Checking Graph Programs for Confluence

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Abstract. We present a method for statically verifying confluence (functional behaviour) of terminating sets of rules in the graph programming language GP 2, which is undecidable in general. In contrast to other work about attributed graph transformation, we do not impose syntactic restrictions on the rules except for left-linearity. Our checking method relies on constructing the symbolic critical pairs of a rule set using an E-unification algorithm and subsequently checking whether all pairs are strongly joinable with symbolic derivations. The correctness of this method is a consequence of the main technical result of this paper, viz. that a set of left-linear attributed rules is locally confluent if all symbolic critical pairs are strongly joinable, and our previous results on the completeness and finiteness of the set of symbolic critical pairs. We also show that for checking strong joinability, it is not necessary to compute all graphs derivable from a critical pair. Instead, it suffices to focus on the pair's persistent reducts. In a case study, we use our method to verify the confluence of a graph program that calculates shortest distances.

1 Introduction

A common programming pattern in the graph programming language GP 2 [17] is to apply a set of attributed graph transformation rules as long as possible. To execute a set of rules $\{r_1, \ldots, r_n\}$ for as long as possible on a host graph, in each iteration an applicable rule is selected and applied. As rule selection and rule matching are non-deterministic, different graphs may result from such an iteration. Thus, if the programmer wants the loop to implement a function, a static analysis that establishes or refutes functional behaviour would be desirable.

GP 2 is based on the double-pushout approach to graph transformation with relabelling [6]. Programs can perform computations on labels by using rules labelled with expressions (also known as attributed rules). GP 2's label algebra consists of integers, character strings, and heterogeneous lists of integers and strings. Rule application can be seen as a two-stage process where rules are first instantiated, by replacing variables with values and evaluating the resulting expressions, and then applied as usual. Hence rules are actually rule schemata.

Conventional confluence analysis in the double-pushout approach to graph transformation is based on *critical pairs*, which represent conflicts in minimal context [16,4]. A conflict between two rule applications arises, roughly speaking, when one of the steps cannot be applied to the result of the other. In the presence of termination, one can check if all critical pairs are *strongly joinable*, and thus establish that the set of transformation rules is confluent.

In our previous paper [11], we developed the notion of symbolic critical pairs for GP 2 rule schemata which are minimal conflicting derivations, labelled with expressions. The set of such pairs is finite and complete, in the sense that they represent all possible conflicts that may arise during computation. Furthermore, we gave an algorithm for constructing the set of symbolic critical pairs induced by a set of schemata, which uses our E-unification algorithm of [8]. The approach does not place severe restrictions on labels appearing in rules, as the attributed setting of [3]. What remains to be shown is how to use such critical pairs in the context of confluence analysis.

In this paper, we present our method for statically verifying confluence of terminating sets of GP 2 rules. We introduce a notion of symbolic rewriting that allows us to rewrite the graphs of critical pairs, and show how it is used for confluence analysis. The correctness of our analysis is a consequence of the main technical result of this paper, namely that a set of left-linear attributed rules is locally confluent if all symbolic critical pairs are strongly joinable. We also show that for checking strong joinability, it is not necessary to compute all graphs derivable from a critical pair but it suffices to focus on the pair's persistent reducts. In a case study, we use our method to verify the confluence of a graph program that calculates shortest distances.

We assume the reader to be familiar with basic notions of the double-pushout approach to graph transformation (see [3]). The long version version of this paper [10] contains the full technical proofs together with the full shortest distances case study.

2 Graphs and Graph Programs

In this section, we present the approach of GP 2 [17,1], a domain-specific language for rule-based graph manipulation. The principal programming units of GP 2 are rule schemata $\langle L \leftarrow K \rightarrow R \rangle$ labelled with expressions that operate on host graphs (or input graphs) labelled with concrete values. The language also allows to combine schemata into programs. The definition of GP 2's latest version, together with a formal operational semantics, can be found in [1]. We start by recalling the basic notions of partially labelled graphs and their morphisms.

Labelled graphs. A (partially) labelled graph G consists of finite sets V_G and E_G of nodes and edges, source and target functions for edges $s_G, t_G \colon E_G \to V_G$, and a partial node/edge labelling function $l_G \colon V_G + E_G \to \mathcal{L}$ over a (possibly infinite) label set \mathcal{L} . Given a node or edge x, $l_G(x) = \bot$ expresses that $l_G(x)$ is undefined. The graph G is totally labelled if l_G is a total function. The classes of partially and totally labelled graphs over \mathcal{L} are denoted as $\mathcal{G}_{\bot}(\mathcal{L})$ and $\mathcal{G}(\mathcal{L})$.

A premorphism $g\colon G\to H$ consists of two functions $g_V\colon V_G\to V_H$ and $g_E\colon E_G\to E_H$ that preserve sources and targets, and is a graph morphism if it preserves labels of nodes/edges, that is $l_H(g(x))=l_G(x)$ for all $x\in Dom(l_G)$. A morphism g preserves undefinedness if it maps unlabelled items of G to unlabelled items in H. A morphism g is an inclusion if g(x)=x for all items x in G.

Note that inclusions need not preserve undefinedness. Morphism g is injective (surjective) if g_V and g_E are injective (surjective), and is an isomorphism (denoted by \cong) if it is injective, surjective and preserves undefinedness. The class of injective label preserving morphisms is denoted as \mathcal{M} for short, and the class of injective label and undefinedness preserving morphisms is denoted as \mathcal{N} .

Partially labelled graphs and label-preserving morphisms constitute a category [7,6]. Composition of morphisms is defined componentwise. It this category not all pushouts exist, and not all pushouts along \mathcal{M} -morphisms are natural¹.

GP 2 labels. The types int and string represent integers and character strings. The type atom is the union of int and string, and list represents lists of atoms. Given lists l_1 and l_2 , we write $l_1:l_2$ for the concatenation of l_1 and l_2 (not to be confused with the list-cons operator in Haskell). Atoms are lists of length one. The empty list is denoted by empty. Variables may appear in labels in rules and are typed over the above categories. Labels in rule schemata are built up from constant values, variables, and operators - the standard arithmetic operators for integer expressions (including the unary minus), string/list concatenation for string/list expressions, indegree and outdegree operators for nodes. In pictures of graphs, nodes or edges that are shown without a label are implicitly labelled with the empty list, while unlabelled items in interfaces are labelled with \perp to avoid confusion.

Additionally, a label may contain an optional mark which is represented graphically as a colour. For example, the grey node of the rule schema init in Figure 3 has the label (x:0,grey).

Rule schemata and direct derivations. In order to compute with labels, it is necessary that nodes and edges can be relabelled during computation. The double-pushout approach with partially labelled interface graphs is used as a formal basis [6]. This approach is also the foundation of GP 2.

To apply a rule schema to a graph, the schema is first instantiated by evaluating its labels according to some assignment α . An assignment α maps each variable occurring in a given schema to a value in GP 2's label algebra. Its unique extension α^* evaluates the schema's label expressions according to α . For short, we denote GP 2's label algebra as A. Its corresponding term algebra over the same signature is denoted as T(X), and its terms are used as graph labels in rule schemata. Here X is the set of variables occurring in schemata. A substitution σ maps variables to terms. To avoid an inflation of symbols, we sometimes equate A or T(X) with the union of its carrier sets.

A GP 2 rule schema $r = \langle L \leftarrow K \rightarrow R \rangle$ consists of two inclusions $K \rightarrow L$ and $K \rightarrow R$ such that L and R are graphs in $\mathcal{G}(T(X))$ and K is a graph in $\mathcal{G}_{\perp}(T(X))$. Consider a graph G in $\mathcal{G}_{\perp}(T(X))$ and an assignment $\alpha \colon X \rightarrow A$. The instance G^{α} is the graph in $\mathcal{G}_{\perp}(A)$ obtained from G by replacing each label l with $\alpha^*(l)$. The instance of a rule schema $r = \langle L \leftarrow K \rightarrow R \rangle$ is the rule $r^{\alpha} = \langle L^{\alpha} \leftarrow K^{\alpha} \rightarrow R^{\alpha} \rangle$.

¹ A pushout is natural if it is also a pullback.

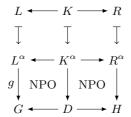


Fig. 1: A direct derivation

A direct derivation via rule schema r and assignment α between host graphs $G, H \in \mathcal{G}(A)$ consists of two natural pushouts as in Figure 1. We denote such a derivation by $G \stackrel{r,g,\alpha}{\Longrightarrow} H$. In [6] it is shown that in case the interface graph K has unlabelled items, their images in the intermediate graph D are also unlabelled. By [6, Theorem 1], given a rule r and a graph G together with an injective match $g: L \to G$ satisfying the dangling condition (no node in g(L) - g(K) is incident to an edge in G - g(L)), there exists a unique double natural pushout as in Figure 1. The track function allows to "follow nodes through derivations" $-track_{G\Rightarrow H}: V_G \to V_H$ is the partial function defined by track(v) = c(v) for all $v \in D$ where c is the inclusion $D \to H$. Later we will allow for rules to be applied to graphs in $\mathcal{G}(T(X))$.

For an example rule schema and graph program, see the start of Section 5. When a rule schema is graphically declared as done in Figure 3, the interface is represented by the node numbers in L and R. Nodes without numbers in L are to be deleted and nodes without numbers in R are to be created. All variables in R have to occur in L so that for a given match of L in a host graph, applying the rule schema produces a graph that is unique up to isomorphism.

Program constructs. The language GP 2 offers several operators for combining programs - the postfix operator '!' iterates a program as long as possible; sequential composition 'P; Q'; a rule set $\{r_1, \ldots, r_n\}$ tries to non-deterministically apply any of the schemata (failing if none are applicable); if C then P else Q allows for conditional branching (C,P,Q) are arbitrary programs) meaning that if the program C succeeds on a copy of the input graph then P is executed on the original, if C fails then Q is executed on the original input graph.

Confluence. A set of rule schemata \mathcal{R} is confluent if for all graphs G, H_1, H_2 with derivations $H_1 \Leftarrow_{\mathcal{R}}^* G \Rightarrow_{\mathcal{R}}^* H_2$ there is a graph M with $H_1 \Rightarrow_{\mathcal{R}}^* M \Leftarrow_{\mathcal{R}}^* H_2$. \mathcal{R} is locally confluent if this property holds for direct derivations $H_1 \Leftarrow_{\mathcal{R}} G \Rightarrow_{\mathcal{R}} H_2$. Finally, \mathcal{R} is terminating if there is no infinite sequence $G_0 \Rightarrow_{\mathcal{R}} G_1 \Rightarrow_{\mathcal{R}} \dots$ of direct derivations.

Assumptions. Our previous results on critical pairs [11] involve several restrictions. Firstly, the proper treatment of GP 2 conditional rule schemata requires extra results about shifting of conditions along morphisms and rules, which we do not treat here formally. We give an intuition of how to deal with conditions on labels in our shortest distances case study in Section 5. Secondly, we assume rule

schemata to be *left-linear*, meaning no list variables are shared between graph nodes/edges in schemata. This ensures that overlapping graphs with expressions results in a finite set of critical pairs.

3 Symbolic Critical Pairs

Confluence [16] is a property of a rewrite system that ensures that any pair of derivations on the same host graph can be joined again thus leading to the same result, and is an important property for many kinds of graph transformation systems. A confluent computation is globally deterministic despite local non-determinism. The main technique for confluence analysis is based on the study of critical pairs which are conflicts in minimal context.

In our previous paper [11], we defined critical pairs for GP 2 that are labelled with expressions rather than from a concrete data domain. Each symbolic critical pair represents a possibly infinite set of conflicting host graph derivations. Hence, it is possible to foresee each conflict by computing all critical pairs statically. What is special about our critical pairs is that they show the conflict in the most abstract way. Informally, a pair of derivations $T_1 \stackrel{r_1,m_1,\sigma}{\longrightarrow} S \stackrel{r_2,m_2,\sigma}{\longrightarrow} T_2$ between graphs labelled with expressions is a symbolic critical pair if it is in conflict and minimal. Two direct derivations are independent if neither derivation deletes or relabels any common item, and in conflict if otherwise. Independent derivations have the Church-Rosser property as shown in [9] for the case of rule schemata.

Minimality of a pair of derivations means the pair of matches (m_1, m_2) is jointly surjective – the graph S can be considered as a suitable overlap of L_1^{σ} and L_2^{σ} . Formally, overlapping graphs L_1 and L_2 via premorphisms $m_1: L_1 \to S, m_2: L_2 \to S$ induces a system of unification problems:

$$EQ(L_1 \xrightarrow{m_1} S \xleftarrow{m_2} L_2) = \{l_{L_1}(a) = l_{L_2}(b) \mid (a, b) \in L_1 \times L_2 \text{ with } m_1(a) = m_2(b)\}$$

The substitution σ above is taken from the complete set of unifiers of the above system computed by our unification algorithm of [8], and is used to instantiate the schemata to a critical pair.

Definition 1 (Symbolic Critical Pair [11]). A symbolic critical pair is a pair of direct derivations $T_1 \stackrel{r_1,m_1,\sigma}{\rightleftharpoons} S \stackrel{r_2,m_2,\sigma}{\rightleftharpoons} T_2$ on graphs labelled with expressions such that:

- (1) σ is a substitution from a complete set of unifiers of $(EQ(L_1 \xrightarrow{m_1} S \xleftarrow{m_2} L_2))$ where L_1 and L_2 are the left-hand graphs of r_1 and r_2 , m_1 and m_2 are premorphisms, and
- (2) the pair of derivations is in conflict, and
- (3) $S = m_1(L_1^{\sigma}) \cup m_2(L_2^{\sigma})$, meaning S is minimal, and
- (4) $r_1^{\sigma} = r_2^{\sigma} \text{ implies } m_1 \neq m_2.$

We assume that the variables occurring in different rule schemata are distinct, which can always be achieved by variable renaming. The derivations have to be via left-linear rule schemata in order for our unification algorithm to work on the systems of unification problems EQ. For example critical pairs, see Section 5.

Properties of Symbolic Critical Pairs. Below we present the properties of critical pairs as proven in [11]. Symbolic critical pairs are complete, meaning that each pair of conflicting direct derivations is an instance of a symbolic critical pair. Additionally, the set of symbolic critical pairs is finite.

Theorem 1 (Completeness and Finiteness of Critical Pairs [11]). For each pair of conflicting rule schema applications $H_1 \stackrel{r_1,m_1,\alpha}{\rightleftharpoons} G \stackrel{r_2,m_2,\alpha}{\Longrightarrow} H_2$ between left-linear schemata r_1 and r_2 there exists a symbolic critical pair $T_1 \stackrel{r_1}{\rightleftharpoons} S \stackrel{r_2}{\Longrightarrow} T_2$ with the (extension) diagrams (1) and (2) between $H_1 \Leftarrow G \Rightarrow H_2$ and an instance of $T_1 \Leftarrow S \Rightarrow T_2$. Moreover, the set of symbolic critical pairs induced by r_1 and r_2 is finite. $T_1 \Leftarrow S \Rightarrow T_2$

$$\begin{array}{ccc}
\downarrow & \downarrow & \downarrow \\
Q_1 \Leftarrow P \Rightarrow Q_2 \\
\downarrow & (1) & \downarrow & (2) \\
H_1 \Leftarrow G \Rightarrow H_2
\end{array}$$

4 Symbolic Rewriting and Joinability

Symbolic critical pairs consist of graphs labelled with expressions. This is necessary for making the set of critical pairs finite as GP 2's infinite label algebra induces an infinite set of conflicts at the instance (host) level. How to rewrite such graphs is what we focus on next as the current GP 2 framework only defines rewriting of host graphs.

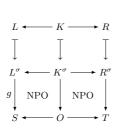
In this section we propose *symbolic rewriting* of GP 2 graphs to overcome the above limitation. This allows for the representation of multiple host graph direct derivations. The overall aim is to use symbolic rewriting for establishing the (strong) joinability of critical pairs.

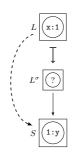
4.1 Symbolic Rewriting

Informally, symbolic rewriting introduces a relation on rule graphs (\Rightarrow) where matching is done by treating variables as typed symbols/constants. What is special in our setting is that rules cannot introduce new variables. Furthermore, since application conditions cannot usually be checked for satisfiability as values for variables are not known at analysis time, they are only recorded as assumptions to be resolved later. This type of rewriting is very similar to symbolic graph transformation, e.g. as in [15].

Isomorphism and label equivalence. Since we now consider graphs in $\mathcal{G}(T(X))$ involving GP 2 label expressions, we relax the definition of isomorphism presented in Section 2 by replacing label equality with equivalence. Furthermore, since graph isomorphism is central to the discussion of joinability of critical pairs, we define how isomorphism relates to a critical pair's set of persistent nodes.

Two graphs $G, H \in \mathcal{G}(T(X))$ are E-isomorphic (denoted by $G \cong_E H$) if there exists a bijective premorphism $i: G \to H$ such that $l_H(i(x)) \approx_E l_G(x)$ for all





- (a) A symbolic direct derivation.
- (b) Symbolic matching.

Fig. 2: Symbolic rewriting.

nodes and edges of G. Here \approx_E is the equivalence relation on GP2 expressions given by all the equations valid in GP 2's label algebra of integer arithmetic and list/string concatenation. Furthermore, G and H are \mathcal{P} -strongly isomorphic if $G \cong_E H$, $\mathcal{P} \subseteq V_G$, $\mathcal{P} \subseteq V_H$, and i(v) = v for all $v \in \mathcal{P}$. Here \mathcal{P} is the set of persistent nodes of a critical pair, and when it is obvious from the context we drop it.

For an example of why a more general notion of isomorphism is needed, consider the schemata $r_1: \[\underline{\mathtt{m}:n}\] \Rightarrow \[\underline{\mathtt{m}+n}\]$ and $r_2: \[\underline{\mathtt{m}:n}\] \Rightarrow \[\underline{\mathtt{n}+m}\]$ which both match a node labelled with a list of two integers (m and n) but relabel the node to (syntactically) different expressions. The derivations $\[\underline{\mathtt{n}+m}\] \leftarrow r_1 \[\underline{\mathtt{m}:n}\] \Rightarrow r_2 \[\underline{\mathtt{m}+n}\]$ represent a symbolic critical pair (conflict due to relabelling). The resulting graphs are normal forms, and isomorphic only if one considers the commutativity of addition.

Symbolic derivation. The essence of symbolic rewriting is to allow rule schemata to be applied to graphs labelled with expressions $\mathcal{G}(T(X))$. In the terminology of Section 2, assignments become substitutions $\sigma: X \to T(X)$. We call such a derivation symbolic. For example, the critical pairs in Figure 4 involve such symbolic derivations. Operationally, constructing symbolic derivations involves obtaining a substitution σ for the variables of L given a premorphism $L \to S$, and then constructing a direct derivation with relabelling as in Section 2.

Definition 2 (Symbolic direct derivation). A symbolic direct derivation via rule schema r, substitution σ between graphs $S, T \in \mathcal{G}(T(X))$ consists of two natural pushouts via match $g: L^{\sigma} \to S$ as in Figure 2a.

We denote symbolic derivations by $S \stackrel{r,g,\sigma}{\Rightarrow} T$. To avoid instantiating variables already occurring in S, we forbid them occurring in the domain of the substitution σ . Thus for the premorphism $L \to S$ in Figure 2b there is no valid substitution to make the symbolic application work - one would need to substitute the variable y with y':1 where y' is fresh. As a result, this kind of rewriting is incomplete in that not all host graph derivations can be represented by symbolic derivations.

Symbolic derivations allow for the representation of multiple host graph direct derivations, and can be seen as transformations of specifications. The proposition below states that the application of symbolic rule schema coincides, in some sense, with respect to the host graph derivations it represents. For its proof see [10].

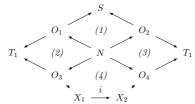
Lemma 1 (Soundness of symbolic rewriting). For each symbolic derivation $S \stackrel{r,g,\sigma}{\Rightarrow} T$ and each host graph $G = S^{\lambda}$ and assignment λ , there exists a direct derivation $G \stackrel{r,g,\alpha}{\Rightarrow} H$ where $H = T^{\lambda}$ and $\alpha = \lambda \circ \sigma$.

4.2 Joinability

Confluence analysis is based on the joinability of critical pairs. Informally, a symbolic critical pair $T_1 \Leftarrow S \Rrightarrow T_2$ is *joinable* if there exist symbolic derivations from T_1 and T_2 to a common graph. However, it is known that joinability of all critical pairs is not sufficient to prove local confluence [16]. Instead, one needs to consider a slightly stronger notion called *strong* joinability that requires a set of *persistent* nodes in a critical pair to be preserved by the joining derivations. The set of persistent nodes of a critical pair is consists of all in S that are preserved by both steps: $\mathcal{P} = V_{T_1} \cap V_{T_2}$.

Definition 3 (Strong joinability). A symbolic critical pair $T_1 \Leftarrow S \Rightarrow T_2$ is strongly joinable if we have the following:

- 1. joinability: there exist symbolic derivations $T_1 \Rightarrow^* X_1 \cong_E X_2 \Leftarrow^* T_2$ where $i: X_1 \to X_2$ is an E-isomorphism.
- 2. strictness: let N be the pullback object of $O_1 \rightarrow S \leftarrow O_2$ (1). Then there exist morphisms $N \rightarrow O_3$ and $N \rightarrow O_4$ such that the squares (2), (3) and (4) commute:



The strictness condition can be restated in terms of the track morphisms of the joining derivations, as in [16]: the track morphisms $track_{S \Rightarrow T_1 \Rightarrow^* X_1}$ and $track_{S \Rightarrow T_1 \Rightarrow^* X_2}$ are defined and commute on the persistent nodes of the critical pair, i.e. $i(track_{S \Rightarrow T_1 \Rightarrow^* X_1}(v)) = track_{S \Rightarrow T_2 \Rightarrow^* X_2}(v)$ for each $v \in \mathcal{P}$. The graphs O_3 and O_4 in the above definition are the derived spans of the joining derivations.

Lemma 2 (Joinability preservation). If a symbolic critical pair $T_1 \Leftarrow S \Rightarrow T_2$ is strongly joinable, then each of its instances according to some assignment λ $(T_1^{\lambda} \Leftarrow S^{\lambda} \Rightarrow T_2^{\lambda})$ is also strongly joinable.

Proof. See [10].

 $\label{eq:main} \begin{array}{ll} \text{Main} = \text{init}; \; \{\text{add, reduce}\}! \\ \\ \text{init}(\textbf{x}: \texttt{list}) \\ \hline & \textbf{x} & \Rightarrow & \textbf{x}: \textbf{0} \\ \\ \text{add}(\textbf{x}, \textbf{y}: \texttt{list}; \; \textbf{m}, \textbf{n}: \text{int}) \\ \hline & \textbf{x}: \textbf{m} & \textbf{y} & \Rightarrow & \textbf{x}: \textbf{m} & \textbf{n} & \textbf{y}: \textbf{m} + \textbf{n} \\ \\ \text{x}: \textbf{m} & \textbf{v} & \textbf{y}: \textbf{p} & \Rightarrow & \textbf{x}: \textbf{m} & \textbf{n} & \textbf{y}: \textbf{m} + \textbf{n} \\ \\ \hline & \textbf{x}: \textbf{m} & \textbf{v} & \textbf{y}: \textbf{p} & \Rightarrow & \textbf{x}: \textbf{m} & \textbf{n} & \textbf{y}: \textbf{m} + \textbf{n} \\ \\ \text{where } \textbf{m} + \textbf{n} < \textbf{p} \\ \end{array}$

Fig. 3: Shortest Distances program

5 Case Study: Shortest Distances

The shortest distances problem is about calculating the paths between a given node (the *source* node) and all other nodes in a graph such that the sum of the edge weights on each path is minimized. The Bellman–Ford algorithm [2] is an algorithm that solves that problem. It is based on *relaxation* in which the current distance to a node is gradually replaced by more accurate values until eventually reaching the optimal solution. An assumption made is that there is no *negative cycle* (a cycle whose edge weights sum to a negative value) that is reachable from the source, in which case there is no shortest path.

 $GP\ 2$ implementation. A GP 2 program that implements the above algorithm is shown in Figure 3. Distances from the source node are recorded by appending the distance value to each node's label. Nodes marks are used: the source node is red, visited nodes are gray, and unvisited nodes are unmarked. Given an input graph G with a unique source node and no negative cycle, the program initializes the distance of the source node to 0. The add rule explores the unvisited neighbours of any visited nodes, assigns them a tentative distance and marks them as visited to avoid non-termination. The reduce rule finds occurrences of visited nodes whose current distance is higher than alternative distances, i.e. only when the application condition (m+n < p) is satisfied by the schema instantiation. The program terminates when neither add or reduce rules can be further applied.

However, since rule application is non-deterministic, different graphs may result from a program execution. The above algorithm is correct only if the loop {add,reduce}! is confluent. In the absence of a full program verification, a programmer may want to check that this loop indeed returns unique results.

Critical Pairs. The symbolic critical pairs of the above program are given in Figure 4 and Figure 5. There are 7 critical pairs in total: two between add with itself (SD1/2), one between add and reduce (SD3), and four between reduce

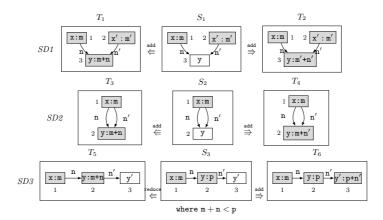


Fig. 4: Shortest Distances critical pairs involving add.

with itself (SD4-7). All of the conflicts are due to relabelling of a common node. Note that due to the semantics of GP 2 marks (marked cannot match unmarked), other conflicts are not possible. Variables have been renamed where necessary.

The critical pairs SD1/2 are between the rule add with itself where an unvisited node can get initialized with different distance values, either from 2 neighbouring nodes or from the same node but different (parallel) edges. In SD3 the distance of a node in a path is used in different ways: either to initialize the distance of a neighbouring node (via add), or to have its own distance updated (via reduce). (Note that application conditions is recorded as part of the critical pair.) The critical pairs SD4/5 represent a conflict of reduce with itself where a node may get different updated distance values depending on which path is chosen, similar to SD1/2. SD6 involves a 2-cycle where either node gets its distance updated by reduce. SD7 involves a sequence of three nodes, similar to SD3.

Joinability Analysis. Due to space limitations, here we only give a top-level explanation of why each of the critical pairs are strongly joinable. See [10] for the full details. The result of the analysis is that all critical pairs are strongly joinable except the 2-cycle critical pair SD6 whose label condition is unsatisfiable assuming non-negative cycles and the semantic argument that both schemata do not modify edge labels. (Without using this information, the critical pair is not joinable.) Hence the loop {add, reduce}! is confluent.

An interesting practical aspect of joinability is that it involves, in most cases, checking label equivalences for validity. (We check for validity rather than satisfiability since we need that all instances of a strongly joinable critical pair to be strongly joinable rather than at least one.) For this purpose, we use the SMT solver Z3 [14]. It provides support for (linear) integer arithmetic, arrays, bit vectors, quantifiers, implications, etc.

For the critical pair SD1, the result graphs T_1 and T_2 are isomorphic only if the label equivalence m + n = m' + n' is valid, which it is not (encoded as a forall expression in Figure 6a where variables have been renamed). The

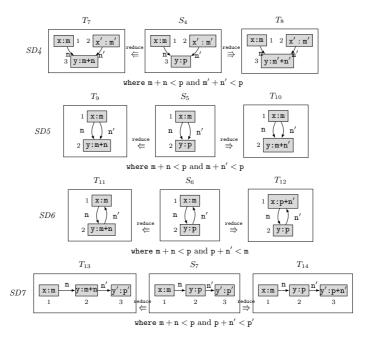


Fig. 5: Shortest Distances critical pairs involving only reduce.

```
      (define-fum T7_T888 () Bool

      (forall ((m Int) (m2 Int))
      (forall ((m Int) (p Int))

      (n1 Int) (n2 Int))
      (= (+ m 1 n1) (+ m2 n2)) ))

      (a) Label equivalence example for SD1.
      (define-fum T77_T888 () Bool

      (forall ((m Int) (p Int))
      (n1 Int) (n2 Int))

      (=> (< (+ m n1) p)</td>
      (< (+ m n1 n2) (+ p n2)) )))</td>

      (assert T77_T888)
      (b) Implication checking for SD3.
```

Fig. 6: Z3 code for label equivalence analysis of shortest distances.

analysis proceeds by applying reduce to both T_1 and T_2 , and the semantics of the reduce condition (containing comparison of integer expressions) guarantees a strong isomorphism between the results. Note that reduce is necessary for the joining derivations, meaning the rule add is not confluent on its own. The analysis of SD2 proceeds in a similar way as SD1 with the same conclusion.

For SD3, one needs to check *implications* between conditions to ensure strong joinability between a pair of derivable graphs. An implication that shows up during the analysis is shown in Figure 6b which Z3 reports to be valid. Therefore the critical pair is strongly joinable. For the critical pairs SD4/5, their result graphs are exactly the result graphs of SD1/2, which greatly simplifies the analysis. Note that the joint label condition concerns the integer variable p which disappears during rule application, effectively having no effect on the analysis.

The critical pair SD6 is different than the rest-its label condition is satisfiable only when the sum of the edge labels is negative (n+n'<0), which is not possible under the assumption of no negative cycles and the observation that no rules modify edge labels. Without this semantic information, it is possible

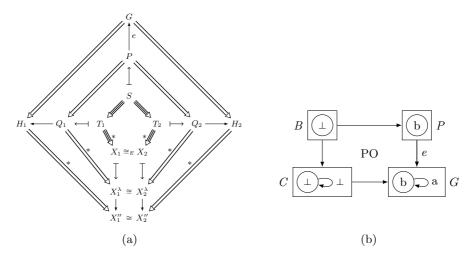


Fig. 7: Local Confluence Diagram (a) and Initial pushout in \mathcal{G}_{\perp} (b). to instantiate the critical pair to a concrete graph with non-isomorphic normal forms, and thus obtain an example of non-confluence.

6 Local Confluence

In this section we present the Local Confluence Theorem which establishes the local confluence of \mathcal{R} if all symbolic critical pairs are strongly joinable. It was first shown in [16] for the (hyper)graph case and later extended to (weak) adhesive categories in [5]. We also discuss our method for confluence checking based on symbolic critical pairs.

Theorem 2 (Local Confluence Theorem). A set \mathcal{R} of left-linear rule schemata is locally confluent if all of its symbolic critical pairs are strongly joinable.

The full proof closely follows the Local Confluence Theorem proof of [3, Theorem 6.28], which requires several properties of \mathcal{M} and \mathcal{N} established in [7]. Due to space limitations, here we give only an outline containing the important steps.

Proof outline. For a given pair of direct derivations $H_1 \stackrel{r_1,m_1,\alpha}{\Leftarrow} G \stackrel{r_2,m_2,\alpha}{\Rightarrow} H_2$, we have to show the existence of derivations $H_1 \Rightarrow_{\mathcal{R}}^* X_1'' \cong X_2'' \Leftarrow_{\mathcal{R}}^* H_2$ as the outer part of Figure 7a. If the given pair is independent, this follows from the Church-Rosser Theorem for rule schemata [9]. If the given pair is in conflict,

Theorem 1 implies the existence of a symbolic critical pair $T_1 \stackrel{r_1,m'_1,\sigma}{\Leftarrow} S \stackrel{r_2,m'_2,\sigma}{\Rightarrow} T_2$ with extension diagrams as in the upper half of Figure 7a involving an instance of the critical pair, and the extension morphism $e:P\to G\in\mathcal{N}$. By assumption, this critical pair is strongly joinable. By Lemma 2, the critical pair instance $Q_1 \Leftarrow P \Rightarrow Q_2$ is also strongly joinable, leading to derivations $P \Rightarrow Q_1 \Rightarrow^* X_1^\lambda \cong X_2^\lambda \Leftarrow^* Q_2 \Leftarrow P$ where λ is the instantiation of the symbolic critical pair.

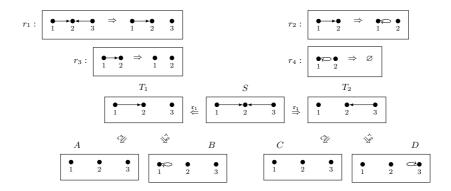


Fig. 8: Joinability analysis with persistent reducts.

The next step is to show that the joining derivations $P \Rightarrow Q_1 \Rightarrow^* X_1^{\lambda} \cong X_2^{\lambda} \Leftarrow^* Q_2 \Leftarrow P$ can be extended via the morphism $e: P \to G \in \mathcal{N}$. This involves constructing the initial pushout of e and showing that the joining derivations preserve its boundary graph. Here the commutativity of the squares (2) and (3) in Definition 3 is used, together with the properties of pullbacks and initial pushouts.

The final step involves showing that $X_1'' \cong X_2''$. This is due to the commutativity of (4) in Definition 3 and that pushouts are unique up to isomorphism. \square

Remark. The full proof of the theorem requires the construction of the boundary/context graph of $e:P\to G\in\mathcal{N}$. This is always possible in our setting use the same definition as in the unlabelled case (e.g. see [3, Example 6.2]) and omit labels as done in Figure 7b. Other necessary results include the Embedding and Extension Theorems, pair factorization, pushout-pullback decomposition. These are easily obtained by inspecting the proofs in [5] which already considers categories with a special set of vertical morphisms.

Confluence analysis. Next we give our decision procedure for confluence based on symbolic critical pairs. In the following, we consider only terminating sets of rules \mathcal{R} since a non-terminating rule set may be locally confluent but not confluent. We begin by discussing persistent reducts.

In the context of a critical pair $T_1 \Leftarrow_{\mathcal{R}} S \Rightarrow_{\mathcal{R}} T_2$ with a set of persistent nodes \mathcal{P} , a graph X derivable from T_1 or T_2 is a persistent reduct if the only rules of \mathcal{R} applicable to X would delete a node in \mathcal{P} . Such graphs are useful when searching for joining derivations – one need not consider graphs derivable from such reducts because strong joinability requires the existence of all persistent nodes. However, it is not enough to nondeterministically compute a pair of reducts and then compare them for strong joinability. Instead, one needs to consider all reducts.

Consider the terminating set of rules in Figure 8. This system is non-confluent because of the derivations $A \Leftarrow^* S \Rightarrow^* D \stackrel{r_4}{\Rightarrow} \bullet$, which are two non-isomorphic normal forms. However, a confluence checker needs to search for strong joinability of critical pairs first. A strongly joinable critical pair $T_1 \Leftarrow_{\mathcal{R}} S \Rightarrow_{\mathcal{R}} T_2$ is given.

Input: A terminating set of left-linear rules \mathcal{R} with a set of critical pairs CP

```
1 foreach cp = (T_1 \Leftarrow_{\mathcal{R}} S \Rightarrow_{\mathcal{R}} T_2) in CP do
 2
        for i = 1, 2 \, do
 3
             construct all derivations T_i \Rightarrow_{\mathcal{R}}^* X_i where X_i is a persistent reduct
             {let PR_i be the set of all persistent reducts X_i}
 4
 5
 6
        foreach pair of graphs (A, B) in PR_1 \times PR_2 do
 7
             if there exists a strong isomorphism A \to B then
             mark cp as strongly joinable
 8
             \mathbf{end}
 9
10
        end
11 end
12 if all critical pairs in CP are strongly joinable then
     return "confluent"
14 else
     return "unknown"
15
16 end
```

Algorithm 1: Confluence Analysis Algorithm

All the nodes of S are persistent nodes ($\mathcal{P} = V_S$). The graphs T_1 and T_2 have multiple persistent reducts - T_1 reduces to A and B while T_2 reduces to C and D. The isomorphism $A \cong C$ is strong, $B \cong D$ violates the strictness condition, $A \ncong D$ and $B \ncong C$, thus a confluence checker needs to compare all persistent reducts for strong isomorphism.

Next we show that it is sufficient to consider only persistent reducts when trying to establish the strong joinability of a critical pair. For the proof see [10].

Proposition 1. If a critical pair $T_1
vert _R S \Rightarrow_R T_2$ is strongly joinable, then there exists a pair of strongly isomorphic graphs X_1 and X_2 such that X_i is a persistent reduct derivable from T_i , i = 1, 2.

Confluence Algorithm. Given a set of symbolic critical pairs and a terminating rewrite relation \mathcal{R} , Algorithm 1 checks whether all symbolic critical pairs are strongly joinable (Definition 3) by computing persistent reducts and then checking for strong isomorphisms. If that is the case, then the symbolic critical pair is strongly joinable. It is sufficient to consider persistent reducts due to Proposition 1. If all critical pairs are found to be strongly joinable, the algorithm reports \mathcal{R} to be confluent. Otherwise, it reports "unknown".

Isomorphism checking is an integral part of joinability analysis. Since at the host graph level every label is taken from the concrete GP 2 label algebra without variables, checking for isomorphism (\cong) is decidable. However, when analysing graphs at the symbolic level, the problem of E-isomorphism (\cong_E) involves deciding validity of equations in Peano arithmetic. To the best of our knowledge, the problem is open for pure equations (no negation). Nevertheless, decidable fragments exist such as Presburger Arithmetic (with a double exponential lower bound on the worst-case time complexity), whose decision procedures can be used during the analysis of the shortest distances case study (as shown in [10]).

Note that the confluence algorithm does not determine non-confluence. This is due to the limitations of symbolic rewriting: not every host graph derivation can be represented by a symbolic derivation, as previously shown in Figure 2b. However, in certain cases it is possible to use a combination of unification and satisfiability checking to determine that two non-isomorphic persistent reducts represent non-isomorphic normal forms at the host graph level. In these cases the algorithm could report non-confluence, which is a topic of ongoing work.

Related work. It is important to stress the differences with the symbolic approach of [13] which also defines symbolic critical pairs. That paper is in the context of symbolic graph transformation [15] where whole classes of attributed graphs are transformed via symbolic rules (rules equipped with first-order logical formulas). Symbolic critical pairs represent all possible conflicts between such symbolic rules. However, no construction algorithm is given for these critical pairs. In fact, that paper treats attribute algebras as parametric, and thus a general construction algorithm is an undecidable problem. Joinability and local confluence are not considered. Symbolic rewriting is used to check critical pairs for direct confluence, which serves as an inspiration for our approach to validity checking in our case study.

The differences with critical pairs in the attributed setting of [3] are similar to the above. In this setting, graph attributes are represented via special *data* nodes and linked to ordinary graph nodes/edges via attribution edges, giving rise to infinite graphs. Attributed rules contain a data node for each term in the term algebra. The critical pair construction however is restricted to rules whose attributes are variables or variable-free. As above, attribute algebras are treated as parameters. The algorithmic aspects of confluence analysis are not considered.

7 Conclusion

We have presented a method for statically verifying confluence (functional behaviour) of terminating sets of GP 2 rules, based on constructing the symbolic critical pairs of a rule set and checking that all pairs are strongly joinable with symbolic derivations. The correctness of this method is a consequence of the main technical result, namely that a set of left-linear attributed rules is locally confluent if all symbolic critical pairs are strongly joinable. We have also shown it is sufficient to focus on the persistent reducts when checking strong joinability. In a case study, we used our method to verify the confluence of a graph program that calculates shortest distances.

An interesting topic of future work is the extension of confluence analysis to handle GP 2 program constructs other than looping, e.g. conditional branching. Another topic is the practical aspects of joinability analysis, namely finding specialized decision procedures for label equivalence (e.g. see [12]). Moreover, as mentioned in Section 6, we are currently extending the confluence algorithm to report non-confluence in certain cases.

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