

TOP-DOWN

How to make Top-Down Parsers

How to make a Top-Down Parser

Procedural

- Stack:** Activation Records P calls
- Recursion:** Tail is Not Applicable
- Error Recovery:** Complicate
- Correctness:** User Competence
- Adaptability:** None/Low

Adaptive/Generator

- Stack:** Grammar Symbols
- Driver:** Tailored for LL-Analysis
- Error Recovery:** included in Driver
- Correctness:** Grammar
- Adaptability:** Hight

Construction of a Procedural, Recursive Descent Parser

A procedure P_A to each non-terminal A :

Let $A ::= X_1 X_2 \dots X_n$

```
procedure  $P_A()$ ;  
begin  
  ** code for  $X_1$  **  
  ** code for  $X_2$  **  
  .....
```

```
  ** code for  $X_n$  **
```

```
end;
```

Construction INVARIANT

$P_A()$, applied to a Parser state having input γ ,
terminates with *success* iff $\gamma \in L(A) = \{\gamma | \dots\}$

Construction of a Procedural, Recursive Descent Parser

Code of the X_i

code of the X_i

$P_{X_i}()$ if X_i is non-terminal

match(X_i) if X_i is terminal

Definition of procedure match

```
procedure match(X:token);  
begin  
  if lookahead = X  
  then lookahead:= nextToken();  
  else fail()  
end;
```

The definitions of the auxiliary Procedures (see nextToken, fail) and of state variables:

- must be provided
- depend from the use context

Recursive Descent Parser: The Complete Structure

```
Procedure Parser();  
  **dichiarazioni procedure:  
    Ps, PA, PX1, ... **  
begin  
  Ps();  
  match(eof/$);  
  accept()  
end;
```

Example

```
E ::= T + T  
T ::= id
```

```
Procedure PE();  
begin  
  PT();  
  match(+);  
  PT()  
end;
```

```
Procedure PT();  
begin  
  match(id)  
end;
```

Recursive Descent Parser: The Running

```
Procedure Parser();  
Procedure PE();;;;  
Procedure PT()...;  
begin  
  PE();  
  match eof/$);  
  accept()  
end;
```

```
Procedure PE();  
begin  
  PT();  
  match(+);  
  PT()  
end;
```

```
Procedure PT();  
begin  
  match(id)  
end;
```

Control state during the analysis

```
Invocations:  
Parser()  
  PE  
  PT  
    match(id)  
      nexttoken  
    match(+)  
      nexttoken  
    PT  
      match(id)  
        nexttoken  
    match($)  
    accept()
```

```
Input:  
id+id$  
  
+id$  
  
id$  
  
$
```

- Simple to do but at a **poor efficiency**
- **What to do** when more than one production has the same left non-terminal?

TOP-DOWN

Construction of a Procedural, Recursive Descent Parser

$E ::= E + T$
 $E ::= T$
 $T ::= id$
 $T ::= num$

**All Choices
(direct)**

Backtracking

- Procedures ask for backtracking on choices
- Stack: Control States are stacked
- Case-statement similar to coding used in scanners defined by diagrams

Two Solutions

Predictive

LL Grammars (possibly via transformations)

- 1) *Lookahead* to remove nondeterminism on choice
- 2) *No Left Recursion* (Removal of)
- 3) *No Kleene ** operator (Removal of)

Backtrack

Why Can it Work?

Let $G = \langle V, \Sigma, s \in V, P \rangle$. Then $\forall \alpha, \beta \in SF$

Monotony:

$\alpha \Rightarrow^* \beta$ only if $|\alpha|_{\Sigma} \leq |\beta|_{\Sigma}$

Persistency:

$\alpha \Rightarrow^* \beta$ only if $(\forall i, k, i \geq 0, \alpha_i, \alpha_{i+k} \in \Sigma$ only if $\alpha_i = \beta_j, \alpha_{i+k} = \beta_{j+k}$ fo $i, k, j \geq 0$)

where:

- $|\alpha|_{\Sigma}$ is the number of Σ symbols that are in α
- α_i is the i -th symbol, from left, in α

Predictive Top-Down

Remove nondeterminism on choice

- * By **looking ahead** an **initial trait** of the string, that has to be derived from a non-terminal, **at most one** production has to be applicable
- * *Lookahead* contains such an initial trait: k symbols
- * In the example below, procedure P_T behaves like a predictive 1-symbol of lookahead

```
T ::= id  
T ::= num
```

```
procedure  $P_T$ ();  
begin  
  case lookahead of  
    num: match(num);  
    id: match(id)  
  end  
end;
```


Predictive Top-Down:

1) Left Factoring

- * Lookahead of size $K > 1$ are hard to handle and expensive
- * $K = 1$ is not enough, below, when $|\alpha| > 0$

$$\begin{aligned} E &::= \alpha \beta_1 \\ E &::= \alpha \beta_2 \end{aligned}$$

$$\begin{aligned} E &::= \alpha \beta_1 \\ E &::= \alpha \beta_2 \end{aligned}$$

$$\begin{aligned} E &::= \alpha \beta_1 \\ E &::= \alpha \beta_2 \\ E &::= \alpha \beta_1 \end{aligned}$$

Left Factoring

consists in the replacement, below:

$$\begin{aligned} E &::= \alpha B \\ B &::= \beta_1 \\ B &::= \beta_2 \end{aligned}$$

Predictive Top-Down:

2) Removal of Left Recursion

$E ::= E + id$
 $E ::= id$

```

procedure PE();
begin
  case lookahead of
  id:
  end
end;
  
```

P_E();

match(id);

Left Recursion Removal

$A ::= A \alpha$
 $A ::= \beta$

$A ::= \beta \underline{A}$
 $\underline{A} ::= \alpha \underline{A}$
 $\underline{A} ::= \epsilon$

Lifting of Left Recursion

$A ::= B \alpha \mid \gamma$
 $B ::= A \beta \mid \delta$

$A ::= B \alpha \mid \gamma$
 $B ::= (B \alpha \mid \gamma) \beta \mid \delta$

$B ::= B \alpha \beta \mid \gamma \beta \mid \delta$

Predictive Top-Down:

3) Removal of Kleene's Star

Star Removal

$A ::= \alpha^* \beta$



$A ::= \underline{A} \beta$
 $\underline{A} ::= \alpha \underline{A}$
 $\underline{A} ::= \epsilon$

Predictive Top-Down

Doing it: Recursive Descent

Read lookahead and Select the right code of the procedure to be applied

What about the complexity?? (for strings of n symbols and k productions per reduced symbol)

It is $O(n*k)$, provided that the grammar allows prediction...

Is it suitable for one-pass parsing ????

It is perfect (but expensive in time/space, since procedures and procedure calls)

Predictive Top-Down To Do: In Summary

Grammar 3-step Transformation:
Kleene's Star Removal
Left Factorization
Left Recursion Removal



Lookahead:
Computation of: First and Follow



Definition of *Parser()* and its auxiliaries

Example: Part1

The grammar

$E ::= F (+ F)^*$

$F ::= F * T$

$F ::= T$

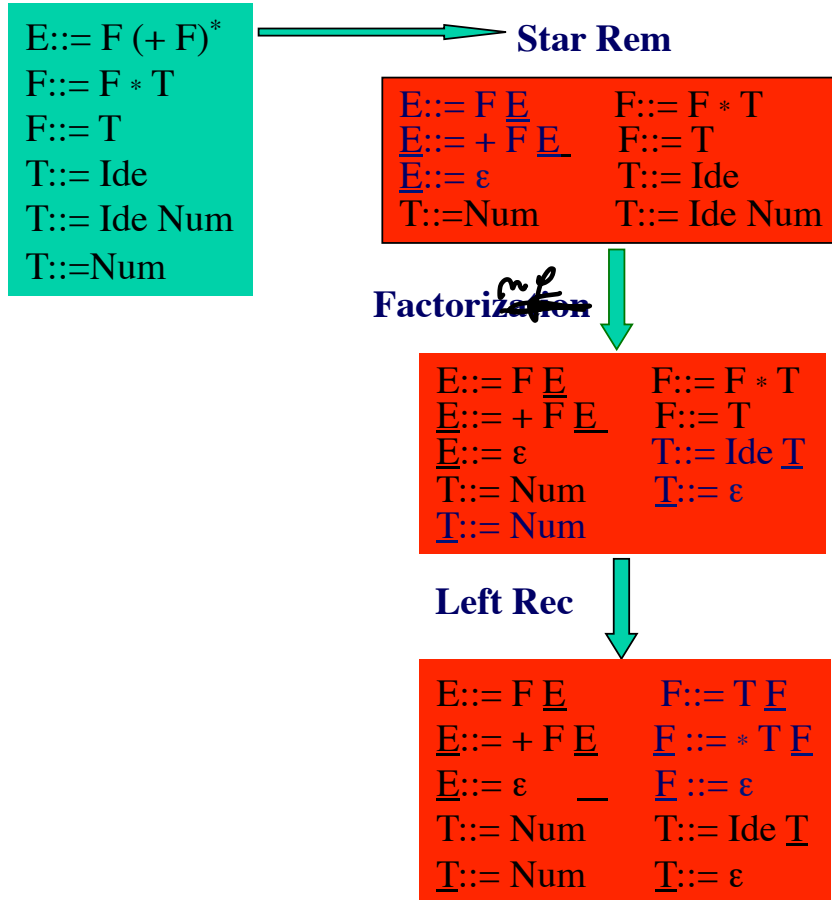
$T ::= \text{Ide}$

$T ::= \text{Ide Num}$

$T ::= \text{Num}$

Apply the 3-Step Transformation

Example: Part2



Example: Part3

```
E ::= F E      F ::= T F
E ::= + F E    F ::= * T F
E ::= ε        F ::= ε
T ::= Num      T ::= Ide T
T ::= Num      T ::= ε
```

The procedure body selects the code to be run on the basis of the value of lookahead

```
procedure P_T();
begin
  case lookahead of
    ??? : match(Num);
    ??? : begin match(Ide); P_T() end
  end
end;
```

```
procedure P_E();
begin
  case lookahead of
    ??? : begin P_F(); P_E() end
    ??? : fail();
  end
end;
```

- How to replace **???**, is simple for P_T .
- look at the first symbol that can be derived from LSF that is right side of the production to be apply
- What about $P_E P_F P_E P_F P_T$?

Example: Part4

$E ::= F \underline{E}$ $F ::= T \underline{F}$
 $\underline{E} ::= + F \underline{E}$ $\underline{F} ::= * T \underline{F}$
 $\underline{E} ::= \epsilon$ $\underline{F} ::= \epsilon$
 $T ::= \text{Num}$ $T ::= \text{Ide } \underline{T}$
 $\underline{T} ::= \text{Num}$ $\underline{T} ::= \epsilon$

...first symbols derived from right side of the production...

For T: **Num** \Rightarrow^* **Num**, **Ide** \Rightarrow^* **Ide**. Then:

```

procedure P_T();
begin
  case lookahead of
    Num : match(Num);
    Ide: begin match(Ide); P_T end
  end
end;

```

...first symbols derived from right side of the production...

For E: **FE** \Rightarrow^* **TFE**. Then:

```

procedure P_E();
begin
  case lookahead of
    Num,Ide : begin P_F(); P_E() end
    otherwise : fail();
  end
end;

```

For \underline{E} : **FE** \Rightarrow^* **+FE**, $\epsilon \Rightarrow^*$. Then:

```

procedure P_E();
begin
  case lookahead of
    + : begin match("+"); P_F(); P_E() end
    ??? : return;
  end
end;

```

Predictive Top-Down: First and Follow Traits

The grammar on the right is a predictive k-lookahead [k=1] symbols, grammar. It copes perfectly with linear top-down parsers.

The main feature of these grammars is the property below.

Π : Produzioni

$E ::= F \underline{E}$

$\underline{E} ::= + F \underline{E}$

$\underline{E} ::= \epsilon$

$T ::= \text{Num}$

$\underline{T} ::= \text{Num}$

$F ::= T \underline{F}$

$\underline{F} ::= * T \underline{F}$

$\underline{F} ::= \epsilon$

$T ::= \text{Ide } \underline{T}$

$\underline{T} ::= \epsilon$

Property. For predictive 1-lookahead symbols grammar $G = \langle V, \Sigma, s \in V, \Pi \rangle$, the following derivations always apply:

- if $\alpha A \beta \xRightarrow{*} \alpha a \gamma \delta$ and $A ::= a \gamma' \in \Pi$ then

$$\alpha A \beta \xRightarrow{*} \alpha a \gamma' \beta \xRightarrow{*} \alpha a \gamma \delta$$

- if $\alpha A \beta \xRightarrow{*} \alpha a \gamma \delta$ and $A ::= \epsilon \in \Pi$ and $\beta \xRightarrow{*} a \gamma'$ then

$$\alpha A \beta \xRightarrow{*} \alpha \beta \xRightarrow{*} \alpha a \gamma \delta$$

Predictive Top-Down:

Definition of the function First

$\text{first}:(\Sigma \cup V)^* \rightarrow 2^{\Sigma \cup \{\epsilon\}}$

$\text{first}(\gamma) = \{a \in \Sigma \mid \gamma \Rightarrow^* a\gamma'\} \cup \{\epsilon \mid \gamma \Rightarrow^* \lambda\}$

$\text{first}(c) = \{c\} \quad \forall c \in \Sigma$

$\text{first}(s) = \bigcup_{s ::= \alpha \in P} \text{first}(\alpha)$

$\text{first}(\epsilon) = \{\epsilon\}$

$\text{first}(x_1 \dots x_n) = [\bigcup_{i < k} (\text{first}(x_i) - \{\epsilon\})] \cup \text{first}(x_k)$
for maximum $1 \leq k < n$, $x_1 \dots x_{k-1} \Rightarrow^ \lambda$*

Apply to:

$\underline{E} ::= F \underline{E}$	$\underline{F} ::= T \underline{F}$
$\underline{E} ::= + F \underline{E}$	$\underline{F} ::= * T \underline{F}$
$\underline{E} ::= \epsilon$	$\underline{F} ::= \epsilon$
$\underline{T} ::= \text{Num}$	$\underline{T} ::= \text{Ide } \underline{T}$
$\underline{T} ::= \text{Num}$	$\underline{T} ::= \epsilon$

Predictive Top-Down:

Definition of the function Follows

follow: $V \rightarrow 2^{\Sigma \cup \{\$ \}}$

$\text{follow}(A) \subseteq \{a \in \Sigma \cup \{\$ \} \mid \alpha A \gamma \in \text{LSF} \ \& \ \gamma \$ \xRightarrow{*} a \gamma\}$

$$\text{follow}(A) = \bigcup_{\{B ::= \alpha A \beta\}} (\text{first}(\beta) - \{\epsilon\}) \cup_{\{B ::= \alpha A \beta \mid \beta \Rightarrow^* \lambda\}} \text{follow}(B)$$

Handwritten notes: $\alpha A \gamma \xRightarrow{} \alpha A a \gamma$*

$\{\text{eof}/\$\} \in \text{follow}(S_0)$ for distinct (start) symbol S_0

Apply to:

$E ::= F \underline{E}$	$F ::= T \underline{F}$
$\underline{E} ::= + F \underline{E}$	$\underline{F} ::= * T \underline{F}$
$\underline{E} ::= \epsilon$	$\underline{F} ::= \epsilon$
$T ::= \text{Num}$	$T ::= \text{Ide } \underline{T}$
$\underline{T} ::= \text{Num}$	$\underline{T} ::= \epsilon$

$$\text{FIRST}(E) = \text{FIRST}(F \underline{E}) = \{ \text{num, ide} \}$$

$$\text{FIRST}(F) = \text{FIRST}(T \underline{F}) = \{ \text{num, ide} \}$$

$$\text{FIRST}(E) \quad \text{FIRST}(T) = \{ \text{num, ide} \}$$