

Linguaggi formali

Let's start from the beginning

- A program is written in a **programming language**
- Every programming language (as every language in general) needs to obey **its own rules**
- We need to formally define languages...

Strings

- An **alphabet** is a finite set of symbols

- Examples

$\Sigma_1 = \{a, b, c, d, \dots, z\}$: the set of letters in Italian

$\Sigma_2 = \{0, 1\}$: the set of binary digits

$\Sigma_3 = \{ (,) \}$: the set of open and closed brackets

A **string** over alphabet Σ is a finite sequence of symbols in Σ .

- Examples

abfbz is a string over $\Sigma_1 = \{a, b, c, d, \dots, z\}$

11011 is a string over $\Sigma_2 = \{0, 1\}$

))((() is a string over $\Sigma_3 = \{ (,) \}$

The **empty string** is a string having no symbol, denoted by ϵ .

Operations on strings: length

- The **length** of a string x , denoted by $|x|$, is the number of symbols which compose x .

- Examples

$$|abfbz|=5$$

$$|110010|=6$$

$$|)))(())|=7$$

$$|\epsilon|=0$$

Operations on strings: concatenation and substrings

- The **concatenation** of two strings x and y is a string xy , i.e., x is followed by y .

It is an associative operation that admits the neutral element ϵ

- s is a **substring** of x if there exist two strings y and z such that $x = ysz$.

Example:

the prefixes of **abc** are : ϵ , a , ab , abc

- In particular,
 - when $x = sz$ (substring with $y=\epsilon$), s is called a **prefix** of x ;
 - when $x = ys$ (substring with $z=\epsilon$), s is called a **suffix** of x ;

ϵ is a prefix and a suffix of any string (including ϵ itself)

Power of an alphabet

- We define the set of all strings over Σ of a given length. Σ^n denotes the strings of length n whose symbols are in Σ

If $\Sigma = \{0,1\}$

$$\Sigma^0 = \{\epsilon\}$$

$$\Sigma^1 = \Sigma = \{0, 1\}$$

$$\Sigma^2 = \{00, 01, 11, 10\}$$

$$\Sigma^3 = \{000, 001, 010, 011, 100, 101, 110, 111\}$$

$$\Sigma^+ = \Sigma^1 \cup \Sigma^2 \cup \Sigma^3 \cup \Sigma^4 \cup \dots = \bigcup_{i>0} \Sigma^i \quad \Sigma^* = \{\epsilon\} \cup \Sigma^+$$

$$\Sigma^+ = \{0, 1, 00, 01, 11, 10, 000, 001, 010, 011, 100, 101, 110, 111\dots\}$$

Languages

A **language** is a set of strings over an alphabet:

$L \subseteq \Sigma^*$ is a language over Σ

Examples

L_1 = The set of all strings over Σ_1 that contain the substring "fool"

L_2 = The set of all strings over Σ_2 that represents a binary number divisible by 7

= {111, 10001, 10101, ...}

L_3 = The set of all strings over Σ_3 where every '(' is followed exactly by 2 occurrences of ')'

= { ϵ ,),), ()),)((), ...}

Other examples of Languages

L_4 = The set of binary numbers whose value is prime

= { 10, 11, 101, 111, 1011, 1101, ... }

L_5 = The set of legal English words over the English alphabet

L_6 = The set of legal C programs over the strings of characters and punctuation symbols

Operations on Languages

Union: $A \cup B$

Intersection: $A \cap B$

Difference: $A \setminus B$ (when $B \subseteq A$)

Complement: $\bar{A} = \Sigma^* - A$ where Σ^* is the set of all strings on Σ

Concatenation: $AB = \{ab \mid a \in A \text{ and } b \in B\}$

Example: $\{0, 1\}\{1, 2\} = \{01, 02, 11, 12\}$.

Kleene Closure

Kleene closure: $A^* = \bigcup_{i=0}^{\infty} A^i$

- Notation: $A^+ = \bigcup_{i=1}^{\infty} A^i$

More example of Languages

Examples:

- The set of strings with n 1's followed by n 0's
 $\{\epsilon, 01, 0011, 000111, \dots\}$
- The set of strings with an equal number of 0's and 1's
 $\{\epsilon, 01, 10, 0011, 0101, 1001, \dots\}$
- The empty language \emptyset
- The language $\{\epsilon\}$ consisting of the empty string only

Remember $\emptyset \neq \{\epsilon\}$

Problems

- Does the string w belong to the language L ?

Example: $11101 \in L_4$?

We want to define a procedure to decide it!

We can try to generate all words....

We can try to recognise when a word belongs to L

The generative approach: **Grammars**

Starting from a particular initial symbol, using the rewriting rules of the productions, we **generate** the set of all the strings belonging to the language

Definition of Grammars

We define a Grammar $G=(\Sigma, N, S, P)$ where :

- Σ is the alphabet, a set of symbols (called **terminals**)
- N is the set of **nonterminals**
- $S \in N$ is the starting symbol
- P is the set of productions, each of the form

$$U \rightarrow V$$

where $U \in (\Sigma \cup N)^+$ and $V \in (\Sigma \cup N)^*$.

Derivations of $G = (\Sigma, N, S, P)$

A string $w \in \Sigma^*$ is generated by G if there exists a derivation starting from S and resulting in w obtained by rewriting the string using the productions in P

$$G = (\{a\}, \{S\}, S, P)$$

$$S \rightarrow \epsilon$$

$$S \rightarrow a$$

$$S \rightarrow aS$$

A language generated by grammar G is denoted $L(G)$ and it is the set of strings derived using G .

Example of a grammar

We want to describe L_1 the language of strings with an even number of 1's

L_1 can be generated by a grammar $(\{0,1\},\{S,T\},S,P)$ with P equal to

$S \rightarrow \epsilon$
 $S \rightarrow OS$
 $S \rightarrow 1T$
 $T \rightarrow OT$
 $T \rightarrow 1S$

A string belongs to L_1 iff it can be generated by the grammar

Grammar Example

Does the string 01010 belong to L_1 ?

We need to find a derivation

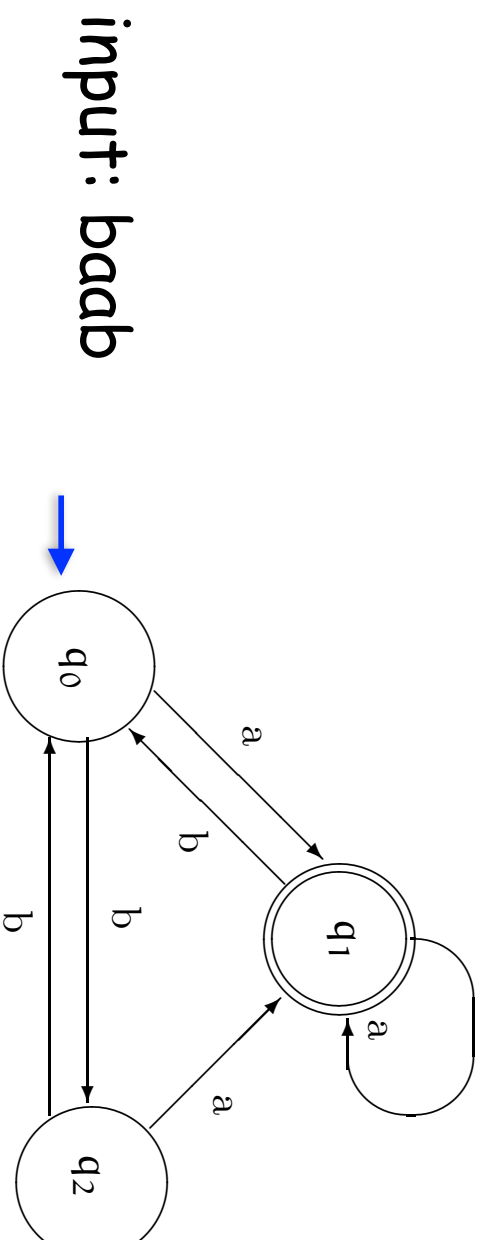
$$S \rightarrow \epsilon \mid OS \mid 1T$$

$$T \rightarrow OT \mid 1S$$

S

Recognising a language: Automata

- A finite state automaton is finite state machine with an input of discrete values.
- The state machine consumes the input and possibly moves to a different state.
- The system may be in a state among a finite set of possible states. Being in a state allows to keep track of previous history.



Back to our Problems

- Does the string w belong to the language L ?

We want to define a procedure to decide it!

- Which is the computational complexity necessary to answer to the previous question ?

It depends on the complexity of the language!!

Classification of Languages

Restrictions on productions give different types of grammars :

- Regular (type 3)
- Context-free (type 2)
- Context-sensitive (type 1)
- Phrase-structure (type 0)

$$U \rightarrow V$$

where $U \in (\Sigma \cup N)^+$ and $V \in (\Sigma \cup N)^*$.

For context-free, e.g., $U \in N$

No restrictions for phrase-structure

A language is of a type iff it admits a grammar of that type

Complexity of Languages Problems

	Regular Grammar Type 3	Context Free Grammar Type 2	Context Sensitive Grammar Type 1	Unrestricted Grammar Type 0
Is $w \in L(G)$?	P	P	PSPACE	U
Is $L(G)$ empty?	P	P	U	U
Is $L(G_1) \equiv L(G_2)$?	PSPACE	U	U	U

P: decidable in polynomial time

PSPACE: decidable in polynomial space (at least as hard as NP-complete)

U: undecidable

Regular languages

All the following ways to represent regular languages are equivalent:

- Regular grammars (RG, type 3)
- Deterministic finite automata (DFA)
- Non-deterministic finite automata (NFA)
- Non-deterministic finite automata with ϵ transitions (ϵ -NFA)
- Regular expressions (RE)

Regular Grammars

A **Right** (or, analogously, **Left**) **Regular Grammar** is a grammar, where

- every production has the form $A \rightarrow aB \mid a$
- only for the starting symbol S we can have $S \rightarrow \epsilon$

Example

$G = (\{a, b\}, \{S, B\}, S, P)$ where productions P are:

$S \rightarrow aS \mid aB$

$B \rightarrow bB \mid b$

$aaabb \in L(G)??$

$L(G) = \{a^n b^m \mid n, m > 0\}$

S

Deterministic Finite Automata

A deterministic finite automaton (DFA) $(Q, \Sigma, \delta, q_0, F)$

Q a finite set of states

Σ a finite set of symbols

$\delta : Q \times \Sigma \rightarrow Q$ the transition function takes as argument a state and a symbol and returns **one** state

q_0 the starting state

$F \subseteq Q$ the set of final or accepting states

Deterministic Finite Automata

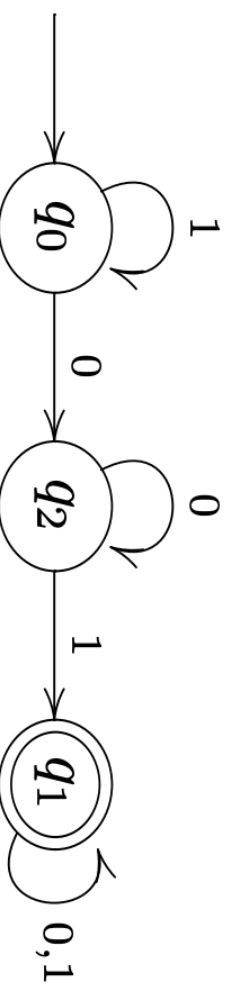
How to represent a DFA? With a **transition table**

	0	1
$\rightarrow q_0$	q_2	q_0
$*q_1$	q_1	q_1
q_2	q_2	q_1

\rightarrow indicates the starting state

* indicates the final states

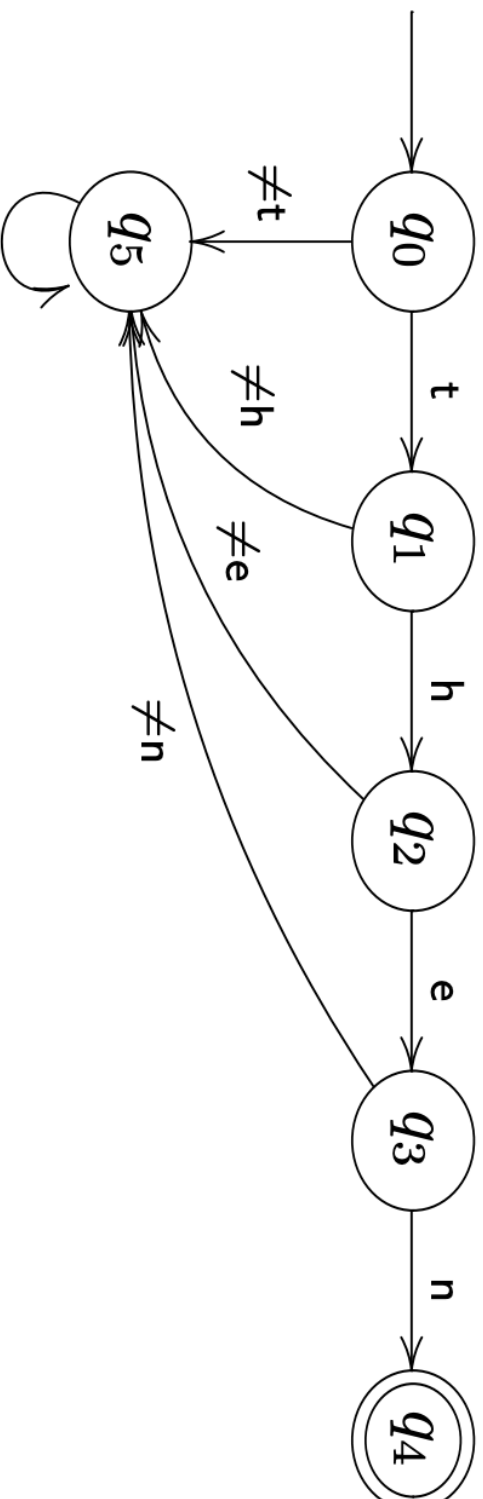
This defines the following transition system



Deterministic Finite Automata

When does an automaton accept a word?

It reads a word and accept it if it stops in an accepting state



here $Q = \{q_0, q_1, q_2, q_3, q_4, q_5\}$ $F = \{q_4\}$

Only the word **then** is accepted

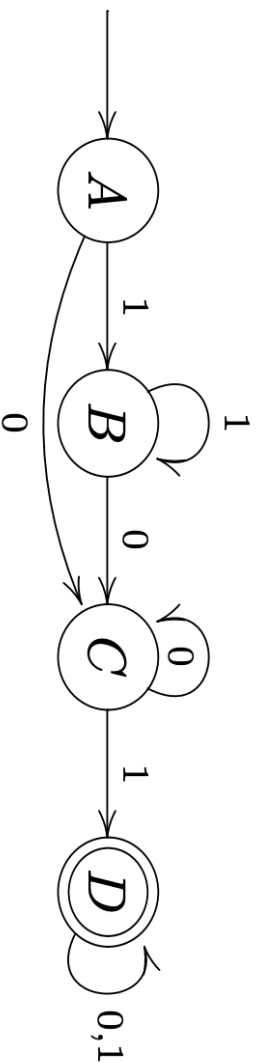
How DFA processes Strings

We build an automaton that accepts string containing the substring 01

$\Sigma = \{0,1\}$

$L = \{x01y \mid x,y \in \Sigma^*\}$

We get



	0	1
$\rightarrow A$	C	B
B	C	B
C	C	D
*D	D	D

Extending the transition function to strings

We define the transitive closure of δ

$$\hat{\delta} : Q \times \Sigma^* \longrightarrow Q$$

$$\left\{ \begin{array}{l} \hat{\delta}(q, \varepsilon) = q \\ \hat{\delta}(q, wa) = \delta(\hat{\delta}(q, w), a) \end{array} \right.$$

A string x is accepted by $M = (Q, \Sigma, \delta, q_0, F)$ iff $\hat{\delta}(q_0, x) \in F$

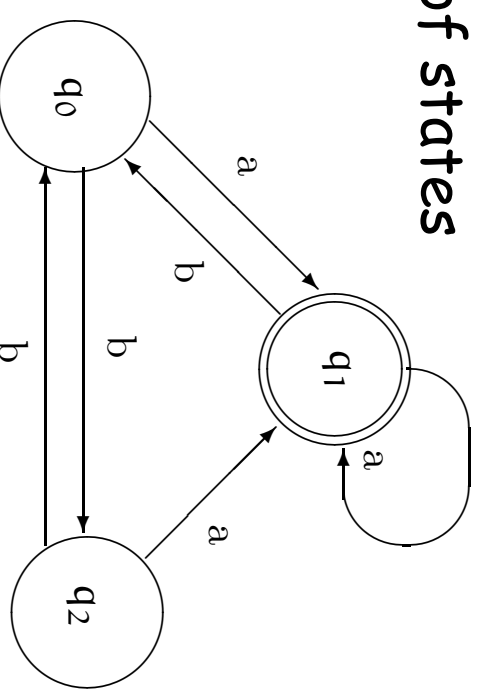
$$L(M) = \{x \in \Sigma^* \mid \hat{\delta}(q_0, x) \in F\}$$

Nondeterministic Finite Automata

A nondeterministic finite automaton (NFA) allows more than one transition on the same input symbol.

Formally, a NFA is defined as $(Q, \Sigma, \delta, q_0, F)$ where the only difference is the transition function

$\delta : Q \times \Sigma \rightarrow \mathcal{P}(Q)$ a transition function that takes as argument a state and a symbol and returns a set of states



Extending the transition function to strings

We define the transitive closure of δ

$$\begin{cases} \hat{\delta}(q, \varepsilon) = \{q\} \\ \hat{\delta}(q, wa) = \bigcup_{p \in \hat{\delta}(q, w)} \delta(p, a) \end{cases}$$

A string x is accepted by $M=(Q, \Sigma, \delta, q_0, F)$ iff $\hat{\delta}(q_0, x) \cap F \neq \emptyset$

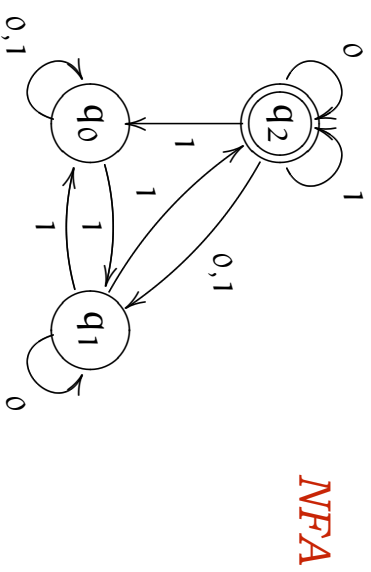
$$L(M) = \{x \in \Sigma^* \mid \hat{\delta}(q_0, x) \cap F \neq \emptyset\}$$

- NFAs do not expand the class of language that can be accepted.

Example

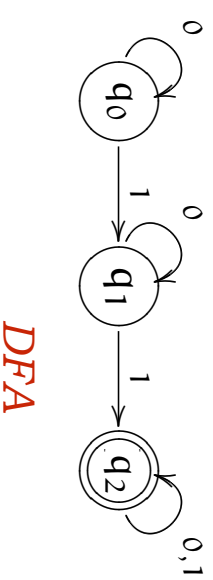
	0	1
\rightarrow q ₀	{q ₀ }	{q ₀ , q ₁ }
q ₁	{q ₁ }	{q ₀ , q ₂ }
* q ₂	{q ₁ , q ₂ }	{q ₀ , q ₁ , q ₂ }

F = {q₂}



$L = \{x \in \{0,1\}^* \mid x \text{ contains at least 2 occurrences of } 1\}$

	0	1
\rightarrow q ₀	q ₀	q ₁
q ₁	q ₁	q ₂
* q ₂	q ₂	q ₂



Different characterisation of Regular Languages

There are different ways to characterise a regular language

- Regular grammars
- Deterministic Finite Automata
- Non deterministic Finite Automata
- Epsilon non deterministic Finite Automata
- Regular expression

Different characterisation of Regular Languages

DFA

NFA

RG

RE

ϵ -NFA

- We formally will show how to pass from one characterization to another one

Roadmap: equivalence between NFA and RG

DFA

NFA

RG



RE

ε-NFA

From Regular Grammars to NFA

Theorem 1.

For each right grammar RG there is a non deterministic finite automaton NFA such that $L(RG) = L(NFA)$.

Construction Algorithm

Given a $RG = (\Sigma, N, S, P)$ construct a $NFA = (N \cup \{F\}, \Sigma, \delta, S, F')$

where F is a newly added state and

if $F' = \{F\} \cup \{S\}$ if $S \rightarrow \epsilon$ belongs to P , $F' = \{F\}$, otherwise.

The transition function δ is defined by the following rules

- 1) For any $A \rightarrow a$ belonging to P , with a in Σ , set $\delta(A, a) = F$
- 2) For any $A \rightarrow aB$ belonging to P , with a in Σ and B in N , set $\delta(A, a) = B$

Example

$G = (\{a, b\}, \{S, B\}, S, P)$ where productions P are:

$S \rightarrow aS \mid aB$

$B \rightarrow bB \mid b$

$L(G) = \{ a^n b^m \mid n, m > 0 \}$

From NFA to Regular Grammars

Theorem 2

For each nondeterministic automaton NFA, there is one right grammar RG such that $L(RG)=L(NFA)$.

Construction Algorithm

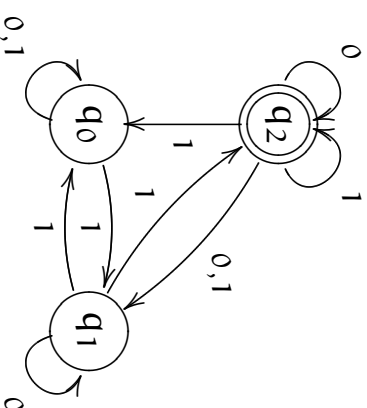
Given an automaton $NFA = (Q, \Sigma, \delta, q_0, F)$, construct a grammar $RG = (\Sigma, Q, q_0', P)$ according the following steps:

- 1) for any $\delta(A, a) = B$ add $A \rightarrow aB$ to P ,
- 2) if B belongs to F add also $A \rightarrow a$ to P ;
- 3) if q_0 belongs to F then add $(q \rightarrow q_0 \mid \varepsilon \text{ to } P$ and $q_0' = q)$ else $q_0' = q_0$.

Example

	0	1
\rightarrow q_0	$\{q_0\}$	$\{q_0, q_1\}$
q_1	$\{q_1\}$	$\{q_0, q_2\}$
\star q_2	$\{q_1, q_2\}$	$\{q_0, q_1, q_2\}$

$F = \{q_2\}$



NFA

$L = \{x \in \{0,1\}^* \mid x \text{ contains at least 2 occurrences of } 1\}$

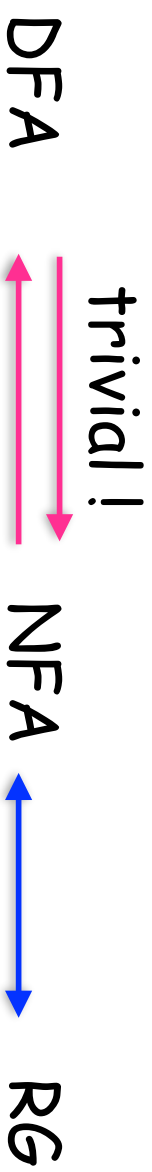
Exercises

Write the NFA for the following languages

- Strings over the alphabet $\{a,b,c\}$ containing at least one a and at least one b
- Strings of 0 's and 1 's whose tenth symbol from the right is 1
- The set of strings of 0 's and 1 's with at most one pair of consecutive 1 's

and derive the corresponding grammars

Roadmap: equivalence between DFA and NFA



RE

ϵ -NFA

From a NFA to a DFA

The NFA are usually easier to "program".

For each NFA N there is a DFA D , such that $L(D) = L(N)$.

This involves a subset construction.

Given an

NFA $N =$

we will build a $(Q_N, \Sigma, \delta_N, q_0, F_N)$

DFA $D =$

such that $(Q_D, \Sigma, \delta_D, q_0, F_D)$

$L(D) = L(N)$

From NFA to a DFA

$$Q_D = \wp(Q_N),$$

Note that not all these state are necessary, most of them will be unreachable.

$$\forall P \in \mathcal{P}(Q_N) : \quad \delta_D(P, a) = \bigcup_{p \in P} \delta_N(p, a)$$

$$F_D = \{P \in \mathcal{P}(Q_N) \mid P \cap F \neq \emptyset\}$$

Example

NFA

	0	1
q_0	$\{q_0\}$	$\{q_0, q_1\}$
q_1	$\{q_1\}$	$\{q_0, q_2\}$
* q_2	$\{q_1, q_2\}$	$\{q_0, q_1, q_2\}$

Consider all the subsets $\mathcal{P}(Q_N)$

\emptyset

$\{q_0\}$

$\{q_1\}$

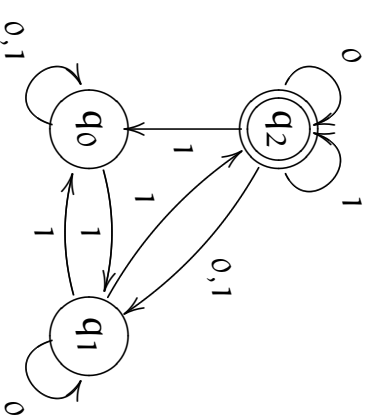
$\{q_2\}$

$\{q_0, q_1\}$

$\{q_0, q_2\}$

$\{q_1, q_2\}$

$\{q_0, q_1, q_2\}$



Which ones are final?

Example

NFA

	0	1
q_0	$\{q_0\}$	$\{q_0, q_1\}$
q_1	$\{q_1\}$	$\{q_0, q_2\}$
q_2	$\{q_1, q_2\}$	$\{q_0, q_1, q_2\}$

*

$$\mathcal{P}(Q_N) \quad \emptyset$$

$\{q_0\}$

$\{q_1\}$

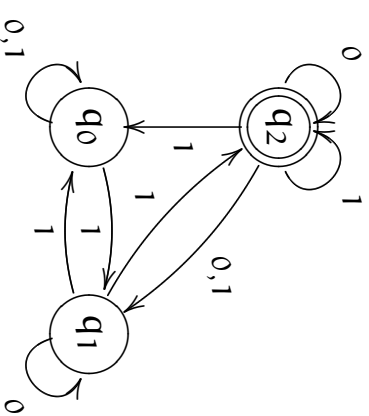
$\{q_2\}$

$\{q_0, q_1\}$

$\{q_0, q_2\}$

$\{q_1, q_2\}$

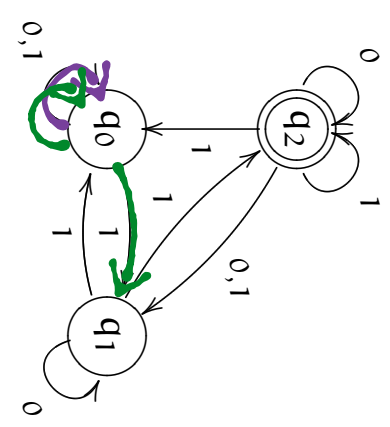
$\{q_0, q_1, q_2\}$



Example

NFA

	0	1
q_0	$\{q_0\}$	$\{q_0, q_1\}$
q_1	$\{q_1\}$	$\{q_0, q_2\}$
* q_2	$\{q_1, q_2\}$	$\{q_0, q_1, q_2\}$



$\mathcal{P}(Q_N)$

	\emptyset	0	1
q'_0	$\{q_0\}$	$\{q_0\}$	$\{q_0, q_1\}$

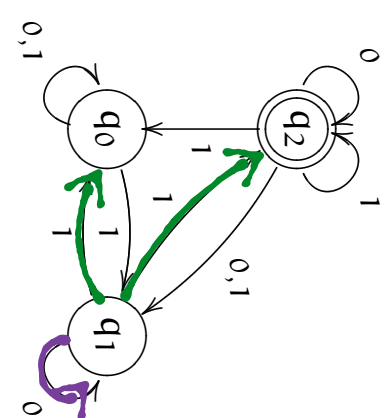
- $\{q_0\}$ $\{q_1\}$ $\{q_2\}$
- $\{q_0, q_1\}$ $\{q_1, q_2\}$
- $\{q_0, q_1, q_2\}$

$\{q_0, q_1, q_2\}$

Example

NFA

	0	1
q_0	$\{q_0\}$	$\{q_0, q_1\}$
q_1	$\{q_1\}$	$\{q_0, q_2\}$
* q_2	$\{q_1, q_2\}$	$\{q_0, q_1, q_2\}$



$\mathcal{P}(Q_N)$

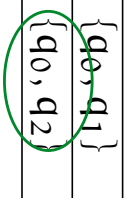
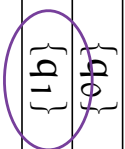
	\emptyset	0	1
\emptyset	\emptyset	\emptyset	\emptyset
$\{q_0\}$	$\{q_0\}$	$\{q_0\}$	$\{q_0, q_1\}$
$\{q_1\}$	$\{q_1\}$	$\{q_1\}$	$\{q_0, q_2\}$
$\{q_2\}$	$\{q_1, q_2\}$	$\{q_0, q_1, q_2\}$	$\{q_0, q_1, q_2\}$

$\{q_0, q_1\}$

$\{q_0, q_2\}$

$\{q_1, q_2\}$

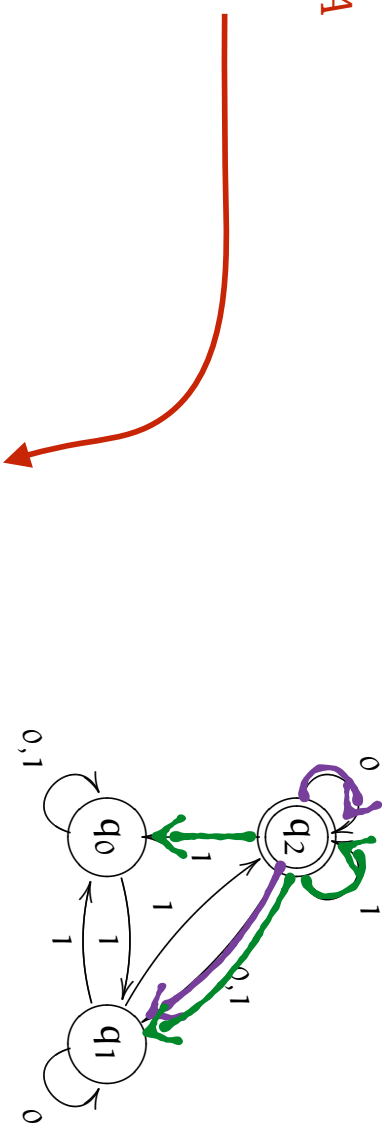
$\{q_0, q_1, q_2\}$



Example

NFA

	0	1
q_0	$\{q_0\}$	$\{q_0, q_1\}$
q_1	$\{q_1\}$	$\{q_0, q_2\}$
$*q_2$	$\{q_1, q_2\}$	$\{q_0, q_1, q_2\}$



$\mathcal{P}(Q_N)$

$\{q_0\}$	$\{q_1\}$	$\{q_2\}$	q'_0	q'_1	$*q'_2$
\emptyset	\emptyset	\emptyset	$\{q_0\}$	$\{q_1\}$	$\{q_2\}$
\emptyset	\emptyset	\emptyset	$\{q_0, q_1\}$	$\{q_1, q_2\}$	$\{q_0, q_1, q_2\}$
\emptyset	\emptyset	\emptyset	$\{q_0, q_1, q_2\}$	$\{q_0, q_2\}$	$\{q_0, q_1, q_2\}$

$\{q_0, q_1, q_2\}$

$\{q_0, q_2\}$

$\{q_1, q_2\}$

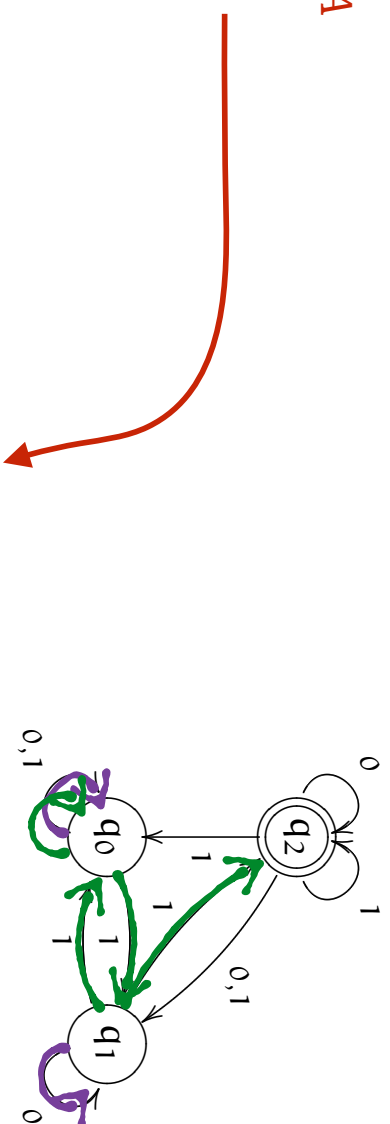
$\{q_1, q_2\}$

$\{q_0, q_1, q_2\}$

Example

NFA

	0	1
q_0	$\{q_0\}$	$\{q_0, q_1\}$
q_1	$\{q_1\}$	$\{q_0, q_2\}$
* q_2	$\{q_1, q_2\}$	$\{q_0, q_1, q_2\}$



	\emptyset	0	1
q'_0	\emptyset	$\{q_0\}$	$\{q_0, q_1\}$
q'_1	$\{q_1\}$	$\{q_1\}$	$\{q_0, q_2\}$
* q'_2	$\{q_2\}$	$\{q_1, q_2\}$	$\{q_0, q_1, q_2\}$
q'_3	$\{q_0, q_1\}$	$\{q_0, q_1\}$	$\{q_0, q_1, q_2\}$

$\{q_0, q_1, q_2\}$

$\{q_0, q_2\}$

$\{q_1, q_2\}$

$\{q_2\}$

$\{q_1\}$

$\{q_0, q_1\}$

\emptyset

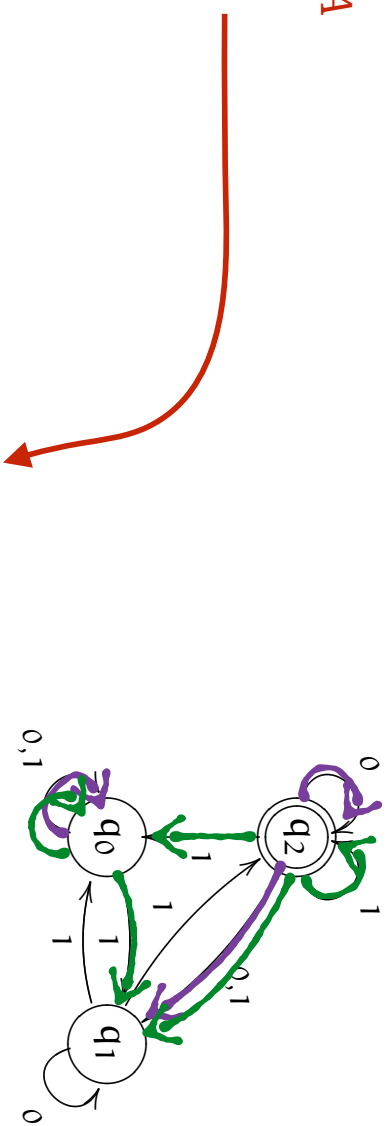
0,1

0

Example

NFA

	0	1
q_0	$\{q_0\}$	$\{q_0, q_1\}$
q_1	$\{q_1\}$	$\{q_0, q_2\}$
* q_2	$\{q_1, q_2\}$	$\{q_0, q_1, q_2\}$



	\emptyset	0	1
q'_0	\emptyset	$\{q_0\}$	$\{q_0, q_1\}$
q'_1	$\{q_1\}$	$\{q_1\}$	$\{q_0, q_2\}$
* q'_2	$\{q_2\}$	$\{q_1, q_2\}$	$\{q_0, q_1, q_2\}$
q'_3	$\{q_0, q_1\}$	$\{q_0, q_1\}$	$\{q_0, q_1, q_2\}$
* q'_4	$\{q_0, q_2\}$	$\{q_0, q_1, q_2\}$	$\{q_0, q_1, q_2\}$

$\{q_0, q_1, q_2\}$

$\{q_0, q_2\}$

$\{q_1, q_2\}$

$\{q_2\}$

* q'_2

q'_3

q'_4

$\{q_0, q_1\}$

$\{q_2\}$

$\{q_1, q_2\}$

$\{q_0, q_1, q_2\}$

$\{q_0, q_1, q_2\}$

$\{q_0, q_1, q_2\}$

$\{q_0, q_1, q_2\}$

$\{q_0, q_1, q_2\}$

$\{q_0, q_1, q_2\}$

$\{q_0, q_1, q_2\}$

$\{q_0, q_1, q_2\}$

$\{q_0, q_1, q_2\}$

$\{q_0, q_1, q_2\}$

$\{q_0, q_1, q_2\}$

$\{q_0, q_1, q_2\}$

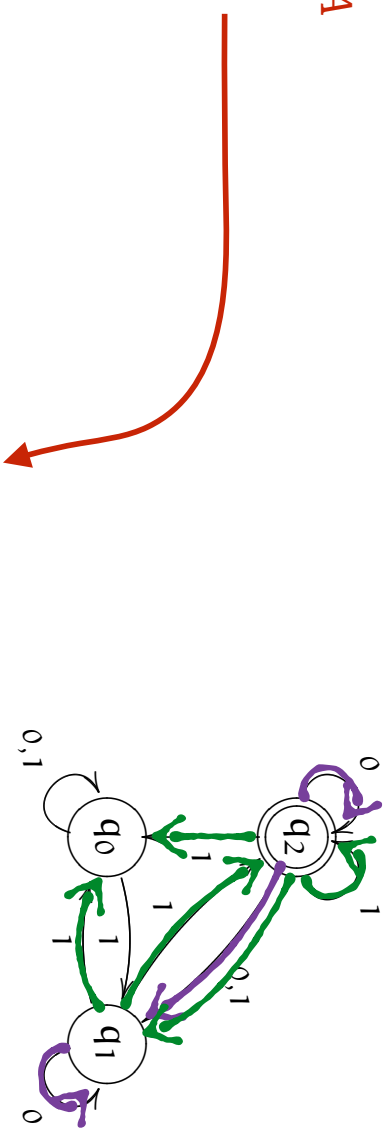
$\{q_0, q_1, q_2\}$

$\{q_0, q_1, q_2\}$

Example

NFA

	0	1
q_0	$\{q_0\}$	$\{q_0, q_1\}$
q_1	$\{q_1\}$	$\{q_0, q_2\}$
* q_2	$\{q_1, q_2\}$	$\{q_0, q_1, q_2\}$



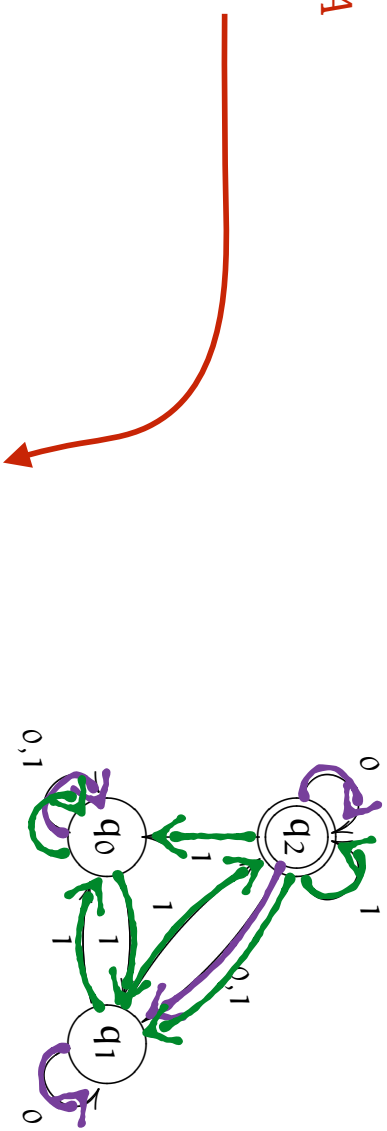
		0	1
\emptyset	\emptyset	\emptyset	\emptyset
$\{q_0\}$	$\{q_0\}$	$\{q_0\}$	$\{q_0, q_1\}$
$\{q_1\}$	$\{q_1\}$	$\{q_1\}$	$\{q_0, q_2\}$
* $\{q_2\}$	* $\{q_2\}$	$\{q_1, q_2\}$	$\{q_0, q_1, q_2\}$
$\{q_0, q_1\}$	$\{q_0, q_1\}$	$\{q_0, q_1, q_2\}$	$\{q_0, q_1, q_2\}$
* $\{q_0, q_2\}$	* $\{q_0, q_2\}$	$\{q_0, q_1, q_2\}$	$\{q_0, q_1, q_2\}$
* $\{q_1, q_2\}$	* $\{q_1, q_2\}$	$\{q_0, q_1, q_2\}$	$\{q_0, q_1, q_2\}$
* $\{q_0, q_1, q_2\}$	* $\{q_0, q_1, q_2\}$	$\{q_0, q_1, q_2\}$	$\{q_0, q_1, q_2\}$

$\{q_0, q_1, q_2\}$

Example

NFA

	0	1
q_0	$\{q_0\}$	$\{q_0, q_1\}$
q_1	$\{q_1\}$	$\{q_0, q_2\}$
q_2	$\{q_1, q_2\}$	$\{q_0, q_1, q_2\}$

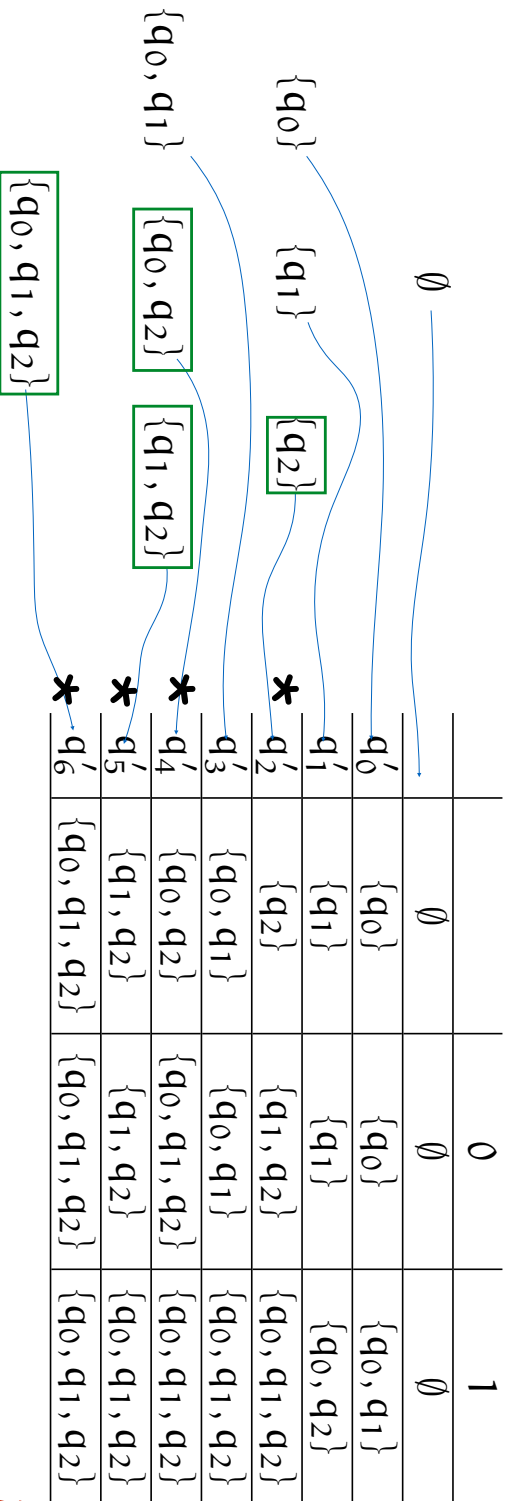
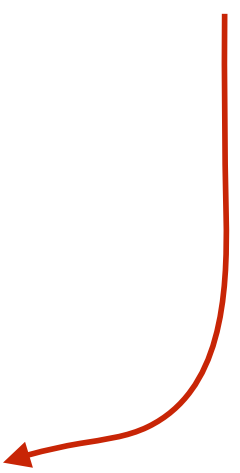


	0	1
\emptyset	\emptyset	\emptyset
$\{q_0\}$	$\{q_0\}$	$\{q_0, q_1\}$
$\{q_1\}$	$\{q_1\}$	$\{q_0, q_2\}$
$\{q_2\}$	$\{q_1, q_2\}$	$\{q_0, q_1, q_2\}$
$\{q_0, q_1\}$	$\{q_0, q_1, q_2\}$	$\{q_0, q_1, q_2\}$
$\{q_0, q_2\}$	$\{q_1, q_2\}$	$\{q_0, q_1, q_2\}$
$\{q_1, q_2\}$	$\{q_0, q_1, q_2\}$	$\{q_0, q_1, q_2\}$
$\{q_0, q_1, q_2\}$	$\{q_0, q_1, q_2\}$	$\{q_0, q_1, q_2\}$

Example

NFA

	0	1
q_0	$\{q_0\}$	$\{q_0, q_1\}$
q_1	$\{q_1\}$	$\{q_0, q_2\}$
* q_2	$\{q_1, q_2\}$	$\{q_0, q_1, q_2\}$



		0	1
\emptyset	q'_0	\emptyset	\emptyset
$\{q_0\}$	q'_1	$\{q_0\}$	$\{q_0, q_1\}$
$\{q_1\}$	q'_2	$\{q_1\}$	$\{q_0, q_2\}$
$\{q_2\}$	q'_3	$\{q_2\}$	$\{q_0, q_1, q_2\}$
* $\{q_0, q_1\}$	* q'_4	$\{q_0, q_1\}$	$\{q_0, q_1, q_2\}$
* $\{q_0, q_2\}$	* q'_5	$\{q_0, q_2\}$	$\{q_0, q_1, q_2\}$
* $\{q_1, q_2\}$	* q'_6	$\{q_1, q_2\}$	$\{q_0, q_1, q_2\}$
* $\{q_0, q_1, q_2\}$	* q'_6	$\{q_0, q_1, q_2\}$	$\{q_0, q_1, q_2\}$

DFA

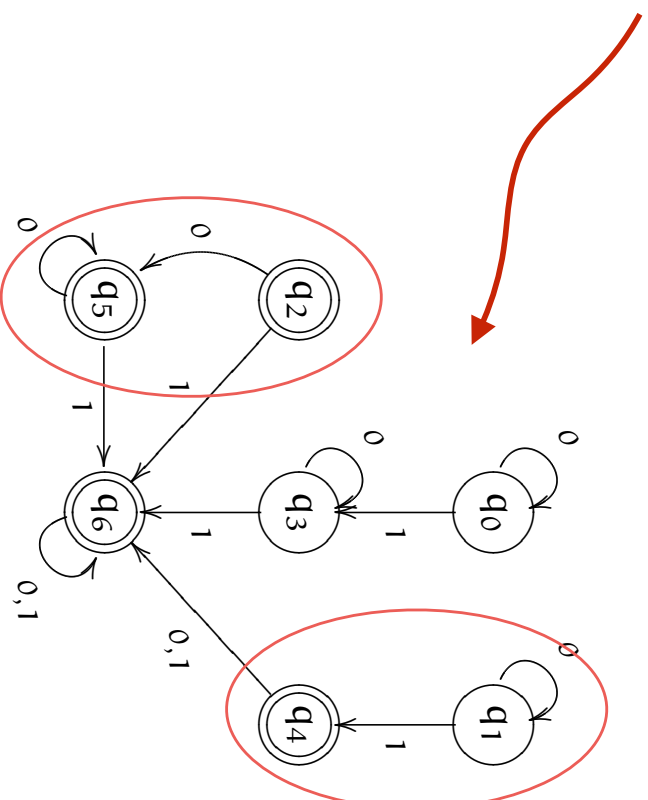
	0	1
q_0	q_0	q_3
q_1	q_1	q_4
* q_2	* q_5	* q_6
q_3	q_3	q_6
* q_4	* q_6	* q_6
* q_5	* q_6	* q_6
* q_6	* q_6	* q_6



Example

DFA

	0	1
q ₀	q ₀	q ₃
q ₁	q ₁	q ₄
* q ₂	q ₅	q ₆
q ₃	q ₃	q ₆
* q ₄	q ₆	q ₆
* q ₅	q ₅	q ₆
* q ₆	q ₆	q ₆

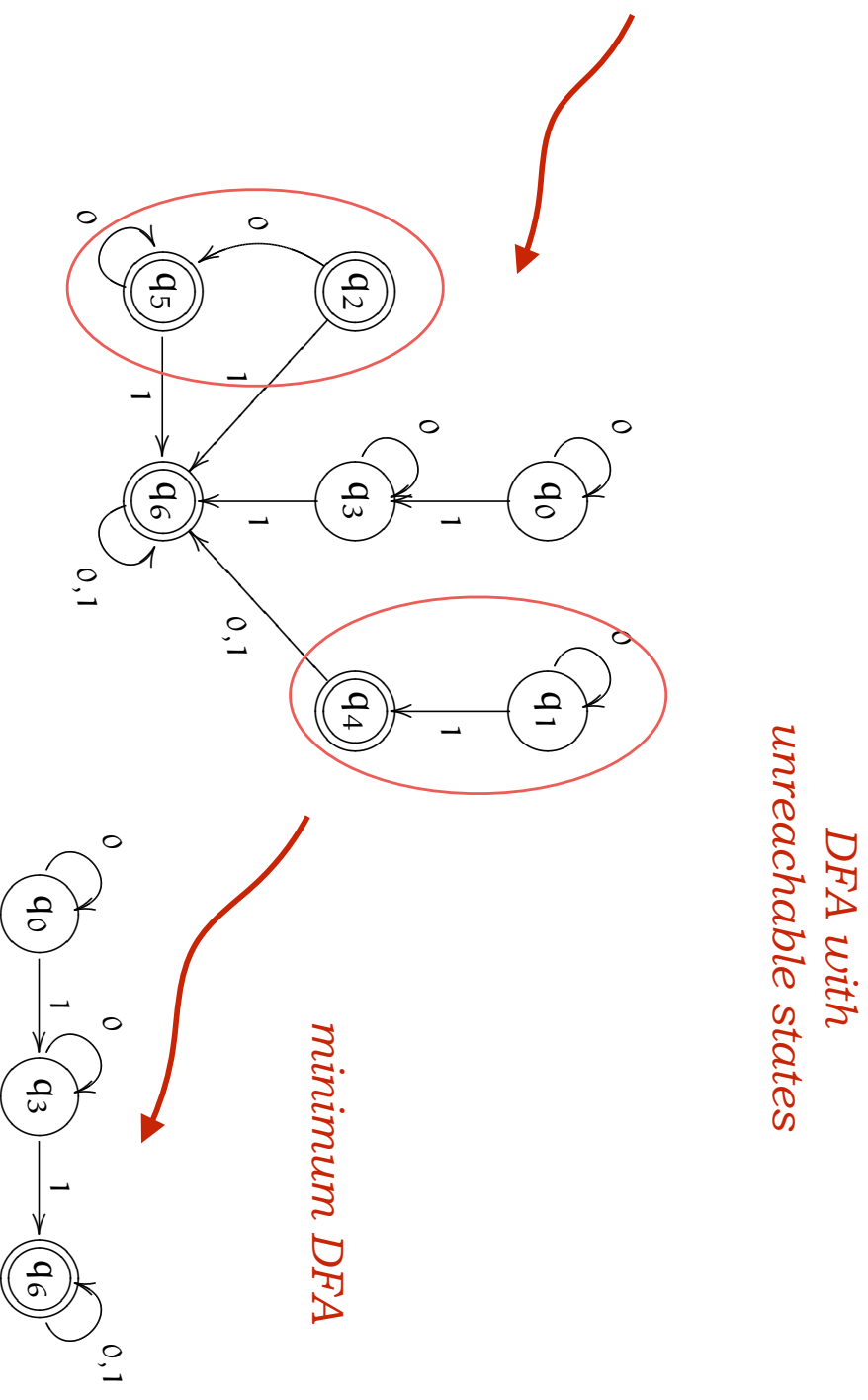


*DFA with
unreachable states*

Example

DFA

	0	1
q ₀	q ₀	q ₃
q ₁	q ₁	q ₄
q ₂	q ₅	q ₆
q ₃	q ₃	q ₆
q ₄	q ₆	q ₆
q ₅	q ₅	q ₆
q ₆	q ₆	q ₆



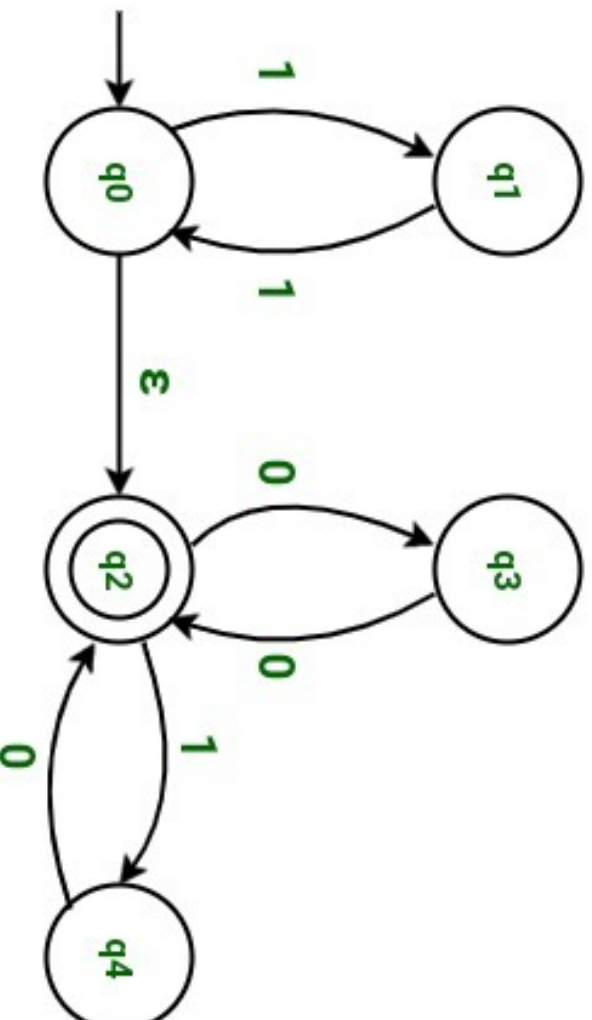
The ϵ -NFA: NFA with epsilon transitions

- Extension of finite automaton.
- The new feature: we allow transition on ϵ , the empty string.
- An NFA that is allowed to make transition spontaneously, without receiving any input symbol.
- As in the case of NFA w.r.t. DFA this new feature does not expand the class of languages that can be accepted.

Definition of ϵ -NFA

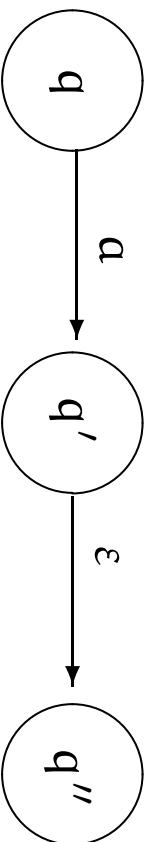
A NFA whose transition function can always choose epsilon as input symbol

$$\delta : Q \times (\Sigma \cup \{\epsilon\}) \rightarrow \mathcal{P}(Q)$$



Definition of ϵ -closure for extending δ to Strings

We need to define the ϵ -closure that applied to a state gives all the states reachable with ϵ -transitions



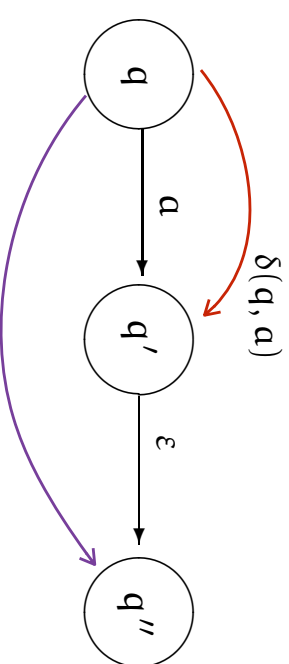
ϵ -closure(q)= $\{q\}$ ϵ -closure(q')= $\{q', q''\}$

$$\epsilon\text{-closure}(P) = \bigcup_{p \in P} \epsilon\text{-closure}(p)$$

The extension of δ to strings

$$\hat{\delta} : Q \times \Sigma^* \longrightarrow \wp(Q)$$

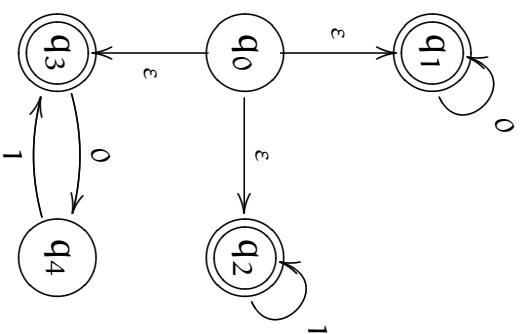
$$\left\{ \begin{array}{l} \hat{\delta}(q, \varepsilon) = \varepsilon\text{-closure}(q) \\ \hat{\delta}(q, wa) = \bigcup_{p \in \hat{\delta}(q, w)} \varepsilon\text{-closure}(\delta(p, a)) \end{array} \right.$$



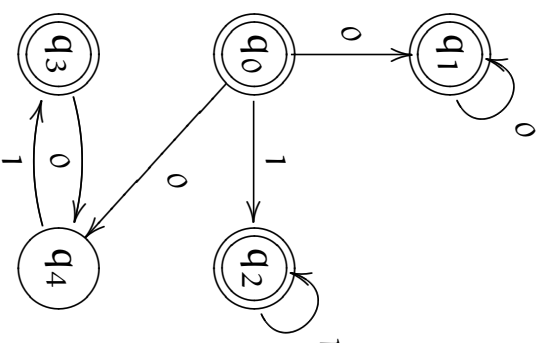
$$\hat{\delta}(q, a) = \bigcup_{p \in \hat{\delta}(q, \varepsilon)} \varepsilon\text{-closure}(\delta(p, a)) = ???$$

Example

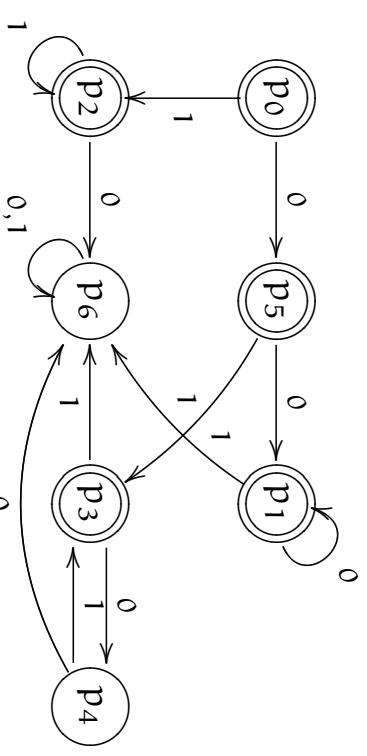
ϵ -NFA



NFA

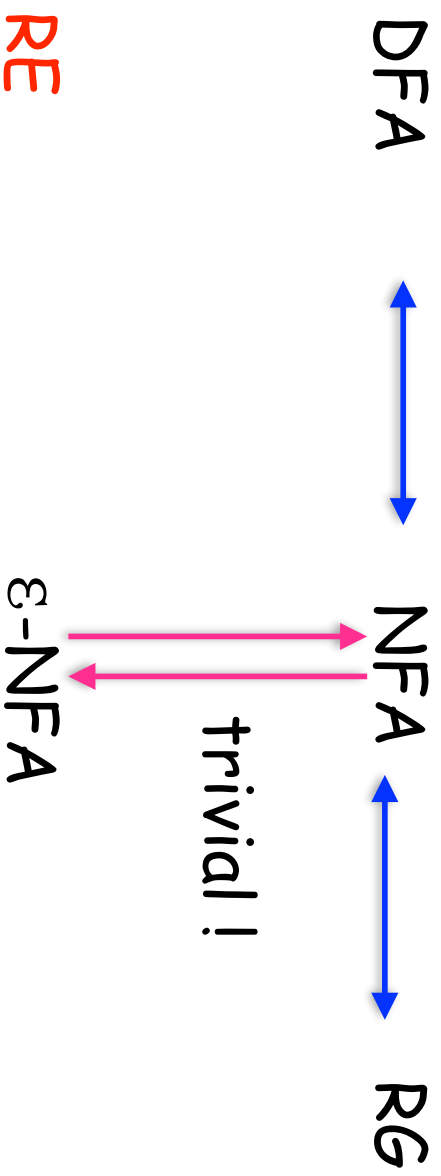


DFA



$$L = \{ x \mid \exists n \in \mathbb{N}. x = 0^n \vee x = 1^n \vee x = (01)^n \}$$

Roadmap: equivalence between NFA and ϵ -NFA



From ϵ -NFA to NFA

For each ϵ -NFA E there is a NFA N , such that $L(E) = L(N)$, and vice versa.

Given an

$$\epsilon\text{-NFA } E = (Q, \Sigma, \delta_E, q_0, F_E)$$

we build a

$$\text{NFA } N = (Q, \Sigma, \delta_N, q_0, F_N)$$

such that

$$L(E) = L(N)$$

Equivalence between ϵ -NFA and NFA

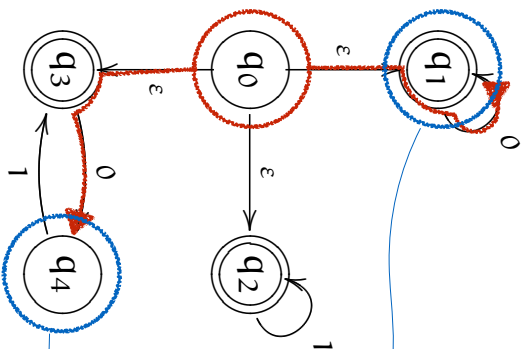
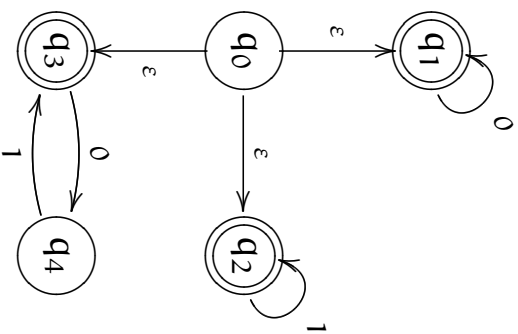
$$\delta_N(q, a) = \widehat{\delta}_E(q, a)$$

$$F_N = \begin{cases} F_E \cup \{q_0\} & \text{if } \epsilon\text{-closure}(q_0) \cap F_E \neq \emptyset \\ F_E & \text{otherwise} \end{cases}$$

if a final state can be reached with an epsilon transition from the initial state

Example

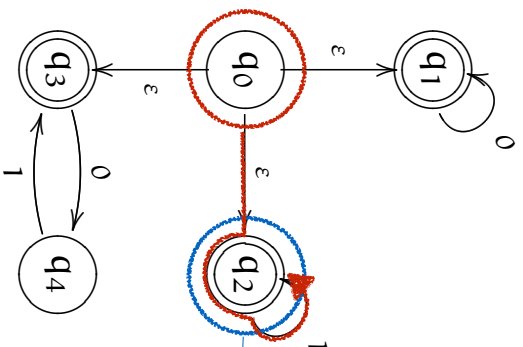
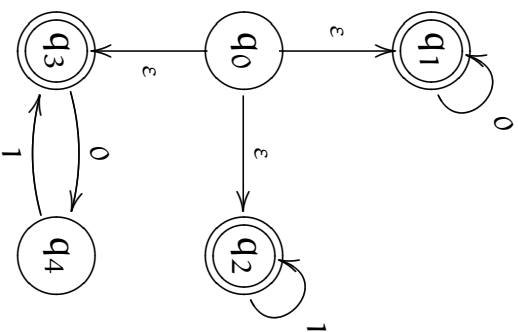
ϵ -NFA



	0	1
q0	{q1, q4}	{q2}
q1	{q1}	\emptyset
q2	\emptyset	{q2}
q3	{q4}	\emptyset
q4	\emptyset	{q3}

Example

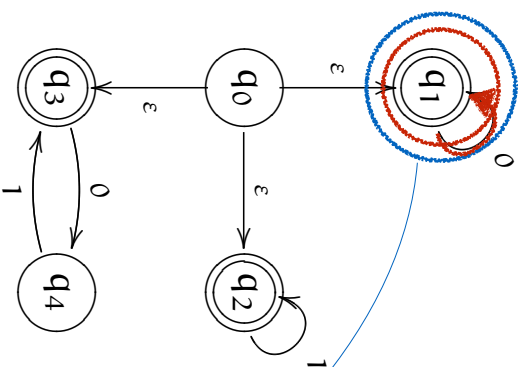
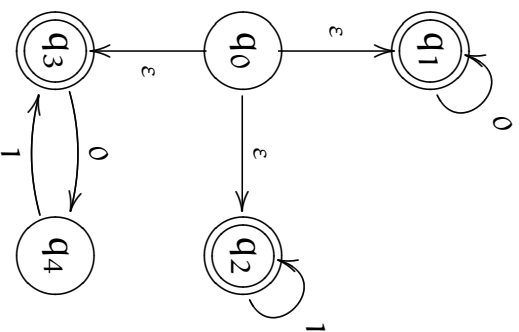
ϵ -NFA



	0	1
q0	{q1, q4}	{q2}
q1	{q1}	\emptyset
q2	\emptyset	{q2}
q3	{q4}	\emptyset
q4	\emptyset	{q3}

Example

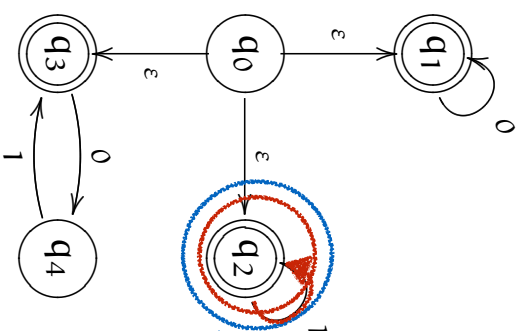
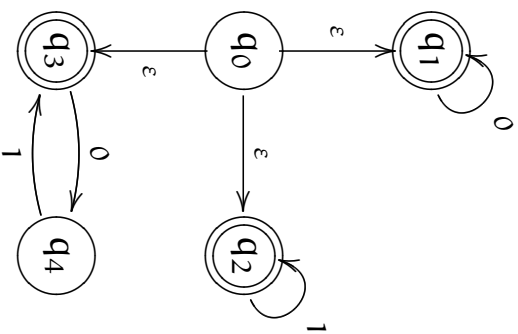
ϵ -NFA



		0	1
q0	{q1, q4}	{q2}	
q1	{q1}	\emptyset	
q2	\emptyset	{q2}	
q3	{q4}	\emptyset	
q4	\emptyset	{q3}	

Example

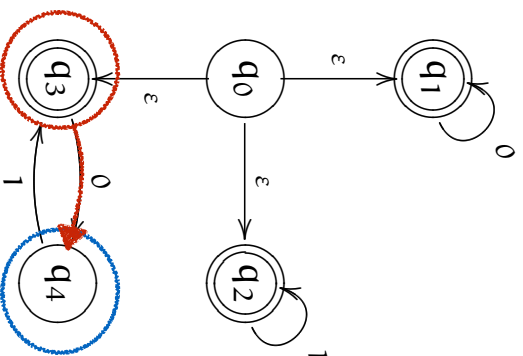
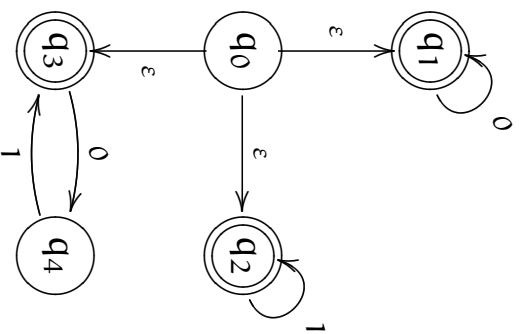
ϵ -NFA



	0	1
q ₀	{q ₁ , q ₄ }	{q ₂ }
q ₁	{q ₁ }	∅
q ₂	∅	{q ₂ }
q ₃	{q ₄ }	∅
q ₄	∅	{q ₃ }

Example

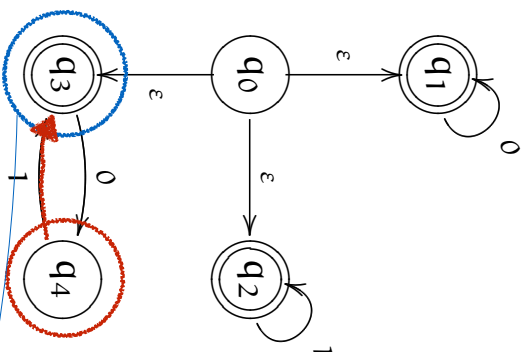
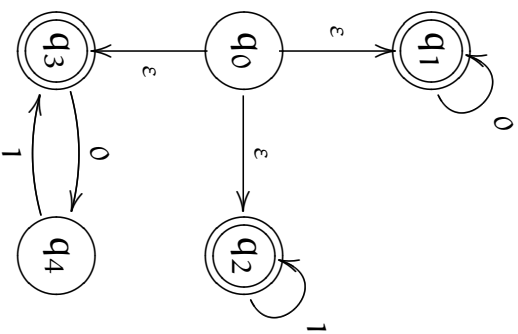
ϵ -NFA



q_0	$\{q_1, q_4\}$	$\{q_2\}$
q_1	$\{q_1\}$	\emptyset
q_2	\emptyset	$\{q_2\}$
q_3	$\{q_4\}$	\emptyset
q_4	\emptyset	$\{q_3\}$

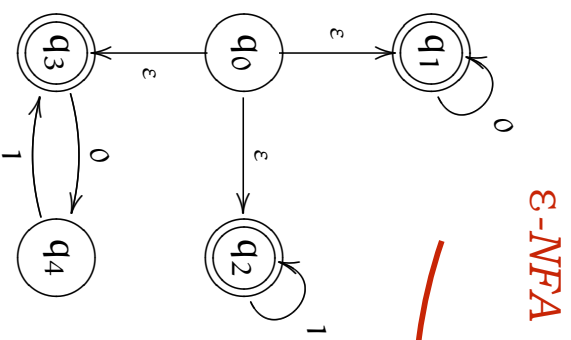
Example

ϵ -NFA



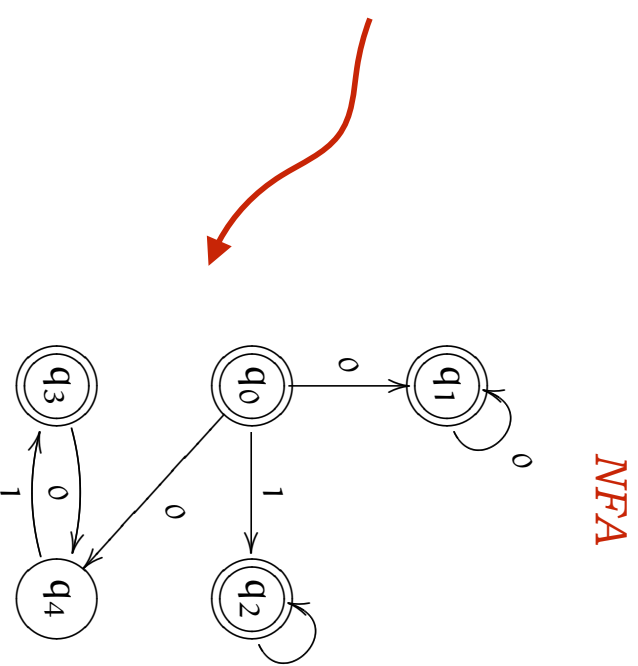
	0	1
q ₀	{q ₁ , q ₄ }	{q ₂ }
q ₁	{q ₁ }	\emptyset
q ₂	\emptyset	{q ₂ }
q ₃	{q ₄ }	\emptyset
q ₄	\emptyset	{q ₃ }

Example



NFA

	0	1
q ₀	{q ₁ , q ₄ }	{q ₂ }
q ₁	{q ₁ }	\emptyset
q ₂	\emptyset	{q ₂ }
q ₃	{q ₄ }	\emptyset
q ₄	\emptyset	{q ₃ }



Operations on languages: recap.

Union: $A \cup B$

Intersection: $A \cap B$

Difference: $A \setminus B$

Complement: $\text{compl}(A) = \Sigma^* - A$

Concatenation: $AB = \{ab \mid a \in A, b \in B\}$

Kleene Closure: $A^* = \bigcup_{i=0}^{\infty} A^i$