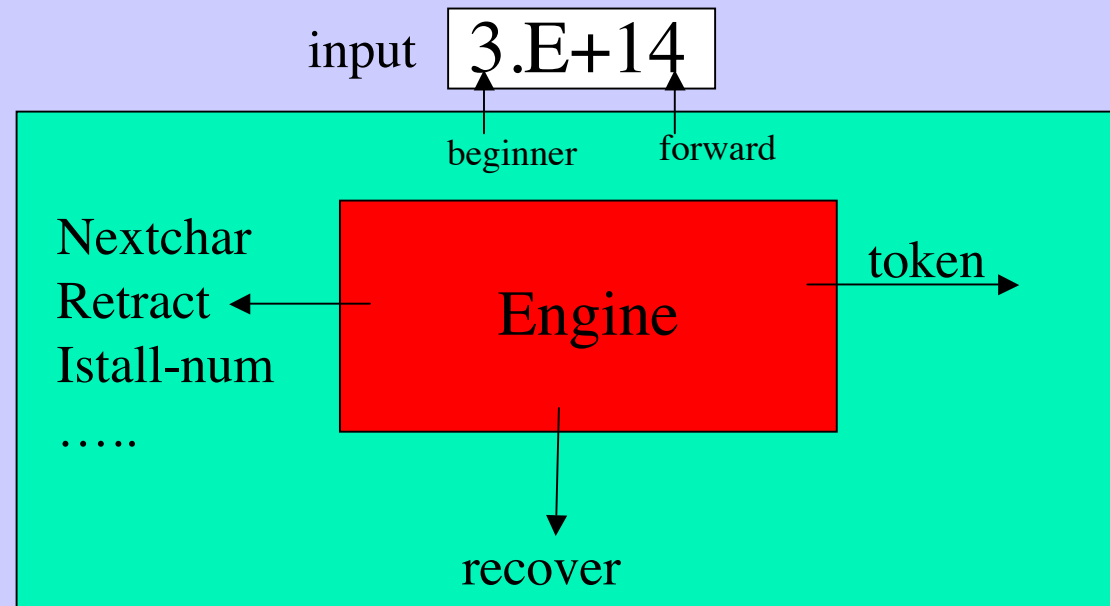


# How to Recognize the Lexic defined by a Grammar

```
n ::= s | f | e
s ::= d d*
f ::= s.s
e ::= f E s |
      f E (+|-) s
d ::= 0 | 1 | ... | 9
```



**Scanners do it**

# AUTOMATA (FSA)

## How to build Scanners using FSA as engine

### Finite State Automaton:

is a 5-tuple:

$$\langle S, \Sigma, \text{move: } S \times \Sigma' \rightarrow S', s_0 \in S, F \subseteq S \rangle$$

for a finite set  $S$  of *states*, a set  $\Sigma$  of *input values*, a function *move* of *state transitions*, an *initial state*, a set  $F$  of *final states*

**NFA** (*nondeterministic*)

$$\Sigma' = \Sigma \cup \{\epsilon\} \quad \text{and} \quad S' = 2^S$$

**DFA** (*deterministic*)

$$\Sigma' = \Sigma \quad \text{and} \quad S' = S$$

# FSA:

## Function *move*: Graphs vs. Tables

**Graphs [G]**, strongly similar to *diagrams*, otherwise  
**Tables [T]**, for finite functions,  
are used to express the finite (**pair set**) function *move*

To each state  $s \in S$ :

**G** corresponds a distinct vertex of the graph

**T** corresponds a distinct row of with as many columns  
as cardinality of  $\Sigma$  (+1 in case of nondeterminism)

To each transition  $\langle \langle s, a \rangle, S \rangle \in \text{move}$

**G** corresponds putting edge  $\langle s, t \rangle$ , labeled by  $a$ , for each  $t \in S$

**T** corresponds putting  $S$  in the entry  $\langle s, a \rangle$

# FSA:

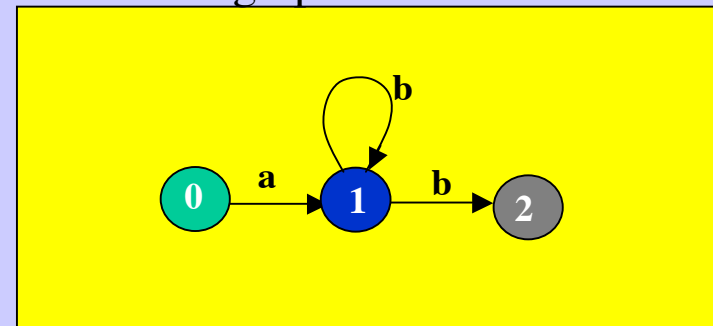
## An Example: Graphs vs. Table

A Nondeterministic Finite State Automaton **A**

### Automaton **A**

$S = \{0, 1, 2\}$ ,  
 $\Sigma = \{a, b\}$ ,  
 $move = \{ \langle \langle 0, a \rangle \{1\} \rangle, \langle \langle 1, b \rangle \{1, 2\} \rangle \}$   
 $s_0 = 0$ ,  
 $F = \{2\}$

The graph for A's move



The Table for A's move

	a	b	$\epsilon$
0	{1}		
1		{1,2}	
2			

# FSA: Meaning

Which *domain* can we use for giving *meaning* to automata to be used as Scanner engine?

$$A = \langle S, \Sigma, \text{move: } S \times \Sigma \rightarrow S, s_0 \in S, F \subseteq S \rangle$$

Set  $\mathcal{R} \subseteq 2^{\Sigma^*}$  of Regular languages on  $\Sigma$

# FSA

## Meaning as *Decision Function* on $\Sigma$

To each Automaton we associate a Decision Function  $f_A$

$$\text{sem}(A) = f_A : \Sigma^* \rightarrow \{\text{accept}, \text{noaccept}\}$$

$$\forall c_1c_2\dots c_n \in \Sigma^*,$$

$$f_A(c_1c_2\dots c_n) = \begin{array}{ll} \text{accept} & \text{if } c_1c_2\dots c_n \in L(A) \\ \text{noaccept} & \text{if } c_1c_2\dots c_n \notin L(A) \end{array}$$

# FSA: Decision Function and the binary relation $\implies$ on $(\Sigma^* \times S)^2$

*Roughly Speaking*

A path, labeled by  $c_1c_2\dots c_n$ , leading from initial state to ..., is in the graph ...

*Formally*

**Let**  $\implies: (\Sigma^* \times S)^2$  be the binary relation defined below  
 $\gamma, s \implies \gamma', s'$  iff  
either:  $\gamma = c\gamma'$  and  $s' \in \text{move}(c, s)$   
or:  $\gamma = \gamma'$  and  $s' \in \text{move}(\varepsilon, s)$

*Then, Decision Function  $f$  is*

$\text{sem}(A)(\gamma) = f(\gamma) =$   
*accept* if  $\gamma, s_0 \implies^* \lambda, s \in F$   
*noaccept* if  $\gamma, s_0 \not\implies^* \lambda, s$  ( $\forall s \in F$ )

Noting the use of the transitive closure  $\implies^*$  of the relation  $\implies$

# FSA:

## Language, Equivalence, Minimal

Let  $A = \langle S, \Sigma, \text{move: } S \times \Sigma^* \rightarrow S', s_0 \in S, F \subseteq S \rangle$

- **Language of A: L**
  - $L(A) \equiv \{\gamma \in \Sigma^* \mid \text{sem}(A)(\gamma) = \text{accept}\}$
- **Equivalence Set of A: E**
  - $E(A) \equiv \{A' \mid \text{sem}(A') = \text{sem}(A)\}$
- **Minimal Automata of A: M**
  - $M(A) \equiv \langle S_m, \_, \_, \_, \_ \rangle \in E(A)$ :
    - $\#S_m \leq \#S$  for all automata  $\langle S, \_, \_, \_, \_ \rangle \in E(A)$



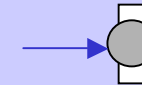
# Regular Languages and Automata

- **Each Automaton defines a Regular Language:**
  - proved by the semantics given before and by the 3-step transformation  
*Automata map into Linear Grammars map into Regular Grammars*
- (conversely) **Each Regular Language has an Automaton that defines it?**
  - Automata (FSA) ?
    - proved by Thompson's construction
  - Deterministic Automata (DFA) ?
    - proved by the equivalence  $NFA \approx DFA \approx FSA$

# From $E_\Sigma$ to NFA

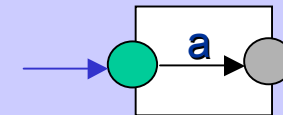
## K. Thompson's Approach - 1

1.  $\epsilon$



$\langle \{s_0\}, \emptyset, \emptyset, s_0 \in S, \{s_0\} \rangle$

2.  $a \quad \forall a \in \Sigma$



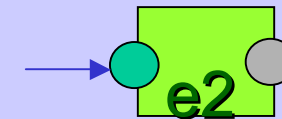
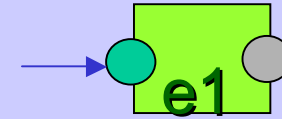
$\langle \{s_0, s_1\}, \{a\}, \{ \langle \langle s_0, a \rangle, s_1 \rangle \}, s_0 \in S, \{s_1\} \rangle$

# K. Thompson' Approach - 2

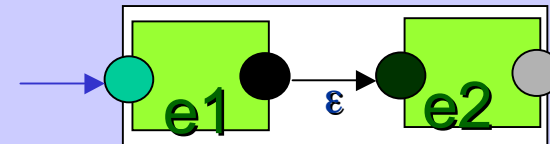
3.  $e1.e2 \quad \forall e1,e2 \in E$

$e1: \langle S_1, \Sigma_1, M_1, s_1, \{f_1\} \rangle$

$e2: \langle S_2, \Sigma_2, M_2, s_2, \{f_2\} \rangle$



$e1.e2: \langle S_1 \cup S_2, \Sigma_1 \cup \Sigma_2, \\ M_1 \cup M_2 \cup \{ \langle \langle f_1, \epsilon \rangle, \{s_2\} \rangle \}, \\ s_1, \{f_2\} \rangle$

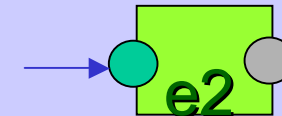
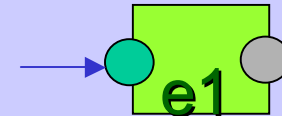


# K. Thompson' Approach - 3

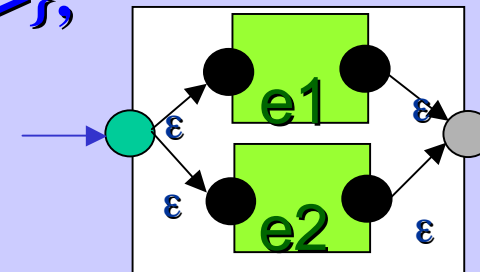
4.  $e1le2 \quad \forall e1,e2 \in E$

$e1: \langle S_1, \Sigma_1, M_1, s_1, \{f_1\} \rangle$

$e2: \langle S_2, \Sigma_2, M_2, s_2, \{f_2\} \rangle$



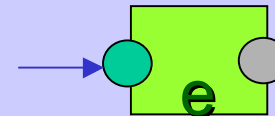
$e1le2: \langle S_1 \cup S_2 \cup \{s_{new}, f_{new}\}, \Sigma_1 \cup \Sigma_2, \\ M_1 \cup M_2 \cup \{ \langle \langle s_{new}, \epsilon \rangle, \{S_1, S_2\} \rangle, \\ \langle \langle f_1, \epsilon \rangle, \{f_{new}\} \rangle, \\ \langle \langle f_2, \epsilon \rangle, \{f_{new}\} \rangle \}, \\ s_{new}, \{f_{new}\} \rangle$



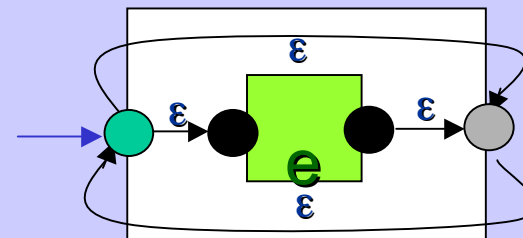
# K. Thompson' Approach - 4

5.  $e^* \quad \forall e \in E$

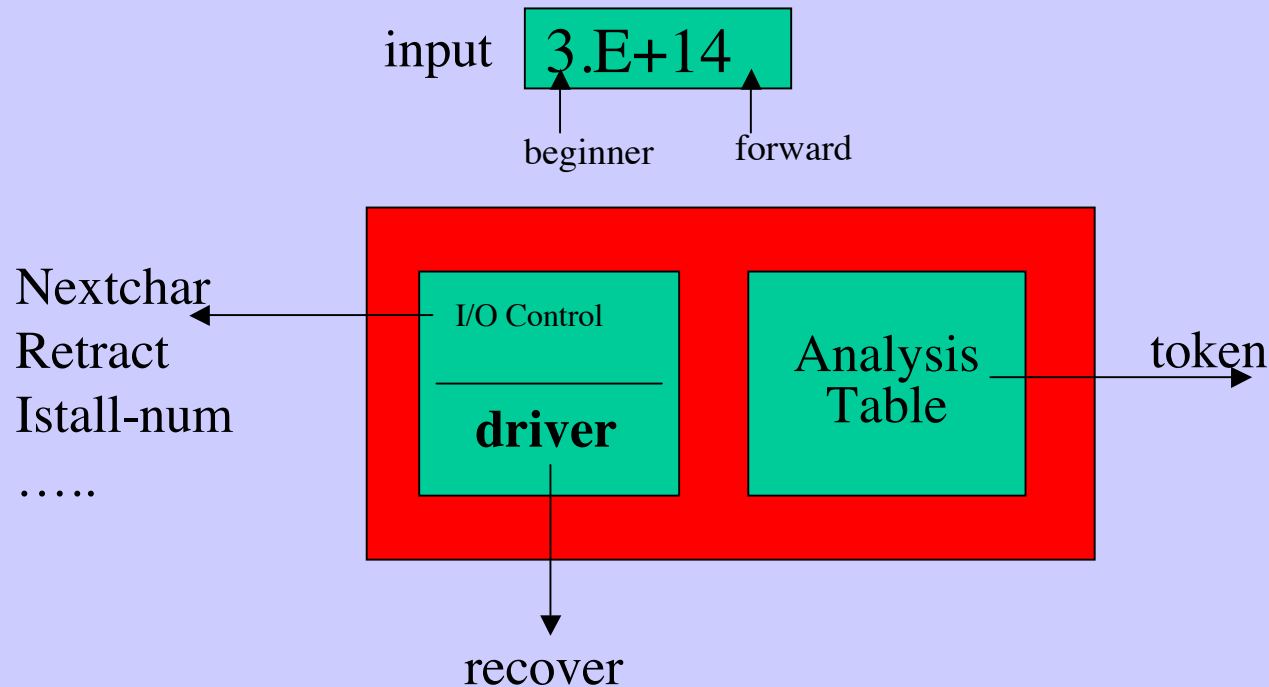
$e: \langle S, \Sigma, M, s, \{f\} \rangle$



$e^* : \langle S \cup \{s_{\text{new}}, f_{\text{new}}\}, \Sigma, \\ M \cup \{ \langle \langle s_{\text{new}}, \epsilon \rangle, \{s, f_{\text{new}}\} \rangle, \\ \langle \langle f, \epsilon \rangle, \{s, f_{\text{new}}\} \rangle \}, \\ s_{\text{new}}, \{f_{\text{new}}\} \rangle$



# The Scanner Core: A 3-component engine



**The idea: Codify the meaning of a grammar into the transition function of an automaton in order to obtain a table to be used as Analysis Table of an engine whose driver is (an implementation of) the automata decision function.**

# A Driver for NFA (DFA)

$A = \langle S, \Sigma, \text{move: } S \times \Sigma' \rightarrow S', s_0 \in S, F \subseteq S \rangle$

*Answer Driver()*

```
{states=push([Clos({s0}),input]);
```

```
repeat
```

```
  answer='accept';
```

```
  [s,input]=pop(states);
```

```
  nextchar(c);
```

```
  while(c≠eof) and (s≠⊥) {
```

```
    S=move[s,c];
```

```
    push([Clos(S),input]);
```

```
    [s,input]=pop(states);
```

```
    nextchar(c);} 
```

```
  if (s∉F) or (c≠eof) answer='noaccept';
```

```
  until emptystack() or (answer='accept');
```

```
  return (answer);
```

```
}
```

**Answer:** A type for {accept,noaccept}

**States:** control stack

**Push:** adds[S,&]

S: A set of states

&: Pointer to the current input char

**Pop:** Removes only 1 state from S, resets

input pointer to &. If S is singleton

[S,&] is popped from the stack

⊥: result of move[s,c] when the table has  
no entries for move[s,c]

**Clos:** ε-closure of a set S of states:

$\text{Clos}(S) = S + \text{Clos}(\cup_{u \in S} \text{move}(u, \epsilon))$

**Nextchar(x):** copies in x current input  
char and reset input pointer to

next

char

**Apply it to automaton A**

$\langle S = \{0,1,2\}, \Sigma = \{a,b\},$

$\text{move} = \{ \langle \langle 0,a \rangle \{1\} \rangle,$

$\langle \langle 1,b \rangle \{1,2\} \rangle \}$

$s_0 = 0, F = \{2\} \rangle$

when scanning: aab\$

# A Driver for NFA (DFA): How to remove Backtracking - 1

$f(\gamma) =$   
*accept* **if**  $\text{move1}^*(\gamma) \cap F \neq \{\}$   
*noaccept* **otherwise**

move

	a	b	$\epsilon$
0	{1}		
1		{1,2}	
2			

move1

	a	b	$\epsilon$
0	{1}		
1		{1,2}	
2			
{1,2}		{1,2}	



# How to remove Backtracking

Function  $move1^*: \Sigma^* \rightarrow S$

*How to compute transitions using Set of States instead of single States*

Let  $move1(S, c) = Clos(\bigcup_{s \in Clos(S)} \{move(s, c)\})$

remember:  $Clos(S) = S \cup Clos(\bigcup_{s \in S} move(s, \epsilon))$

Then:

$$\begin{aligned} move1^*(c) &= move1(\{s_0\}, c) \\ move1^*(c_1, \dots, c_{n-1}, c_n) &= \\ &move1(move1^*(c_1, \dots, c_{n-1}), c_n) \end{aligned}$$

*Then, Decision Function  $f$  can be reformulated in*

$$\begin{aligned} sem(A)(\gamma) = f(\gamma) = & \text{accept if } move1^*(\gamma) \in F \\ & \text{noaccept if } move1^*(\gamma) \notin F \end{aligned}$$

# How to remove Backtracking

## A linear Driver for NFA/DFA

The implementation of *move1\** leads to a new linear, deterministic, recogniser

Answer *move1star*()

```
{S= Clos({s0})};  
nextchar(c);  
while(c≠eof) and (S≠∅) {  
    S=move1(S,c);  
    nextchar(c)};  
if (S∩F) ≠ ∅ return 'accept';  
return 'noaccept';  
}
```

Linear (but step *move1*  
requires exponential time)

Apply it to automaton A

```
<S= {0,1,2}, Σ= {a,b},  
move= {<<0,a>{1}>,  
        <<1,b>{1,2}>}  
s0=0, F= {2} >
```

when scanning: abb\$