

# Models of Computation

Written Exam on June 6, 2013

(First part: Exercises 1 and 2, 90 minutes)

Second part: Exercises 3, 4 and 5, 90 minutes)

(Previous TSD students: Exercises 1, 2 and 3, 120 minutes)

## Exercise 1 (7)

Given the IMP command

$$w = \mathbf{while} \ x \neq 0 \ \mathbf{do} \ (y := y + 2x ; x := x - 1)$$

prove that, for every  $\sigma, \sigma' \in \Sigma$ ,

$$\langle w, \sigma \rangle \rightarrow \sigma' \text{ implies } \sigma(x) \geq 0 \wedge \sigma'(y) = \sigma(y) + (\sigma(x) + 1)^2 - (\sigma(x) + 1)$$

while  $\sigma(x) < 0 \Rightarrow \langle w, \sigma \rangle \not\rightarrow$ .

## Exercise 2 (8)

Given a set  $U$ , its *totally ordered subsets* (TOS) are defined as the pairs  $(S, \leq)$  where  $S \subseteq U$  and  $\leq$  a *total* ordering on  $S$ , namely  $\leq$  is a partial ordering on  $S$  with, in addition,  $\forall s_1, s_2 \in S. s_1 \leq s_2 \vee s_2 \leq s_1$ . On TOSes, a relation  $\sqsubseteq$  is defined as  $(S_1, \leq_1) \sqsubseteq (S_2, \leq_2)$  iff  $S_1 \subseteq S_2$  and  $\leq_1 \subseteq \leq_2$ . Prove that TOSes with  $\sqsubseteq$  form a complete partial ordering with bottom. Given two TOSes, their lub (least upper bound) and their glb (greatest lower bound) are always defined? If not, give counterexamples.

## Exercise 3 (5)

Modify the denotational semantics of HOFL for the conditional statement as follow:

$$\llbracket \mathbf{if} \ t_0 : \mathit{int} \ \mathbf{then} \ t_1 : \tau \ \mathbf{else} \ t_2 : \tau \rrbracket \rho = \mathit{Condd}(\llbracket t_0 \rrbracket \rho, \llbracket t_1 \rrbracket \rho, \llbracket t_2 \rrbracket \rho) \text{ where}$$
$$\mathit{Condd}(z_0, z_1, z_2) \stackrel{\text{def}}{=} \text{if } z_0 = [0] \text{ or } z_1 = z_2 \text{ then } z_1 \text{ else if } z_0 = [n], n \neq 0 \text{ then } z_2 \text{ else } \perp_{(V_\tau)_\perp}.$$

Prove that if  $\tau = \mathit{int}$  than  $\mathit{Condd}$  is monotone continuous, while if, e.g.,  $\tau = \mathit{int} * \mathit{int}$  then  $\mathit{Condd}$  is not monotone. (Hint: Take  $t_1 = \mathbf{if} \ t_\perp \ \mathbf{then} \ (0, t_\perp) \ \mathbf{else} \ (0, t_\perp)$  and  $t_2 = \mathbf{if} \ t_\perp \ \mathbf{then} \ (0, 0) \ \mathbf{else} \ (0, t_\perp)$ , where  $t_\perp = \mathit{rec} \ x \ \mathit{int}.x$ .) Explain why the counterexample does not apply when  $\tau = \mathit{int}$ .

## Exercise 4 (5)

Consider the  $\pi$ -calculus agent  $P = !((y)\bar{x}y.!(\bar{x}y.\mathit{nil}))$ , give the proof of its first transition and describe informally its behavior. Finally define its *trace semantics* namely the set  $\{\alpha_1\alpha_2 \dots \alpha_n \mid \exists Q.P \xrightarrow{\alpha_1} \alpha_2 \dots \xrightarrow{\alpha_n} Q\}$ .

## Exercise 5 (5)

A *DT Markov circle* is a DTMC consisting of a family  $S = \{s_i\}_{i=1, \dots, n}$  of states. The transitions are as follows, for  $i = 1, \dots, n-1$ :

$$s_i \xrightarrow{a_i} s_{i+1} \quad s_i \xrightarrow{1-a_i} s_i \quad s_n \xrightarrow{a_n} s_1 \quad s_n \xrightarrow{1-a_n} s_n$$

Prove for which values of the parameters Markov circles are ergodic, and find the steady state probabilities of all the states. Finally, assume that  $a_i = a$ ,  $i = 1, \dots, n$ , and define all possible partitions (lumpings) different from  $\{S\}$  which are bisimulations and draw the corresponding DTMCs

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Exercise 1

Proof by special rule induction  $P(\langle w, \sigma \rangle \rightarrow \sigma') \stackrel{def}{=} \sigma(x) \geq 0$   
 $\sigma'(y) = \sigma(y) + (\sigma(x) + 1)^2 - \sigma(x) - 1$

$\langle x \neq 0, \sigma \rangle \rightarrow \text{false}$        $\sigma(x) = 0$

$\langle w, \sigma \rangle \rightarrow \sigma$        $\sigma(x) \stackrel{?}{\geq} 0$

$\sigma(y) = \sigma(y) + 1 - 1 = \sigma(y)$  QED.

$\langle x \neq 0, \sigma \rangle \rightarrow \text{true}$      $\langle y := y + 2x; x := x - 1, \sigma \rangle = \sigma''$      $\langle w, \sigma'' \rangle \rightarrow \sigma'$

$\langle w, \sigma \rangle \rightarrow \sigma'$

$\sigma(x) \neq 0$      $\sigma'' = \sigma \left[ \sigma(y) + 2\sigma(x)/y, \frac{\sigma(x)-1}{x} \right]$

$\sigma''(x) \geq 0$      $\sigma'(y) = \sigma''(y) + (\sigma''(x) + 1)^2 - \sigma''(x) - 1$

$\sigma(x) \stackrel{?}{\geq} 0$      $\sigma''(x) = \sigma(x) - 1$      $\sigma(x) = \sigma''(x) + 1 \geq 0$  QED.

$\sigma'(y) \stackrel{?}{=} \sigma(y) + (\sigma(x) + 1)^2 - \sigma(x) - 1$

$\sigma'(y) = \sigma(y) + 2\sigma(x) + (\sigma(x) - 1 + 1)^2 - (\sigma(x) - 1) - 1$   
 $= \sigma(y) + 2\sigma(x) + (\sigma(x) + 1)^2 - 2(\sigma(x) + 1) + 1 - \sigma(x)$   
 $= \sigma(y) + (\sigma(x) + 1)^2 - \sigma(x) - 1$  QED.

We prove  $\langle w, \sigma \rangle \rightarrow \sigma(x) < 0$  with the rule:

$\sigma \in S \quad \forall \sigma' \in S, \langle c, \sigma' \rangle \rightarrow \sigma'' \Rightarrow \sigma'' \in S \quad \forall \sigma' \in S, \langle b, \sigma' \rangle \rightarrow \text{true}$

$\langle w, \sigma \rangle \rightarrow$

where  $S = \{ \sigma \mid \sigma(x) < 0 \}$ . In fact,  $\sigma(x) < 0 \Rightarrow \sigma(x) - 1 < 0$

Exercise 2

We prove  $\subseteq$  is a partial ordering.

$$(S, \leq) \subseteq (S', \leq) \iff S \subseteq S' \text{ and } \leq \subseteq \leq \text{ obvious}$$

$$\left. \begin{aligned} (S_1, \leq_1) \subseteq (S_2, \leq_2) &\iff S_1 \subseteq S_2 \quad \leq_1 \subseteq \leq_2 \\ (S_2, \leq_2) \subseteq (S_1, \leq_1) &\iff S_2 \subseteq S_1 \quad \leq_2 \subseteq \leq_1 \end{aligned} \right\} \begin{aligned} S_1 = S_2 \\ \leq_1 = \leq_2 \end{aligned} \text{ QED}$$

$$\left. \begin{aligned} (S_1, \leq_1) \subseteq (S_2, \leq_2) &\iff S_1 \subseteq S_2 \quad \leq_1 \subseteq \leq_2 \\ (S_2, \leq_2) \subseteq (S_3, \leq_3) &\iff S_2 \subseteq S_3 \quad \leq_2 \subseteq \leq_3 \end{aligned} \right\} \begin{aligned} S_1 \subseteq S_3 \\ \leq_1 \subseteq \leq_3 \\ (S_1, \leq_1) \subseteq (S_3, \leq_3) \end{aligned} \text{ QED}$$

with bottom.

$(\emptyset, \emptyset)$  is the smallest element. It is a total ordering:

$\forall S_1, S_2, S_1 \subseteq S_2 \vee S_2 \subseteq S_1$ : quantification is recursive.

Complete PO

$$(S_0, \leq_0) \subseteq (S_1, \leq_1) \subseteq \dots \text{ has a lub } (U S_i, U \leq_i) = (S, \leq)$$

We must prove that  $S$  is a total ordering.

We assume  $S_1, S_2 \in S'$  and we must prove that

$S_1 \subseteq S_2$  or  $S_2 \subseteq S_1$ . Assume that for some  $K, S_1, S_2 \in S'_K$

and either  $S_1 \subseteq_K S_2$  or  $S_2 \subseteq_K S_1$ . Since  $\leq$  is

larger than  $\leq_K$ , we will also have  $S_1 \subseteq S_2$  or  $S_2 \subseteq S_1$ .

Neither lubs nor glb are always defined

Example:  $U = \{a, b\}$   $S_1 = (\{a\}, \{(a, a)\})$   $S_2 = (\{b\}, \{(b, b)\})$

Two possibilities:  $S_{\text{lub}} = (\{a, b\}, \{(a, a), (b, b), (a, b)\})$   $S'_{\text{lub}}$  with  $(b, a)$

Similarly, taking the glb of  $S_{\text{lub}}$  and  $S'_{\text{lub}}$  you get either  $S_1$  or  $S_2$

Exercice 3• Case  $\tau = \text{int}$ 

Function  $\text{Condd}$  is continuous in every argument

Take  $z_0$ . Then the domain is flat and it is enough to prove monotonicity

Two cases:

- $z_1 = z_2 = \bar{z}$ . Then function  $\text{Condd}(z_0, \bar{z}, \bar{z})$  is a constant with  $z_0$
- $\perp_{N_1} \in \llbracket 0 \rrbracket$  and  $z_1 \neq z_2$ . Then  $\text{Condd}(\perp_{N_1}, z_1, z_2) \subseteq \text{Condd}(\llbracket 0 \rrbracket, z_1, z_2)$  is obvious since  $\text{Condd}(\perp_{N_1}, z_1, z_2) = \perp_{N_1}$
- $\perp_{N_1} \in \llbracket n \rrbracket$  similarly

Take  $z_1$ . Again the domain is flat  $\rightarrow$  monotonicity

cases

- $z_0 = \perp_{N_1}$   $z_2 = \perp_{N_1}$  Then  $\text{Condd}(z_0, z_1, z_2)$  is the constant  $\perp_{N_1}$
- $z_0 = \perp_{N_1}$   $z_2 = \llbracket n \rrbracket$  Then  $\text{Condd}(z_0, z_1, z_2) = \{z_1 = \llbracket n \rrbracket\}$  then  $\llbracket n \rrbracket \in \perp_{N_1}$ , OK
- $z_0 = \llbracket 0 \rrbracket$  Then  $\text{Condd}(z_0, z_1, z_2)$  is the identity
- $z_0 = \llbracket n \rrbracket$   $n \neq 0$  then  $\text{Condd}(z_0, z_1, z_2)$  is the constant  $z_2$

Take  $z_2$ . Similarly to  $z_1$

• Case  $\tau = \text{int} * \text{int}$ 

$$\begin{aligned} \text{Counterexample: } \llbracket t_1 \rrbracket \rho &= \text{Condd}(\llbracket \text{rec } x. x \rrbracket \rho, \llbracket (0, \text{rec } x. x) \rrbracket \rho, \\ &= \text{Condd}(\perp_{N_1} \llbracket \rho, \perp_{N_1} \rrbracket, \llbracket (0, \perp_{N_1}) \rrbracket) = \llbracket (0, \perp_{N_1}) \rrbracket \quad \llbracket (0, \text{rec } x. x) \rrbracket \rho \end{aligned}$$

$$\llbracket t_2 \rrbracket \rho = \text{Condd}(\perp_{N_1}, \llbracket (0, 0) \rrbracket, \llbracket (0, \perp_{N_1}) \rrbracket) = \perp_{(\text{int} * \text{int})_1}$$

Thus while  $\llbracket (0, \text{rec } x. x) \rrbracket \rho \subseteq \llbracket (0, 0) \rrbracket \rho$  we have  $\llbracket t_1 \rrbracket \rho \not\subseteq \llbracket t_2 \rrbracket \rho$ .

Here  $\perp_{(\text{int} * \text{int})_1} \subseteq \llbracket (0, \perp_{N_1}) \rrbracket \subseteq \llbracket (0, 0) \rrbracket$  while  $\llbracket n \rrbracket \in d$  implies  $d = \llbracket n \rrbracket$ .

Exercise 4

$$\begin{aligned}
 & !((y) \bar{x}y. !(\bar{x}y. nil) \xrightarrow{d} Q \leftarrow P | (y) \bar{x}y. !(\bar{x}y. nil) \xrightarrow{d} Q \leftarrow \\
 & \underline{Q = !P | Q_1} \quad (y) \bar{x}y. !(\bar{x}y. nil) \xrightarrow{d} Q_1 \quad x \in \text{bn}(d) \leftarrow \\
 & \underline{Q_1 = Q_2[w/x]} \quad \bar{x}y. !(\bar{x}y. nil) \xrightarrow{\bar{x}y} Q_2 \quad w \neq x \\
 & \underline{x = \bar{x}(w)} \\
 & \underline{Q_2 = !(\bar{x}y. nil)} \quad \square
 \end{aligned}$$

$$!((y) \bar{x}y. !(\bar{x}y. nil) \xrightarrow{\bar{x}(w)} P | !\bar{x}w. nil \quad w \neq x$$

Agent P can produce any number of processes of the form  $!\bar{x}w_i. nil$ , where  $w_i$  is different from  $x$  and from all  $w_j$  previously excluded.

As soon as a process  $!\bar{x}w_i. nil$  is created, it can start sending on channel  $\bar{x}$  any number of messages  $\bar{x}w_i$ .

The trace semantics of P thus contains all the strings of the form

$$\begin{aligned}
 & \bar{x}(w_0). \{xw_0\}^* . \bar{x}(w_1). \{xw_0, xw_1\}^* \dots \quad i \neq j \Rightarrow \\
 & \dots \bar{x}(w_n). \{xw_i\}^* \dots \quad w_i \neq w_j \text{ and } \\
 & \quad \quad \quad i=1, \dots, n \quad w_i \neq x
 \end{aligned}$$

where  $V^*$  is the set of all strings on  $V$  and  $\{w_i\}_{i \in \omega}$  is a family of names all different and different from  $x$ .

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### Exercise 5

- To be ergodic we must have  $a_i \neq 0, i=1, \dots, n$  to guarantee reachability, and should exist  $i$  with  $a_i \neq 1$ , otherwise all paths are of length  $n$ .
- The steady state equations are

$$a_n s_n + (1-a_1) s_1 = s_1 \quad a_n s_n = a_1 s_1$$

$$a_{i-1} s_{i-1} + (1-a_i) s_i = s_i \quad a_{i-1} s_{i-1} = a_i s_i \quad a_i s_i = a_j s_j \quad \forall i, j$$

$$a_{n-1} s_{n-1} + (1-a_n) s_n = s_n \quad a_{n-1} s_{n-1} = a_n s_n$$

$$s_1 + \frac{a_1}{a_2} s_1 + \dots + \frac{a_{n-1}}{a_n} s_1 = 1$$

$$s_1 = \frac{1}{1 + \frac{a_1}{a_2} + \dots + \frac{a_{n-1}}{a_n}}$$

$$s_1 = \frac{1}{a_1} \frac{1}{\frac{1}{a_1} + \frac{1}{a_2} + \dots + \frac{1}{a_n}}$$

$$s_i = \frac{1}{a_i} \frac{1}{\frac{1}{a_1} + \frac{1}{a_2} + \dots + \frac{1}{a_n}} \quad i=1, \dots, n$$

- It is easy to see that contiguous states cannot be lumped together: e.g. for  $\{s_1, s_2, s_3\}$  to be a bisimulation class, we must have  $\alpha(s_1)([s_4]) = \alpha(s_2)([s_4]) = \alpha(s_3)([s_4])$ , but the first two values are necessarily 0, while the third is  $a_3$ .

Thus the only possibility is to pick up a divisor  $d$  of  $n$  and to let  $s_i \approx s_j$  iff  $i=j \pmod d$ . The "minimal" Markov circle is a Markov circle with  $n/d$  states and the same transition probability  $a$ .