c\}\} r s = (r[\alpha_0/p], s)$  **recursion**

where  $\alpha_0$  minimal fixed point of

$\alpha = \lambda s'. C\{c\} r[\alpha/p] s'$

# **ALIASES AND OVERLOADING**

## **DEEP AND SHALLOW BINDING**

# Not 1-to-1 bindings: Aliases

**Aliases:** two or more names denote the same object

Arise in several situations:

- Pointer-based data structures

**Java:**

```
Node n = new Node("hello", null);  
Node n1 = n;
```

- **common** blocks (**Fortran**), variant records/unions (**Pascal, C**)

- Passing (by name or by reference) variables accessed non-locally

```
double sum, sum_of_squares;  
...  
void accumulate(double& x)  
{  
    sum += x;  
    sum_of_squares += x * x;  
}  
...  
accumulate(sum);
```

# Problems with aliases

- Make programs more confusing
- May disallow some compiler's optimizations

```
int a, b, *p, *q;  
    ...  
a = *p; /* read from the variable referred to by p*/  
  
*q = 3; /* assign to the variable referred to by q */  
  
b = *p; /* read from the variable referred to by p */
```

- Cause for a long time of inefficiency of C versus FORTRAN compilers

# Not 1-to-1 bindings: **Overloading**

- A name that can refer to more than one object is said to be *overloaded*
  - Example: + (addition) is used for integer and floating-point addition in most programming languages
- Overloading is typically resolved at **compile time**
- Semantic rules of a programming language require that the context of an overloaded name should contain sufficient information to deduce the intended binding
- Semantic analyzer of compiler uses type checking to resolve bindings
- Ada, C++,Java, ... function overloading enables programmer to define alternative implementations depending on argument types (*signature*)
- Ada, C++, and Fortran 90 allow built-in operators to be overloaded with user-defined functions
  - enhances expressiveness
  - may mislead programmers that are unfamiliar with the code

# First, Second, and Third-Class Subroutines

- *First-class object*: an object entity that can be passed as a parameter, returned from a subroutine, and assigned to a variable
  - Primitive types such as integers in most programming languages
    - ➔ The object is in **Sval**, **Eval** and **Dval**
- *Second-class object*: an object that can be passed as a parameter, but not returned from a subroutine or assigned to a variable
  - Fixed-size arrays in C/C++
    - ➔ The object is in **Dval**
- *Third-class object*: an object that cannot be passed as a parameter, cannot be returned from a subroutine, and cannot be assigned to a variable
  - Labels of goto-statements and subroutines in Ada 83
- Functions in Lisp, ML, and Haskell are unrestricted first-class objects
- With certain restrictions, subroutines are first-class objects in Modula-2 and 3, Ada 95, (C and C++ use function pointers)



# Scoping issues for first/second class subroutines

- Critical aspects of scoping when
  - Subroutines are passed as parameters
  - Subroutines are returned as result of a function
- Resolving names declared **locally** or **globally** is obvious
  - **Global** objects are allocated statically (or on the stack, in a fixed position)
    - Their addresses are known at compile time
  - **Local** objects are allocated in the activation record of the subroutine
    - Their addresses are computed as *base of activation record + statically known offset*

# “Referencing” (“Non-local”) Environments

- If a subroutine is passed as an argument to another subroutine, when are the static/dynamic scoping rules applied?
  - 1) When the reference to the subroutine is first created (i.e. when it is passed as an argument)
  - 2) Or when the argument subroutine is finally called
- That is, what is the *referencing environment* of a subroutine passed as an argument?
  - Eventually the subroutine passed as an argument is called and may access non-local variables which by definition are in the referencing environment of usable bindings
- The choice is fundamental in languages with dynamic scope: **deep binding (1)** vs **shallow binding (2)**
- The choice is limited in languages with static scope

# Effect of Deep Binding in Dynamically-Scoped Languages

Program execution:

```
main (p)
  bound:integer
  bound := 35
  show (p, older)
    bound:integer
    bound := 20
    older (p)
      return p.age > bound
    if return value is true
      write (p)
```

Deep binding

Program prints persons  
older than 35

- The following program demonstrates the difference between deep and shallow binding:

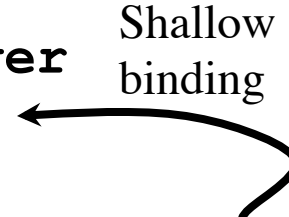
```
function older (p:person):boolean
  return p.age > bound
procedure show (p:person, c:function)
  bound:integer
  bound := 20
  if c (p)
    write (p)
procedure main (p)
  bound:integer
  bound := 35
  show (p, older)
```

# Effect of Shallow Binding in Dynamically-Scoped Languages

Program execution:

```
main (p)
  bound:integer
  bound := 35
  show (p, older)
    bound:integer
    bound := 20
    older (p)
      return p.age > bound
    if return value is true
      write (p)
```

Shallow binding



Program prints persons  
older than 20

- The following program demonstrates the difference between deep and shallow binding:

```
function older (p:person):boolean
  return p.age > bound
procedure show (p:person, c:function)
  bound:integer
  bound := 20
  if c (p)
    write (p)
procedure main (p)
  bound:integer
  bound := 35
  show (p, older)
```