## Lexical Analysis

Scanning of the characters and Checking of the language words and symbols used in the syntactic structures (identifiers, numerals, keywords, separators, delimiters, ...)

The Lexical Languages are, in general, quite simple.

- They are:

$$
\text { Regular Languages: } \mathfrak{\Re}
$$

- They can be defined using:

Regular Expressions
Regular (or Linear) Grammars
Transition Diagrams

- They can be analysed using:

N/D Automata

## Where and How in $a$ Compiler: One Pass Structure - First Phase



Lexical Analysis (Scanner) is driven from Syntactic Analysis (Parser) which is asking for next token

## Definitions: Token, Lexeme, Pattern

Token = a Lexical Category<br>lexeme $=\mathrm{a}$ Value of a Category<br>pattern $=$ Lexical rules defining Tokens and Lexemes

Exemple

$$
\begin{array}{ll}
\text { source: } & \text { const } \text { pigreco }=3.1416 \\
\text { scanning: } & \text { const } \\
\text { id rel num }
\end{array}
$$

## Lexeme: Where source symbols are stored ?

- Source Symbols are maintained in Information Colums
- Scanner does not generate token but: <token,attribute> <const, > <id, k > <rel, = > <num, k+1 >


SymbolTable

## Patterns:

## How Tokens are unambiguously defined?

- Patterns may be defined using many different Formalisms:
- Regular Expressions
- Regular Grammars
- Transition Diagrams
- Though equivalent, such Formalisms:
- Have different expressiveness.
- Hence the use of some is easier, clearer, and neater than that of the other.
- Have different working frameworks.
- Hence, the mechanization of the underlying analysis process is different for the different formalisms


## Scanner Generators

- Today scanners are automatically generated using Regular Grammars, Regular Expressions, DFAutomata, in this order;
- Meaning preserving transformations:
- allow to pass from one formulation to the next one, and
- result into the structure below:


Analysis
Table

## Regular Grammars <br> (but before) Regular Expressions: $\mathrm{E}_{\mathrm{\Sigma}}$ <br> The Syntax

Regular Expressions $\mathbf{E}_{\boldsymbol{\Sigma}}$ on an alphabet $\Sigma$ are:
The minimum set recursively defined by:

| 1. $\varepsilon \in \mathrm{E}_{\Sigma}$ |  |
| :---: | :---: |
| 2. $\mathrm{a} \in \mathrm{E}_{\Sigma}$, | $\forall \mathrm{a} \in \Sigma$ |
| 3. $\mathrm{e}_{1}, \mathrm{e}_{2} \in \mathrm{E}_{\Sigma}$, | $\forall \mathrm{e}_{1}, \mathrm{e}_{2} \in \mathrm{E}_{\mathrm{L}}$ |
| 4. $\mathbf{e}_{1} \mid \mathbf{e}_{2} \in \mathrm{E}_{\Sigma}$, | $\forall \mathrm{e}_{1}, \mathrm{e}_{2} \in \mathrm{E}_{\mathrm{\Sigma}}$ |
| 5. $\mathrm{e}^{*} \in \mathrm{E}_{\Sigma}$, | $\forall \mathrm{e} \in \mathrm{E}_{\Sigma}$ |
| 6. $\mathrm{e}^{\mathbf{i}} \in \mathrm{E}_{\Sigma}$, | $\forall \mathrm{e} \in \mathrm{E}_{\Sigma}$ |

## Regular Expressions: The Meaning

## The meaning of $\mathrm{E}_{\Sigma}$ is $\mathfrak{R} \subseteq \mathbf{2}^{\mathbf{\Sigma}^{*}}$

$$
\begin{aligned}
& \Sigma=\{\mathrm{a}, \mathrm{~b}\}, \quad \Sigma^{\mathrm{i}}=\Sigma \times . \Sigma^{\mathrm{i}-1}, \quad \Sigma^{0}=\{\lambda\}, \quad \Sigma^{2}=\{\mathrm{aa}, \mathrm{ab}, \mathrm{bb}, \mathrm{ba}\} \\
& \text { Exponentiation } \Sigma^{*}=\bigcup_{\mathrm{i} \in \mathrm{~N}} \Sigma^{\mathrm{i}}=\{\lambda, \mathrm{a}, \mathrm{~b}, \mathrm{aa}, \mathrm{ab}, \mathrm{bb}, \mathrm{ba}, \mathrm{aaa}, \ldots\} \\
& \text { Power Set } 2^{\Sigma^{*}}=\left\{\mathrm{ul} \mathrm{u} \subseteq \Sigma^{*}\right\}=\{\{\lambda\},\{\mathrm{a}\},\{\mathrm{b}\}, \ldots,\{\lambda, \mathrm{a}\}, \ldots,\{\lambda, \mathrm{a}, \mathrm{~b}, \mathrm{ab}\}, \ldots,\} \\
& \text { [_]: } \mathrm{E}_{\boldsymbol{\Sigma}} \rightarrow \boldsymbol{\Re} \\
& \text { 1. }[\varepsilon]=\{\lambda\} \\
& \text { 2. }[a]=\{a\} \\
& \text { 3. }\left[\mathrm{e}_{1} \cdot \mathrm{e}_{2}\right]=\{\mathrm{uv} \mid \mathrm{u} \in[\mathrm{e} 1], \mathrm{v} \in[\mathrm{e} 2]\}=[e 1] \times \cdot[e 2] \\
& \text { 4. }\left[\mathrm{e}_{1} \mathrm{e} \mathrm{e}_{2}\right]=\{\mathrm{ul} u \in[\mathrm{e} 1] \cup[\mathrm{e} 2]\} \\
& \text { 5. }\left[e^{*}\right]=\left\{u l u \in \cup_{i \in N}[e]^{i}\right\} \\
& \text { 6. }\left[\mathrm{e}^{\mathrm{i}}\right]=\left\{\mathrm{ul} u \in[\mathrm{e}]^{\mathrm{i}}\right\} \quad \text { shortening }
\end{aligned}
$$

## Regular Expressions: Examples

$$
\begin{aligned}
& 0|1| \ldots \mid 9 \\
& {[0|1| \ldots \mid 9]=\{0,1, \ldots, 9\}}
\end{aligned}
$$

(0|1|...|9)*

$$
\left[(0|1| \ldots \mid 9)^{*}\right]=\{0,1, \ldots, 9,00,01, \ldots, 3808, \ldots\}
$$

(1| ...|9).(0|1| ...|9)*

$$
\left[(1|\ldots| 9) .(0|1| \ldots \mid 9)^{*}\right]=\{1, \ldots, 9,10,11, \ldots, 3808, \ldots\}
$$

Usually, dot notation in concatenation operator is omitte. Then:

$$
(1|\ldots| 9)(0|1| \ldots \mid 9)^{*} \text { is written instead of }
$$

## Grammars on $\Sigma$

## $\mathbf{G}=\langle\mathbf{V}, \boldsymbol{\Sigma}, \mathbf{s} \in \mathbf{V}, \mathbf{P}\rangle$

- V non-terminal set (Tokens and auxiliarys categories)
- $\boldsymbol{\Sigma}$ terminal set
- s initial symbol (of V)
- $\mathbf{P} \subseteq \mathrm{V} \times \mathrm{E}_{\Sigma \cup \mathrm{V}}$ production set


## Grammars When it is a Regular Grammar

$\mathbf{G}$ is Regular, if in addition:

- V has a complete ordering < such that:
- $\forall \mathrm{v}::=\mathrm{e} \in \mathrm{P}, \quad \mathbf{e} \in \mathbf{E}_{\Sigma \mathrm{z}(\mathrm{v} \cdot<\mathrm{v})}$


## Grammar <br> Example: Relations between grammars, expressions

The use of a regular grammar G in a lexic for numbers
num::= simple I fract I exp simple::= digit digit* fract::= simple.simple exp::= fract E simple I fract E (+I-) simple digit::=0|1|...|9

How proving that $G$ is regular?:

- Look for a complete ordering < on V
- Prove that < satisfies the second property

Only grammar productions are shown:

- What is $\Sigma$ ?
- And, the other components of G ?
- Easily inferable: V (look left), s (look top)

How proving that $G$ defines the language we are looking for?:

- Another Story Begins.


## Grammars - Meaning L(G): Equations on Languages

Meaning associates to:

- Each non-terminal, V, One language, $\mathrm{L}(\mathrm{V}) \in \mathbf{2}^{\mathrm{\Sigma}^{*}}$.
- Each production, $\mathbf{v}::=\mathbf{e}$, One equation, $\mathbf{L}(\mathbf{v})=[\mathbf{e}]$ see below on how do it

$$
\begin{aligned}
& \mathrm{e} \in \mathbf{E}_{\Sigma}=====> {[\mathrm{e}] \in \mathbf{2}^{\Sigma^{*}} } \\
& \mathrm{v}::=\mathrm{e}======>\mathbf{L}(\mathbf{v})=[\mathrm{e}] \in\left(\mathrm{V} \times \mathbf{2}^{\mathbf{\Sigma}^{*}}\right)
\end{aligned}
$$

$$
\left\{\mathrm{v}_{1} \because:=\mathrm{e}_{1}, \ldots, \mathrm{v}_{\mathrm{k}} \because:=\mathrm{e}_{\mathrm{k}}\right\}======>
$$

$$
\left\{\underline{\mathbf{L}}\left(\mathrm{v}_{\mathrm{i}}\right), \ldots, \underline{\mathbf{L}}\left(\mathrm{v}_{\mathrm{k}}\right) \mid \forall \mathrm{i}, \underline{\mathbf{L}}\left(\mathrm{v}_{\mathrm{i}}\right) \in \mathbf{2}^{\mathbb{\Sigma}^{*}} \text { and } \underline{\mathbf{L}}\left(\mathrm{v}_{\mathrm{i}}\right) \equiv\left[[\underline{\mathbf{L}}(\mathrm{vj}) / \mathrm{vj} \mathrm{lvj}<\mathrm{vi}] \mathrm{e}_{\mathrm{i}}\right]\right\}
$$

$$
\mathrm{G}=\langle\mathrm{V}, \Sigma, \mathrm{~s} \in \mathrm{~V}, \mathrm{P}>======>\underline{\mathrm{L}}(\mathrm{G})=\underline{\mathrm{L}}(\mathrm{~s})
$$

## Meaning of (Regular) Grammars: An Example of Computation

```
n::= slfle
s::= d d*
f::= s.s
e::= fEsl
    f E (+l-) s
d::=0|1|...|9
```

$$
\begin{aligned}
& \mathrm{L}(0|1| \ldots \mid 9)=\{0, \ldots, 9\} \quad \in\left(V \times \mathbf{2}^{\Sigma^{*}}\right) \\
&\{\underline{\mathrm{L}}(\mathrm{~d})=\{0, \ldots, 9\}, \\
& \underline{\mathrm{L}}(\mathrm{~s})= \mathrm{L}\left([\{0, \ldots, 9\} / \mathrm{d}] \mathrm{d} \mathrm{~d} \mathrm{~d}^{*}\right) \\
&=\{0, \ldots, 9\}\{0, \ldots, 9\}^{*}=\{0, \ldots, 9\}^{+} \\
& \underline{\mathrm{L}}(\mathrm{e})= \ldots \\
&=\left\{u . v \mathrm{vw} \mid \mathrm{u}, \mathrm{v}, \mathrm{w} \in\{0, \ldots, 9\}^{+}\right\} \\
& \mathrm{L}(\mathrm{n})=\{0, \ldots, 9\}^{+} \cup \\
&\left\{u . v \mid \mathrm{u}, \mathrm{v} \in\{0, \ldots, 9\}^{+}\right\} \cup \\
&\left\{u . v E w \mid u, v, w \in\{0, \ldots, 9\}^{+}\right\}
\end{aligned}
$$

## Regular Grammars: Meaning

Compare the formulation in the previous slide,

$$
\begin{aligned}
& \left\{\mathrm{v}_{1}::=\mathrm{e}_{1}, \ldots, \mathrm{v}_{\mathrm{k}}::=\mathrm{e}_{\mathrm{k}}\right\}======> \\
& \left.\quad\left\{\underline{\mathbf{L}}\left(\mathrm{v}_{1}\right), \ldots, \underline{\mathbf{L}}\left(\mathrm{v}_{\mathrm{k}}\right) \mid \forall \mathrm{i}, \underline{\mathbf{L}}\left(\mathrm{v}_{\mathrm{i}}\right) \in \mathbf{2}^{\Sigma^{*}} \text { and } \underline{\mathbf{L}}\left(\mathrm{v}_{\mathrm{i}}\right) \equiv[\underline{\mathrm{L}}(\mathrm{vj}) / \mathrm{vj} \mid \mathrm{vj}<\mathrm{vi}] \mathrm{e}_{\mathrm{i}}\right]\right\}
\end{aligned}
$$

with the the one below.

$$
\left\{\mathrm{v}_{1} \because=\mathrm{e}_{1}, \ldots, \mathrm{v}_{\mathrm{k}} \because:=\mathrm{e}_{\mathrm{k}}\right\}======>
$$

$$
\left\{\underline{\mathbf{L}}\left(\mathrm{v}_{1}\right), \ldots, \underline{\mathbf{L}}\left(\mathrm{v}_{\mathrm{k}}\right) \mid \forall \mathrm{i}, \underline{\mathbf{L}}\left(\mathrm{v}_{\mathrm{i}}\right) \in 2^{\Sigma^{*}} \text { and } \underline{\mathbf{L}}\left(\mathrm{v}_{\mathrm{i}}\right) \equiv\left[\left[\mathrm{e}_{\mathrm{i}}^{1}, \ldots, \mathrm{e}_{\mathrm{i}}^{\mathrm{ni}} / \mathrm{v}_{\mathrm{i}}^{1}, \ldots, \mathrm{v}_{\mathrm{i}}^{\mathrm{ni}}\right] \mathrm{e}_{\mathrm{i}}\right]\right\}
$$

where: 1) $\left\{\mathrm{v}_{\mathrm{i}}{ }^{1}, \ldots, \mathrm{v}_{\mathrm{i}}{ }^{n i}\right\}=\left\{\mathrm{v}_{\mathrm{j}} \in \mathrm{VI} \mathrm{v}_{\mathrm{j}}<\mathrm{v}_{\mathrm{i}}\right\}$;

$$
\text { 2) } v_{i}^{1}<\ldots<v_{i}^{n i} \text {; }
$$

$$
\text { 3) }\left[e_{i}^{1}, \ldots, e_{i}^{n i} / v_{i}^{1}, \ldots, v_{i}^{n i}\right] e_{i}=\left[e_{i}^{1}, \ldots, e_{i}^{n i-1} / v_{i}^{1}, \ldots, v_{i}^{n i-1}\right]\left(\left[e_{i}^{n i} / v_{i}^{n i}\right] e_{i}\right)
$$

Comment the differences.
Justify why the last one is more correct than the other.

## How to Recognize the Lexic defined by a Grammar

| $\mathrm{n}::=\mathrm{slfle}$ |
| :---: |
| $\mathrm{s}:=\mathrm{dd}^{*}$ |
| $\mathrm{f}:$ : $=\mathrm{s} . \mathrm{s}$ |
| $\mathrm{e}::=\mathrm{fEs}$ I |
| f E (+l-) s |
| $\mathrm{d}:=0$ \\| 1 \| . . \| 9 |



Scanners do it

