

Lightweight Reference-Free Variation Detection using the Burrows-Wheeler Transform

Nicola Prezza¹, Nadia Pisanti¹, Marinella Sciortino², and Giovanna Rosone^{1*}

¹ Dipartimento di Informatica, Università di Pisa, Pisa, Italy.

² Dipartimento di Matematica e Informatica, Università di Palermo, Palermo, Italy.

* Corresponding author: giovanna.rosone@unipi.it.

Motivation We study the problem of identifying SNPs and INDELs within a reads set without aligning it against a reference sequence. Most existing tools for this problem are based on de-Bruijn graphs and share some limitations as their order k is usually small (≈ 30 bases) and they do not store k -mer coverage and k -mer adjacency in reads.

Methods We describe a new approach based on the extension of the Burrows-Wheeler transform (BWT) to a collection of strings. We show that the output of such a transformation can be partitioned in clusters (substrings), each associated with a position of the underlying (unknown) genome; if that position exhibits a variation, then the cluster will contain more than one distinct character.

Results We compared the performance of our tool eBWT2SNP with the state-of-the-art tool DISCOSNP++ on real and simulated Human datasets. Already at 10x coverage, our tool discovers 80% of existing SNPs and 59% of the INDELs (versus 55% and 32% of DISCOSNP++). At 48x, these percentages increase to 96% and 87% (versus 75% and 46% of DISCOSNP++). DISCOSNP++, on the other hand, is more precise: on average, 89% of its output SNPs and 94% of its output INDELs are correct (versus 80% and 90% of our tool). Due to the fact that we use compressed data structures, DISCOSNP++ is also faster. We are currently developing a parallel version our algorithms to become competitive also under this metric.

DEFINITIONS

Our tool relies on the *extended Burrows-Wheeler transform* of the input reads:

Definition: eBWT

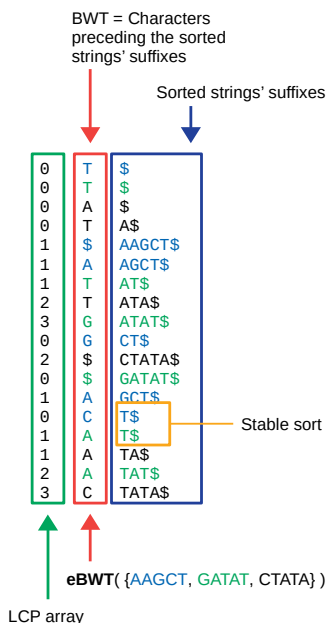
eBWT is the string containing the characters preceding the lexicographically-sorted reads' suffixes. Ties are broken by input order.

We also use the *Longest Common Prefix* array:

Definition: LCP

LCP is the array containing the lengths of the longest common prefixes between adjacent suffixes in lexicographic order.

In the following example, we show eBWT and LCP on the reads set {AAGCT, GATAT, CTATA}. Also the sorted reads' suffixes are shown (blue box). Note that these are shown only for illustrative purposes and are not stored in practice.



PRE-PROCESSING

eBWT can be computed with lightweight tools such as BCR (github.com/BEETL/BEETL) and EGSA (github.com/felipelouza/egsa). Currently, this is the bottleneck of our method: these tools process data at a rate of ≈ 5 GB per hour. We are currently looking into parallel algorithms to speed this step up.

POSITIONAL CLUSTERING

Our method relies on the *clustering* property of the eBWT. In [1] we prove the following theorem:

Theorem [1]

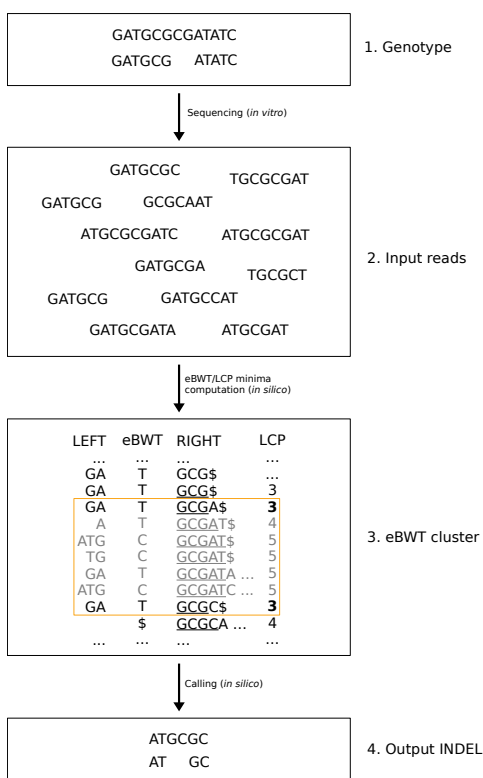
Let i and j be local minima in LCP. With high-enough probability, the cluster $eBWT[i, \dots, j]$ contains the sequenced copies of a single position in the genome.

According to the previous theorem, a genome position contains a variation if and only if the corresponding eBWT cluster contains two different letters. This suggests the following strategy:

Our strategy: eBWT2SNP

1. Compute eBWT and LCP.
2. Detect LCP minima \Rightarrow eBWT clusters.
3. If the cluster contains 2 distinct letters \Rightarrow variation found.
4. Extract context, output variation.

We add INDELs detection w.r.t. the preliminary version [1]. See the following example; in bold: LCP minima. Inside orange box: eBWT cluster.



SPACE-EFFICIENT COMPUTATION

Our tool takes as input just eBWT. How do we find LCP minima? In [2], we show:

Theorem [2]

Given eBWT, we can find all LCP minima in linear time using just 1 Byte per base in RAM.

We moreover build a compressed index on top of the eBWT to extract the context surrounding the variations. This strategy uses 8 times less space than the preliminary version [1].

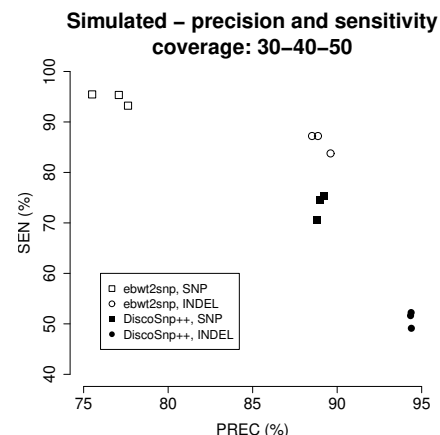
RESULTS

We compared eBWT2SNP with DISCOSNP++, the state-of-the-art tool for reference-free variation detection. All datasets have been downloaded from the 1000genomes website. We processed reads — both real and simulated — from a single individual in order to reconstruct its genotype:

Experiments

1. **Simulated.** Heterozygous reads simulated from HG00096, Chr1, cov. 50x. Variations taken from the real VCF file.
2. **Real.** Reads sequenced from HG00096, Chr1, cov. 48x.

Results on simulated data:



REFERENCES

- [1] N. Prezza, N. Pisanti, M. Sciortino, and G. Rosone. SNPs detection by eBWT positional clustering. *Algorithms for Molecular Biology*, 14(1):3, 2019.
- [2] N. Prezza and G. Rosone. Space-Efficient Computation of the LCP Array from the Burrows-Wheeler Transform. In *CPM 2019*, Leibniz International Proceedings in Informatics (LIPIcs). Schloss Dagstuhl–Leibniz-Zentrum fuer Informatik, 2019.